Return of Organization Exempt From Income Tax

Under section 501(c), 527, or 4947(a)(1) of the Internal Revenue Code (except private foundations)

OMB No. 1545-0047

Inspection

Department of the Treasury Internal Revenue Service

▶ Do not enter social security numbers on this form as it may be made public.

▶ Information about Form 990 and its instructions is at www.irs.gov/form990.

AH	or tr	1e 201	6 calendar year, or tax year begin	nning 07/01,2	016, a	ana enain	<u>9</u>		06	5/30 , 20 1/
B c	heck if a	pplicable:	C Name of organization				DE			ation number
_	Addre		BRANDEIS UNIVERSITY					04-2103	355	2
	chang		Doing business as							
	Name	change	Number and street (or P.O. box if mail is	not delivered to street address)	R	oom/suite		Telephone nu		
	_	return	P.O. BOX 9110				('.	781) 73	6 – 2	1000
	termi		City or town, state or province, country, a	• .						
	Amer returr	n	WALTHAM, MA 02454-9110	0				Gross receipts		717,258,884.
	_ Applie pendi	cation ing	F Name and address of principal officer:	RONALD LIEBOWITZ			H(a	Is this a grous subordinates		rn for Yes X No
			P.O. BOX 9110 WALTHAM	, MA 02454-9110			H(k	Are all subord	inates ir	ncluded? Yes No
		empt st	33.(3)(3)) ◄ (insert no.) 4947(a	a)(1) or	527	7	If "No," attac	ch a list	t. (see instructions)
J	Websi	ite: 🕨	WWW.BRANDEIS.EDU					Group exemp		
K	Form	of orgar	nization: X Corporation Trust	Association Other		L Year of	formation:	1947 м	State	of legal domicile: MA
Pa	art I		ımmary							
	1	Briefly	y describe the organization's mission o	r most significant activities: AN	EDUC	CATIONA	L INST	ITUTION	, A	COMMUNITY
ė			SCHOLARS AND STUDENTS UN							
an		KNO	WLEDGE AND ITS TRANSMISS	SION FROM GENERATION	OT	GENERA	TION.			
Governance	2	Check	k this box if the organization d	iscontinued its operations or dis	posed	of more tha	n 25% of i	its net assets	S.	
ő	3	Numb	per of voting members of the governing	body (Part VI, line 1a)					3	37.
∞ಶ	4		per of independent voting members of t						4	35.
ties	5		number of individuals employed in cale						5	5,302.
Activities &	6		number of volunteers (estimate if necess						6	3,196.
Ac	_		unrelated business revenue from Part V	**					7a	1,326,471.
			nrelated business taxable income from						7b	526,328.
	-	1401 01	Trelated business taxable income from	1 61111 666 1, 1111 6 6 7				rior Year		Current Year
	8	Contri	ibutions and grants (Part VIII, line 1h)				36	,329,03	8.	79,939,479.
Revenue	9		am service revenue (Part VIII, line 2g)					,010,85	_	364,125,327.
Ver	l		tment income (Part VIII, column (A), line					,837,09	_	73,146,942.
æ	10		revenue (Part VIII, column (A), lines 5,					,716,80	_	3,330,716.
	11							,893,79	_	520,542,464.
	12		revenue - add lines 8 through 11 (must					,770,52	$\overline{}$	102,667,775.
	13		s and similar amounts paid (Part IX, colu				7,7	, 110,52	0.	0.
	14		its paid to or for members (Part IX, colu				105	,443,24		191,792,316.
ses	15		es, other compensation, employee bene				100	,443,24	0.	
Expenses	16a	Profes	ssional fundraising fees (Part IX, column	(A), line 11e)					0.	0.
Ä	b	Total	fundraising expenses (Part IX, column (I	D), line 25) ▶10,144,9	734.		1 4 7	046 75		150 010 020
			expenses (Part IX, column (A), lines 11					,046,75	$\overline{}$	150,818,839.
		Total	expenses. Add lines 13-17 (must equal	Part IX, column (A), line 25)				,260,51	_	445,278,930.
- <i>(</i> 0	19	Rever	nue less expenses. Subtract line 18 from	n line 12	<u> </u>			,633,27	_	75,263,534.
Sol								of Current Y		End of Year
Net Assets or Fund Balances	20		, , , , , , , , , , , , , , , , , , , ,					,427,25	_	1,474,125,833.
ള	21		liabilities (Part X, line 26)					,932,68	_	359,780,884.
			ssets or fund balances. Subtract line 21	from line 20	<u> </u>		1,012	,494,57	4.	1,114,344,949.
	rt II		gnature Block							
Und	der per	nalties o	of perjury, I declare that I have examined this complete. Declaration of preparer (other than	is return, including accompanying so	chedules	s and statem	nents, and t	to the best of	my k	knowledge and belief, it is
	, 00110	1	Complete. Becaration of proparer (ether than	i dineci) le bacca en an imemiation e	***************************************	proparor riac	any known	lougo.		
c: ~										
Sig			Signature of officer					Date		
Hei	е									
			Type or print name and title							
De:		Print/	Type preparer's name	Preparer's signature		Date		Check	if F	PTIN
Paid		SHY	JOSEPH			04/12/		self-employe		P01085371
	parer	Firm's	s name ▶KPMG LLP				Firr	m's EIN ▶1	3-5	5565207
use	Only		s address ▶60 SOUTH STREET B	OSTON, MA 02111						988-1000
May	the I		ccuss this return with the preparer show							. X Yes No
For	Pape	rwork	Reduction Act Notice, see the separat	e instructions.						Form 990 (2016)

Page 2 Form 990 (2016)

Pa	Statement of Program Service Accomplishments Check if Schedule O contains a response or note to any line in this Part III
1	Briefly describe the organization's mission:
	AS A RESEARCH UNIVERSITY, BRANDEIS IS DEDICATED TO THE ADVANCEMENT OF
	THE HUMANITIES, ARTS AND SOCIAL, NATURAL, AND PHYSICAL SCIENCES. AS
	A LIBERAL ARTS COLLEGE, BRANDEIS AFFIRMS THE IMPORTANCE OF A BROAD
	AND CRITICAL EDUCATION IN ENRICHING THE LIVES OF STUDENTS.
2	Did the organization undertake any significant program services during the year which were not listed on the
2	
	Francis and a second and a second and a second and a second a seco
_	If "Yes," describe these new services on Schedule O.
3	Did the organization cease conducting, or make significant changes in how it conducts, any program
	services?
	If "Yes," describe these changes on Schedule O.
4	Describe the organization's program service accomplishments for each of its three largest program services, as measured by
	expenses. Section 501(c)(3) and 501(c)(4) organizations are required to report the amount of grants and allocations to others,
	the total expenses, and revenue, if any, for each program service reported.
4a	(Code:) (Expenses \$304,147,707. including grants of \$98,069,459.) (Revenue \$261,866,643.)
	INSTRUCTIONAL AND ACADEMIC SUPPORT: BRANDEIS UNIVERSITY IS A RARE
	COMBINATION OF A LIBERAL ARTS COLLEGE AND A GLOBAL RESEARCH
	UNIVERSITY. BRANDEIS STUDENT BODY NUMBERS 5,200, INCLUDING
	UNDERGRADUATE AND GRADUATE STUDENTS. BRANDEIS HAS A GRADUATE
	SCHOOL OF ARTS AND SCIENCES AND TWO PROFESSIONAL SCHOOLS, THE
	HELLER SCHOOL FOR SOCIAL POLICY AND MANAGEMENT AND THE
	INTERNATIONAL BUSINESS SCHOOL. THE RABB SCHOOL OF CONTINUING
	STUDIES OFFERS GRADUATE PROFESSIONAL PROGRAMS FOR PART-TIME
	STUDENTS. MANY ACADEMIC PROGRAMS ARE ENRICHED BY MYRIAD
	INTERACTIONS WITH THE NUMEROUS OTHER LEADING UNIVERSITIES IN THE
	AREA.
4b	(Code:) (Expenses \$53,896,410. including grants of \$4,598,316.) (Revenue \$61,278,788.)
	SPONSORED PROGRAMS INCLUDE VARIOUS RESEARCH AND INSTRUCTIONAL
	PROGRAMS FUNDED BY EXTERNAL PARTIES, INCLUDING THE FEDERAL
	GOVERNMENT, FOREIGN AND STATE GOVERNMENTS AND PRIVATE FOUNDATIONS
	AND CORPORATIONS. BRANDEIS RESEARCH IS AT THE HEART OF MANY
	SOCIETAL, ARTISTIC, INTELLECTUAL AND SCIENTIFIC ADVANCES. RESEARCH
	AT BRANDEIS IS INTERDISCIPLINARY, BOLD AND COLLABORATIVE. IT'S AN
	APPROACH THAT ENABLES THE FACULTY TO LEVERAGE THE RELATIVELY SMALL
	SIZE OF THE UNIVERSITY TO ACHIEVE AN IMPACT WELL BEYOND THE SUM OF
	ITS PARTS. BRANDEIS IS WHOLLY COMMITTED TO THE PROPOSITION THAT
	BASIC RESEARCH IS THE ENGINE OF INNOVATION IN HUMAN HEALTH AND
	WELL-BEING.
4c	(Code:) (Expenses \$36,504,533. including grants of \$0.) (Revenue \$41,200,782.)
	AUXILIARY ENTERPRISES: BRANDEIS UNIVERSITY SERVES THE NEEDS AND
	INTERESTS OF STUDENTS AND HAS BROAD RESPONSIBILITY FOR THE
	SERVICES AND ACTIVITIES THAT ENHANCE THE QUALITY OF STUDENT LIFE
	OUTSIDE THE CLASSROOM. THESE SERVICES AND ACTIVITIES INCLUDE BUT
	ARE NOT LIMITED TO COMMUNITY LIVING, DINING SERVICES, HEALTH
	CENTER, PSYCHOLOGICAL COUNSELING CENTER, AND INTERFAITH
	CHAPLAINCY.
4d	Other program services (Describe in Schedule O.)
_	(Expenses \$ including grants of \$) (Revenue \$)
<u>4e</u>	Total program service expenses ► 394,548,650.

JSA 6E1020 1.000 7673LT 1592

Form **990** (2016) PAGE 2 2150887

Form 990 (2016) Page **3**

Part IV **Checklist of Required Schedules** Yes No Is the organization described in section 501(c)(3) or 4947(a)(1) (other than a private foundation)? If "Yes," Χ 1 Х Is the organization required to complete Schedule B, Schedule of Contributors (see instructions)?....... 2 Did the organization engage in direct or indirect political campaign activities on behalf of or in opposition to Χ candidates for public office? If "Yes," complete Schedule C, Part I Section 501(c)(3) organizations. Did the organization engage in lobbying activities, or have a section 501(h) Χ Is the organization a section 501(c)(4), 501(c)(5), or 501(c)(6) organization that receives membership dues, assessments, or similar amounts as defined in Revenue Procedure 98-19? If "Yes," complete Schedule C, 5 Did the organization maintain any donor advised funds or any similar funds or accounts for which donors have the right to provide advice on the distribution or investment of amounts in such funds or accounts? If Χ 6 Did the organization receive or hold a conservation easement, including easements to preserve open space, 7 Χ the environment, historic land areas, or historic structures? If "Yes," complete Schedule D, Part II......... Did the organization maintain collections of works of art, historical treasures, or other similar assets? If "Yes," Χ 8 Did the organization report an amount in Part X, line 21, for escrow or custodial account liability, serve as a custodian for amounts not listed in Part X; or provide credit counseling, debt management, credit repair, or 9 Χ 10 Did the organization, directly or through a related organization, hold assets in temporarily restricted Χ endowments, permanent endowments, or quasi-endowments? If "Yes," complete Schedule D, Part V. 10 If the organization's answer to any of the following questions is "Yes," then complete Schedule D, Parts VI, VII, VIII, IX, or X as applicable. a Did the organization report an amount for land, buildings, and equipment in Part X, line 10? If "Yes," Χ complete Schedule D, Part VI 11a b Did the organization report an amount for investments-other securities in Part X, line 12 that is 5% or more Χ of its total assets reported in Part X, line 16? If "Yes," complete Schedule D, Part VII 11b c Did the organization report an amount for investments-program related in Part X, line 13 that is 5% or more Χ of its total assets reported in Part X, line 16? If "Yes," complete Schedule D, Part VIII d Did the organization report an amount for other assets in Part X, line 15 that is 5% or more of its total assets X 11d Χ 11e e Did the organization report an amount for other liabilities in Part X, line 25? If "Yes," complete Schedule D, Part X Did the organization's separate or consolidated financial statements for the tax year include a footnote that addresses Χ the organization's liability for uncertain tax positions under FIN 48 (ASC 740)? If "Yes," complete Schedule D, Part X 12a Did the organization obtain separate, independent audited financial statements for the tax year? If "Yes," complete Χ 12a **b** Was the organization included in consolidated, independent audited financial statements for the tax year? If Χ "Yes," and if the organization answered "No" to line 12a, then completing Schedule D, Parts XI and XII is optional 12b Х Is the organization a school described in section 170(b)(1)(A)(ii)? If "Yes," complete Schedule E............. 13 Χ b Did the organization have aggregate revenues or expenses of more than \$10,000 from grantmaking, fundraising, business, investment, and program service activities outside the United States, or aggregate Χ foreign investments valued at \$100,000 or more? If "Yes," complete Schedule F, Parts I and IV 14b Did the organization report on Part IX, column (A), line 3, more than \$5,000 of grants or other assistance to or Χ 15 Did the organization report on Part IX, column (A), line 3, more than \$5,000 of aggregate grants or other Χ 16 Did the organization report a total of more than \$15,000 of expenses for professional fundraising services on Χ Part IX, column (A), lines 6 and 11e? If "Yes," complete Schedule G, Part I (see instructions) 17 Did the organization report more than \$15,000 total of fundraising event gross income and contributions on Χ Part VIII, lines 1c and 8a? If "Yes," complete Schedule G, Part II 18 Did the organization report more than \$15,000 of gross income from gaming activities on Part VIII, line 9a? 19 Χ

7673LT 1592 2150887 PAGE 3

Form 990 (2016) Page 4

Part	Checklist of Required Schedules (continued)			
			Yes	No
	Did the organization operate one or more hospital facilities? If "Yes," complete Schedule H	20a		X
	If "Yes" to line 20a, did the organization attach a copy of its audited financial statements to this return?	20b		
21	Did the organization report more than \$5,000 of grants or other assistance to any domestic organization or		v	
	domestic government on Part IX, column (A), line 1? If "Yes," complete Schedule I, Parts I and II.	21	X	
22	Did the organization report more than \$5,000 of grants or other assistance to or for domestic individuals on		Х	
	Part IX, column (A), line 2? If "Yes," complete Schedule I, Parts I and III.	22		
23	Did the organization answer "Yes" to Part VII, Section A, line 3, 4, or 5 about compensation of the			
	organization's current and former officers, directors, trustees, key employees, and highest compensated		х	
04-	employees? If "Yes," complete Schedule J	23	- 2	
24a	Did the organization have a tax-exempt bond issue with an outstanding principal amount of more than			
	\$100,000 as of the last day of the year, that was issued after December 31, 2002? If "Yes," answer lines 24b	24a	Х	
L	through 24d and complete Schedule K. If "No," go to line 25a	24a 24b	21	X
	Did the organization invest any proceeds of tax-exempt bonds beyond a temporary period exception?	240		
С	Did the organization maintain an escrow account other than a refunding escrow at any time during the year to defease any tax-exempt bonds?	24c		Х
d	Did the organization act as an "on behalf of" issuer for bonds outstanding at any time during the year?	24d		X
	Section 501(c)(3), 501(c)(4), and 501(c)(29) organizations. Did the organization engage in an excess benefit	244		
ZJa	transaction with a disqualified person during the year? If "Yes," complete Schedule L, Part I	25a		Х
b	Is the organization aware that it engaged in an excess benefit transaction with a disqualified person in a prior	200		
	year, and that the transaction has not been reported on any of the organization's prior Forms 990 or 990-EZ?			
	If "Yes," complete Schedule L, Part I	25b		Х
26	Did the organization report any amount on Part X, line 5, 6, or 22 for receivables from or payables to any			
	current or former officers, directors, trustees, key employees, highest compensated employees, or			
	disqualified persons? If "Yes," complete Schedule L, Part II	26		Х
27	Did the organization provide a grant or other assistance to an officer, director, trustee, key employee,			
	substantial contributor or employee thereof, a grant selection committee member, or to a 35% controlled			
	entity or family member of any of these persons? If "Yes," complete Schedule L, Part III	27		X
28	Was the organization a party to a business transaction with one of the following parties (see Schedule L,			
	Part IV instructions for applicable filing thresholds, conditions, and exceptions):			
а	A current or former officer, director, trustee, or key employee? If "Yes," complete Schedule L, Part IV	28a		Х
b	A family member of a current or former officer, director, trustee, or key employee? If "Yes," complete			
	Schedule L, Part IV	28b	Х	
С	An entity of which a current or former officer, director, trustee, or key employee (or a family member thereof)			
	was an officer, director, trustee, or direct or indirect owner? If "Yes," complete Schedule L, Part IV	28c		X
29	Did the organization receive more than \$25,000 in non-cash contributions? If "Yes," complete Schedule M	29	X	
30	Did the organization receive contributions of art, historical treasures, or other similar assets, or qualified			
	conservation contributions? If "Yes," complete Schedule M	30	Х	
31	$ \label{lem:decomposition} \mbox{Did the organization liquidate, terminate, or dissolve and cease operations? {\it If "Yes," complete Schedule N, } \\$			
	Part I	31		X
32	Did the organization sell, exchange, dispose of, or transfer more than 25% of its net assets? If "Yes,"			3.7
	complete Schedule N, Part II	32		X
33	Did the organization own 100% of an entity disregarded as separate from the organization under Regulations	.		Х
	sections 301.7701-2 and 301.7701-3? If "Yes," complete Schedule R, Part I	33		
34	Was the organization related to any tax-exempt or taxable entity? If "Yes," complete Schedule R, Part II, III,		х	
25-	or IV, and Part V, line 1.	34	X	
35a	Did the organization have a controlled entity within the meaning of section 512(b)(13)?	35a	21	
b	If "Yes" to line 35a, did the organization receive any payment from or engage in any transaction with a	35b	Х	
26	controlled entity within the meaning of section 512(b)(13)? If "Yes," complete Schedule R, Part V, line 2	330	21	
36	Section 501(c)(3) organizations. Did the organization make any transfers to an exempt non-charitable related organization? If "Vos " complete Schoolule P. Part V. line ?	36		
27	related organization? If "Yes," complete Schedule R, Part V, line 2	30		
37	and that is treated as a partnership for federal income tax purposes? If "Yes," complete Schedule R,			
	Part VI	37		Х
38	Did the organization complete Schedule O and provide explanations in Schedule O for Part VI, lines 11b and	ļ .		•
	19? Note. All Form 990 filers are required to complete Schedule O.	38	Х	
	The state of the s		000	

6E1030 1.000 7673LT 1592 2150887 Form 990 (2016) Page **5**

Part V Statements Regarding Other IRS Filings and Tax Compliance 6,898 1a Enter the number reported in Box 3 of Form 1096. Enter -0- if not applicable 1a 0. b Enter the number of Forms W-2G included in line 1a. Enter -0- if not applicable 1b c Did the organization comply with backup withholding rules for reportable payments to vendors and Χ reportable gaming (gambling) winnings to prize winners? 2a Enter the number of employees reported on Form W-3, Transmittal of Wage and Tax Statements, filed for the calendar year ending with or within the year covered by this return. 2a Χ 2b b If at least one is reported on line 2a, did the organization file all required federal employment tax returns? Note. If the sum of lines 1a and 2a is greater than 250, you may be required to e-file (see instructions) Χ 3a Did the organization have unrelated business gross income of \$1,000 or more during the year? X **b** If "Yes," has it filed a Form 990-T for this year? If "No" to line 3b, provide an explanation in Schedule O 4a At any time during the calendar year, did the organization have an interest in, or a signature or other authority over, a financial account in a foreign country (such as a bank account, securities account, or other financial X **b** If "Yes," enter the name of the foreign country: ▶ _ See instructions for filing requirements for FinCEN Form 114, Report of Foreign Bank and Financial Accounts Χ 5a Was the organization a party to a prohibited tax shelter transaction at any time during the tax year?........ Χ b Did any taxable party notify the organization that it was or is a party to a prohibited tax shelter transaction? 5c 6a Does the organization have annual gross receipts that are normally greater than \$100,000, and did the Χ 6a organization solicit any contributions that were not tax deductible as charitable contributions? b If "Yes," did the organization include with every solicitation an express statement that such contributions or 6b Organizations that may receive deductible contributions under section 170(c). a Did the organization receive a payment in excess of \$75 made partly as a contribution and partly for goods Χ Х b If "Yes," did the organization notify the donor of the value of the goods or services provided? c Did the organization sell, exchange, or otherwise dispose of tangible personal property for which it was Χ 7с Х e Did the organization receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? Χ 7f f Did the organization, during the year, pay premiums, directly or indirectly, on a personal benefit contract? 7g g If the organization received a contribution of qualified intellectual property, did the organization file Form 8899 as required? 7h h If the organization received a contribution of cars, boats, airplanes, or other vehicles, did the organization file a Form 1098-C? Sponsoring organizations maintaining donor advised funds. Did a donor advised fund maintained by the Χ sponsoring organization have excess business holdings at any time during the year?........... Sponsoring organizations maintaining donor advised funds. Χ a Did the sponsoring organization make any taxable distributions under section 4966?................ Х **b** Did the sponsoring organization make a distribution to a donor, donor advisor, or related person?..... 10 Section 501(c)(7) organizations. Enter: 10a a Initiation fees and capital contributions included on Part VIII, line 12 **b** Gross receipts, included on Form 990, Part VIII, line 12, for public use of club facilities. <u>10b</u> Section 501(c)(12) organizations. Enter: a Gross income from members or shareholders............. b Gross income from other sources (Do not net amounts due or paid to other sources 12a 12a Section 4947(a)(1) non-exempt charitable trusts. Is the organization filing Form 990 in lieu of Form 1041? b If "Yes," enter the amount of tax-exempt interest received or accrued during the year. 12b Section 501(c)(29) qualified nonprofit health insurance issuers. 13a a Is the organization licensed to issue qualified health plans in more than one state? Note. See the instructions for additional information the organization must report on Schedule O. b Enter the amount of reserves the organization is required to maintain by the states in which Х b If "Yes," has it filed a Form 720 to report these payments? If "No," provide an explanation in Schedule O

JSA 6E1040 1.000 Form 990 (2016) BRANDEIS UNIVERSITY 04-2103552 Page **6**

Part VI

Governance, Management, and Disclosure For each "Yes" response to lines 2 through 7b below, and for a "No" response to line 8a, 8b, or 10b below, describe the circumstances, processes, or changes in Schedule O. See instructions.

	Check if Schedule O contains a response or note to any line in this Part VI				X
Sect	on A. Governing Body and Management				
				Yes	No
1a	Enter the number of voting members of the governing body at the end of the tax year	1a 37			
	If there are material differences in voting rights among members of the governing body, or if the governing				
	body delegated broad authority to an executive committee or similar committee, explain in Schedule O.				
b	Enter the number of voting members included in line 1a, above, who are independent	1b 35			
2	Did any officer, director, trustee, or key employee have a family relationship or a business rel	ationship with			
	any other officer, director, trustee, or key employee?		2		X
3	Did the organization delegate control over management duties customarily performed by or un	der the direct			
	supervision of officers, directors, or trustees, or key employees to a management company or other	r person?	3		X
4	Did the organization make any significant changes to its governing documents since the prior Form 990 was fil	ed?	4		Х
5	Did the organization become aware during the year of a significant diversion of the organization's a	assets?	5		Х
6	Did the organization have members or stockholders?		6		Х
7a	Did the organization have members, stockholders, or other persons who had the power to ele	ect or appoint			
	one or more members of the governing body?		7a		Х
b	Are any governance decisions of the organization reserved to (or subject to approval				
	stockholders, or persons other than the governing body?	• •	7b		Х
8	Did the organization contemporaneously document the meetings held or written actions under				
	the year by the following:	9			
а	The governing body?		8a	Х	
b	Each committee with authority to act on behalf of the governing body?		8b	Χ	
9	Is there any officer, director, trustee, or key employee listed in Part VII, Section A, who cannot				
	the organization's mailing address? If "Yes," provide the names and addresses in Schedule O		9		Х
Secti	on B. Policies (This Section B requests information about policies not required by the Inte	ernal Revenue	Code	ə.)	
				Yes	No
10a	Did the organization have local chapters, branches, or affiliates?		10a		Х
b	If "Yes," did the organization have written policies and procedures governing the activities of s	such chapters,			
	affiliates, and branches to ensure their operations are consistent with the organization's exempt pu	rposes?	10b		
11a	Has the organization provided a complete copy of this Form 990 to all members of its governing body before fil	ing the form?	11a	X	
b	Describe in Schedule O the process, if any, used by the organization to review this Form 990.				
12a	Did the organization have a written conflict of interest policy? If "No," go to line 13		12a	X	
b	Were officers, directors, or trustees, and key employees required to disclose annually interests t	hat could give			
	rise to conflicts?		12b	X	
С	Did the organization regularly and consistently monitor and enforce compliance with the po-	olicy? If "Yes,"			
	describe in Schedule O how this was done		12c	X	
13	Did the organization have a written whistleblower policy?		13	X	
14	Did the organization have a written document retention and destruction policy?		14	X	$oxed{oxed}$
15	Did the process for determining compensation of the following persons include a review an	d approval by			
	independent persons, comparability data, and contemporaneous substantiation of the deliberation	and decision?			
а	The organization's CEO, Executive Director, or top management official		15a	X	
b	Other officers or key employees of the organization		15b	X	
	If "Yes" to line 15a or 15b, describe the process in Schedule O (see instructions).				
16a	Did the organization invest in, contribute assets to, or participate in a joint venture or simila	r arrangement			
	with a taxable entity during the year?		16a		X
b	If "Yes," did the organization follow a written policy or procedure requiring the organization to				
	participation in joint venture arrangements under applicable federal tax law, and take steps to				
	organization's exempt status with respect to such arrangements?		16b		
Secti	on C. Disclosure				
17	List the states with which a copy of this Form 990 is required to be filed \blacktriangleright $\frac{AZ, CA, FL, MD, MA, ND}{AZ, CA, FL, MD, MA, ND}$	IJ,NY,			
18	Section 6104 requires an organization to make its Forms 1023 (or 1024 if applicable), 990, and	990-T (Section	501(c)(3)s	only)
	available for public inspection. Indicate how you made these available. Check all that apply. Own website X Another's website X Upon request Other (explain in Sch	edule O)			
19	Describe in Schedule O whether (and if so, how) the organization made its governing document	s, conflict of into	erest	policy	, and
-	financial statements available to the public during the tax year.)	. ,
20	State the name, address, and telephone number of the person who possesses the organization's be SAMUEL SOLOMON, CFO AND TREAS 415 SOUTH STREET WALTHAM, MA 02454	ooks and record	s:Þ		

JSA 6E1042 1.000 Form **990** (2016)

7673LT 1592 2150887 PAGE 6

BRANDEIS UNIVERSITY 04-2103552 Form 990 (2016)

Compensation of Officers, Directors, Trustees, Key Employees, Highest Compensated Employees, **Independent Contractors**

Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees Section A.

1a Complete this table for all persons required to be listed. Report compensation for the calendar year ending with or within the organization's tax year.

- List all of the organization's current officers, directors, trustees (whether individuals or organizations), regardless of amount of compensation. Enter -0- in columns (D), (E), and (F) if no compensation was paid.
 - List all of the organization's current key employees, if any. See instructions for definition of "key employee."
- List the organization's five current highest compensated employees (other than an officer, director, trustee, or key employee) who received reportable compensation (Box 5 of Form W-2 and/or Box 7 of Form 1099-MISC) of more than \$100,000 from the organization and any related organizations.
- List all of the organization's former officers, key employees, and highest compensated employees who received more than \$100,000 of reportable compensation from the organization and any related organizations.
- List all of the organization's former directors or trustees that received, in the capacity as a former director or trustee of the organization, more than \$10,000 of reportable compensation from the organization and any related organizations.

List persons in the following order: individual trustees or directors; institutional trustees; officers; key employees; highest compensated employees; and former such persons.

Check this box if neither the organization nor any related organization compensated any current officer, director, or trustee.

(A) Name and Title	(B) Average hours per week (list any	box,	not ch unles:	s pei	ition more	e than o	an	(D) Reportable compensation from	(E) Reportable compensation from related	(F) Estimated amount of other
	hours for related organizations below dotted line)	1 14 to	Institutional trustee	Officer	Key employee	Highest compensated employee	Former	the organization (W-2/1099-MISC)	organizations (W-2/1099-MISC)	compensation from the organization and related organizations
(1)LAWRENCE S. KANAREK	2.00									
TRUSTEE-CHAIR	0.	Х		х				6,000.	0.	0.
(2)JONATHAN G. DAVIS	2.00									
TRUSTEE-VICE CHAIR	0.	Х		Х				0.	0.	0.
(3)STEPHEN B. KAY	2.00									
TRUSTEE-VICE CHAIR	0.	Х		Х				0.	0.	0.
(4)CURTIS H. TEARTE	2.00									
TRUSTEE-SECRETARY	0.	Х		Х				0.	0.	0.
(5)LISA R. KRANC	2.00									
TRUSTEE-TREASURER	0.	X		Х				0.	0.	0.
(6)ALLEN B. ALTER	1.00									
TRUSTEE	0.	Х						0.	0.	0.
_(7)LESLIE M. ARONZON	1.00									
TRUSTEE	0.	Х						0.	0.	0.
(8)STEVEN M. BUNSON	1.00									
TRUSTEE	0.	X						0.	0.	0.
(9) JEFFREY S. FLIER M.D.	1.00									
TUSTEE	0.	X						0.	0.	0.
(10)LEONARD C. GOODMAN	1.00									
TRUSTEE	0.	X						0.	0.	0.
(11) PAUL S. GROGAN	1.00									
TRUSTEE	0.	Х						0.	0.	0.
(12)MARTIN J. GROSS	1.00									
TRUSTEE	0.	X						0.	0.	0.
(13)DANIEL J. JICK	1.00									
TRUSTEE	0.	X						0.	0.	0.
(14) RONALD L. KAISERMAN	1.00									
TRUSTEE	0.	X						0.	0.	0.

Form **990** (2016) 6E1041 1.000

Part VII

Form 990 (2016) Page **8**

Section A. Officers, Directors, Ir	ustees, Ke	y En	npic	ye	es,	and F	lıgı	nest Compensat	ed Employees (d	ontinued)
(A) Name and title	(B) Average				C) sition			(D) Reportable	(E) Reportable	(F) Estimated
Name and the	hours per	(do ı	not c			e than o	ne	compensation	compensation from	amount of
	week (list any					is both or/truste		from	related	other compensation
	hours for related							the organization	organizations (W-2/1099-MISC)	from the
	organizations	Individual trustee or director	Institutional trustee	Officer	Key employee	ghes	Forme	(W-2/1099-MISC)	(**-2/1033-141100)	organization
	below dotted line)	ual 1	tiona	,	nplo	st co	_			and related organizations
	line)	rust	2		yee	mpe				g
		ee	stee			Highest compensated employee				
15) ELLEN LASHER KAPLAN	1.00					ed.				
TRUSTEE	0.	X						0.	0.	C
16) DOLORES KOHL	1.00							0.	· ·	
TRUSTEE	0.	Х						0.	0.	(
17) MEYER KOPLOW	1.00									
TRUSTEE	0.	Х						0.	0.	(
18) MICHAEL A. KOSS	1.00									
TRUSTEE	0.	Х						0.	0.	C
19) JOYCE GAIL KRASNOW	1.00									
TRUSTEE	0.	Х						0.	0.	(
20) GEORGE D. KRUPP	1.00									
TRUSTEE	0.	Х						0.	0.	(
21) MARTIN R. KUPFERBERG	1.00									
TRUSTEE	0.	Х						0.	0.	C
22) BARBARA A. MANDEL	1.00									
TRUSTEE	0.	X						0.	0.	(
23) GEORG B. MUZICANT	1.00									
TRUSTEE	0.	X						0.	0.	(
24) LOUIS PERLMUTTER	0.									
TRUSTEE	0.	Х						0.	0.	(
25) GREGORY A. PETSKO	1.00									
TRUSTEE	0.	X						0.	0.	(
								6,000.	0.	<u> </u>
c Total from continuation sheets to Part VII, S	_		-				ightharpoons	7,528,251.	0.	719,844
d Total (add lines 1b and 1c)							<u> </u>	7,534,251.	0.	719,844
2 Total number of individuals (including but not				d al	bov	e) who	re	ceived more than	\$100,000 of	
reportable compensation from the organization	on ▶	364	1							1 1
										Yes No
3 Did the organization list any former office										3 X
employee on line 1a? If "Yes," complete Sched										3 X
4 For any individual listed on line 1a, is the	sum of rep	ortab	ole d	com	per	sation	n ai	nd other compen-	sation from the	
organization and related organizations gr										4 X
individual										4 X
5 Did any person listed on line 1a receive or for services rendered to the organization? If "Y										5 X
TOT SETVICES TETRUETED TO THE OTGATILEATION? IT Y	es, comple	ie oci	icul	iiG J	101	SUCII	per.	3 <i>011</i>		5 -

Section B. Independent Contractors

1 Complete this table for your five highest compensated independent contractors that received more than \$100,000 of compensation from the organization. Report compensation for the calendar year ending with or within the organization's tax year.

(A) Name and business address	(B) Description of service	(C) s Compensation
ATTACHMENT 1		

2 Total number of independent contractors (including but not limited to those listed above) who received more than \$100,000 in compensation from the organization ► 175

Part VII Section A. Officers, Directors, Tru		y ⊏n	ibio			and F	ug	1		oritinue		
(A) Name and title	(B) Average hours per week (list any hours for related organizations below dotted line)	box,	unles r and Institutional	ss pe	ition more	e than or/trust Highest compensated	an	(D) Reportable compensation from the organization (W-2/1099-MISC)	(E) Reportable compensation from related organizations (W-2/1099-MISC)	com fro orga	(F) stimated nount of other pensation the anization distribution of the anization of the an	f on on d
OC DRIVER OF DOLL LOW	1 00	stee	trustee		U	ensated						
26) BRUCE G. POLLACK TRUSTEE	1.00	· ·						0.	0.			0
27) STEPHEN R. REINER	1.00	X						0.	0.			0 .
TRUSTEE	0.	Х						0.	0.			0
28) ADAM RIFKIN	1.00							0.	0.			
TRUSTEE	0.	Х						0.	0.			0 .
29) CAROL R. SAIVETZ	1.00	21						0.	0.			
TRUSTEE	0.	Х						0.	0.			0.
30) BARBARA Z. SANDER	1.00											
TRUSTEE	0.	Х						0.	0.			0.
31) MINDY L. SCHNEIDER	1.00											
TRUSTEE	0.	Х						0.	0.			0 .
32) CYNTHIA SHAPIRA	1.00											
TRUSTEE	0.	Х						0.	0.			0.
33) MALCOM L. SHERMAN	1.00											
TRUSTEE	0.	Х						0.	0.			0.
34) MARK A. SURCHIN	1.00											
TRUSTEE	0.	X						0.	0.			0 .
35) PERRY TRAQUINA	1.00											
TRUSTEE	0.	X						0.	0.			0 .
36) BARTON J. WINOKUR	1.00											
TRUSTEE	0.	X						0.	0.			0 .
1b Sub-total												
c Total from continuation sheets to Part VII, S	ection A											
d Total (add lines 1b and 1c)							>					
2 Total number of individuals (including but not				d al	OOV	e) who	o re	eceived more than	\$100,000 of			
reportable compensation from the organization		364	t									
- Bill II - 1 - 1 - 1 - 1 - 1 - 1 - 1 - 1 - 1											Yes	No
3 Did the organization list any former offic employee on line 1a? If "Yes," complete Schede	ule J for su	ch ina	livid	ual						3	Х	
4 For any individual listed on line 1a, is the sorganization and related organizations graindividual	eater than	\$15	0,0	00?	If	"Yes	3,"	complete Schedu	le J for such	4	Х	
5 Did any person listed on line 1a receive or												
for services rendered to the organization? If "Ye										5		Х
Section B. Independent Contractors												
1 Complete this table for your five highest com compensation from the organization. Report of												

year.

(A) Name and business address	(B) Description of services	(C) Compensation

2 Total number of independent contractors (including but not limited to those listed above) who received more than \$100,000 in compensation from the organization ►

Form 990 (2016) Page **8**

Part VII Section A. Officers, Directors, Tru	ıstees, Ke	y En	nplo	ye	es,	and H	ligl	hest Compensat	ed Employees (d	ontinue	ed)
(A) Name and title	(B) Average hours per week (list any hours for related organizations below dotted line)	box,	unle	Pos heck ss pe	erson	e than or is both a cor/truste employee	an	(D) Reportable compensation from the organization (W-2/1099-MISC)	(E) Reportable compensation from related organizations (W-2/1099-MISC)	com fr org and	(F) stimated nount of other pensation om the anization d related anizations
37) RONALD LIEBOWITZ	60.00										
PRESIDENT	0.	Х		Х				449,708.	0.		41,888.
38) LISA LYNCH	50.00										
PROVOST	0.			Х				471,161.	0.		75,164.
39) STEWART URETSKY	50.00										
EVP FINANCE AND ADMINISTRATION	0.			X				168,762.	0.		11,076.
40) MARIANNE CWALINA	50.00										
SVP FOR FINANCE & TREASURER	0.			Х				288,722.	0.		50,774.
41) PETER GIUMETTE	50.00										
ASSISTANT SECRETARY	0.			Х				178,558.	0.		17,170.
42) STEVEN MANOS	50.00										
SVP/CHIEF OPERATING OFFICER	0.			Х				253,733.	0.		21,936.
43) ANDREW FLAGEL	50.00										
SVP, STUDENTS & ENROLLMENT	0.				X			258,055.	0.		48,300.
44) NANCY WINSHIP	50.00										
SVP, INSTITUTIONAL ADVANCEMENT	0.				X			501,429.	0.		28,002.
45) NICHOLAS WARREN	50.00										
CHIEF INVESTMENT OFFICER	0.				X			716,533.	0.		26,200.
46) STEVEN LOCKE	50.00										
GENERAL COUNSEL	0.				X			221,219.	0.		64,949.
47) JAMES GRAY	50.00										
VP FOR OPERATIONS	0.				X			249,380.	0.		33,318.
Sub-total C Total from continuation sheets to Part VII, S d Total (add lines 1b and 1c) Total number of individuals (including but not reportable compensation from the organization)	limited to t		liste		bov	e) who	► ► re	ceived more than	\$100,000 of		
3 Did the organization list any former office employee on line 1a? If "Yes," complete Schedul.	ule J for su	ch ina	livid	ual			•			3	Yes No
4 For any individual listed on line 1a, is the sorganization and related organizations graindividual.	eater than	\$15	50,0	00?	? It	"Yes,	" (complete Schedu	le J for such	4	X
5 Did any person listed on line 1a receive or for services rendered to the organization? If "You										5	X

Section B. Independent Contractors

1 Complete this table for your five highest compensated independent contractors that received more than \$100,000 of compensation from the organization. Report compensation for the calendar year ending with or within the organization's tax year.

(A) Name and business address	(B) Description of services	(C) Compensation

2 Total number of independent contractors (including but not limited to those listed above) who received more than \$100,000 in compensation from the organization ▶

w oi	Average hours per veek (list any hours for related organizations below dotted line) 50.00 0. 50.00 0. 50.00 0. 50.00	box,	unles	ss pe	ition more	n oth ust highest compensated en is or/temployee	an	Reportable compensation from the organization (W-2/1099-MISC)	Reportable compensation from related organizations (W-2/1099-MISC)	com fr org and	timated nount of other pensation the anization drelated anization
) JUDITH GLASSER INTERIM SVP, COMMUNICATIONS) JAMES LA CRETA INTERIM CHIEF INFORMATION OFF.) EDWARD HACKETT VP FOR RESEARCH) FREDERICK LAWRENCE FACULTY) STEVEN GOLDSTEIN	50.00 0. 50.00 0. 50.00 0. 50.00	Individual trustee or director	Institutional trustee	Officer	X	Highest compensated employee	Former	(W-2/1099-MISC) 218,723.	0.	org and	anizatio d relateo anization
INTERIM SVP, COMMUNICATIONS) JAMES LA CRETA INTERIM CHIEF INFORMATION OFF.) EDWARD HACKETT VP FOR RESEARCH) FREDERICK LAWRENCE FACULTY) STEVEN GOLDSTEIN	0. 50.00 0. 50.00 0. 50.00										23,9
INTERIM CHIEF INFORMATION OFF. DEDWARD HACKETT VP FOR RESEARCH FREDERICK LAWRENCE FACULTY STEVEN GOLDSTEIN	0. 50.00 0. 50.00				Х			176 016			
VP FOR RESEARCH) FREDERICK LAWRENCE FACULTY) STEVEN GOLDSTEIN	0. 50.00							176,816.	0.		37,2
FACULTY) STEVEN GOLDSTEIN	0.				Х			221,038.	0.		21,6
+_	50 00					Х		955,334.	0.		43,1
	0.					Х		543,218.	0.		51,0
) BRUCE MAGID DEAN OF IBS	50.00					Х		541,139.	0.		41,6
) IRVING EPSTEIN FACULTY	50.00					Х		398,458.	0.		31,1
DIRECTOR OF INVESTMENTS	50.00					Х		368,026.	0.		33,2
) DAVID BUNIS FORMER CHF LEGAL CNSL/ADVISOR	0.						Х	348,239.	0.		17,8
o Sub-total Total from continuation sheets to Part VII, Sector Total (add lines 1b and 1c)	ction A				 		* * *				
Total number of individuals (including but not lim reportable compensation from the organization	nited to t		iste				o re	ceived more than	\$100,000 of		
Did the organization list any former officer, employee on line 1a? If "Yes," complete Schedule										3	Yes
For any individual listed on line 1a, is the sur organization and related organizations great	ım of rep iter than	ortab \$15	le c	com 00?	pen <i>If</i>	satior <i>"Ye</i> s	n ar ຣ," ເ	nd other compens complete Schedu	sation from the		v
individual	ccrue co	mpen	sati	on f	rom	any	unı	related organization		5	Х

compensation from the organization. Report compensation for the calendar year ending with or within the organization's tax year.

(A) Name and business address	(B) Description of services	(C) Compensation

2 Total number of independent contractors (including but not limited to those listed above) who received more than \$100,000 in compensation from the organization ▶

Form 990 (2016) BRANDEIS UNIVERSITY 04-2103552 Page **9**

Part VIII Statement of Revenue

G.I	t VIII	Statement of Revenue Check if Schedule O contains a resp	onse or note to an	y line in this Part VII	II		
				(A) Total revenue	(B) Related or exempt function revenue	(C) Unrelated business revenue	(D) Revenue excluded from tar under sections 512-514
and Other Similar Amounts	1a	Federated campaigns 1a					
og	b	Membership dues 1b	253,949.				
Ā	С	Fundraising events 1c	245,581.				
l iii	d						
ŝ	е	Government grants (contributions) 1e					
þe	f	All other contributions, gifts, grants,	E0 420 040				
ğ		and similar amounts not included above . 1f	79,439,949. 4,674,495.				
ang	g	Noncash contributions included in lines 1a-1f: \$ _		79,939,479.			
- 1	<u>h</u>	Total. Add lines 1a-1f	Business Code	19,939,419.			
Program Service Revenue	20	TUITION & FEES	611710	252,145,109.	252,145,109.		
Š	2a b	SPONSORED PROGRAMS	611710	61,278,788.	61,278,788.		
<u>8</u>	b	AUXILIARY SERVICES	721000	41,200,782.	40,836,688.	233,502.	130,592
Š	d	BRANDEIS NATIONAL COMMITTEE	611710	1,542,471.	1,542,471.	<u> </u>	-
ב ב	u	FOSTER BIO LAB	611710	72,773.		72,773.	
g	f	All other program service revenue		7,885,404.	7,882,554.	2,850.	
요	g	Total. Add lines 2a-2f		364,125,327.			
	3	Investment income (including divide					
		and other similar amounts)		9,821,207.		-550,457.	10,371,664
	4	Income from investment of tax-exempt bor		0.			
	5	Royalties	▶	1,566,811.			1,566,811
		(i) Real	(ii) Personal				
	6a	Gross rents					
	b	Less: rental expenses					
	С	Rental income or (loss) 1,543,019					
	d	Net rental income or (loss)		1,543,019.		825,592.	717,427
	7a	Gross amount from sales of (i) Securities	(ii) Other				
		assets other than inventory 259,660,063					
	b	Less: cost or other basis					
		and sales expenses 196,334,328					
	С	Gain or (loss)					
	d	Net gain or (loss)		63,325,735.		742,211.	62,583,524
e l	8a	Gross income from fundraising					
e l		events (not including \$ ^{245,581.}					
&		of contributions reported on line 1c).	382,092.				
Other Revenue		See Part IV, line 18	•				
ნ ∣		Less: direct expenses Net income or (loss) from fundraising event	-	0.			
	c 9a	Gross income from gaming activities.		0.			
		See Part IV, line 19	_				
	b	Less: direct expenses	D	0.			
	10a	Gross sales of inventory, less		<u> </u>			
		returns and allowances					
	b C	Less: cost of goods sold	D	0.			
		Miscellaneous Revenue	Business Code				
ſ	11a	MISCELLANEOUS	611710	220,886.	220,886.		
	b						
	С						
	d	All other revenue					
	е	Total. Add lines 11a-11d	▶	220,886.			
	12	Total revenue. See instructions.		520,542,464.	363,906,496.	1,326,471.	75,370,018

JSA 6E1051 1.000

Part IX Statement of Functional Expenses

Section 501(c)(3) and 501(c)(4) organizations must complete all columns. All other organizations must complete column (A).

	Check if Schedule O contains a response or note to any line in this Part IX						
	not include amounts reported on lines 6b, 7b, 9b, and 10b of Part VIII.	(A) Total expenses	(B) Program service expenses	(C) Management and general expenses	(D) Fundraising expenses		
1	Grants and other assistance to domestic organizations and domestic governments. See Part IV, line 21	4,598,316.	4,598,316.				
2	Grants and other assistance to domestic individuals. See Part IV, line 22	95,992,035.	95,992,035.				
3	Grants and other assistance to foreign organizations, foreign governments, and foreign individuals. See Part IV, lines 15 and 16 Benefits paid to or for members	2,077,424.	2,077,424.				
5	Compensation of current officers, directors, trustees, and key employees	5,256,471.	1,032,993.	3,559,849.	663,629.		
6 7	Compensation not included above, to disqualified persons (as defined under section 4958(f)(1)) and persons described in section 4958(c)(3)(B) Other salaries and wages	929,639. 149,606,532.	929,639. 133,261,920.	11,634,466.	4,710,146.		
	Pension plan accruals and contributions (include section 401(k) and 403(b) employer contributions) Other employee benefits	9,839,943. 15,923,559.	8,841,443. 13,925,794.	703,426. 1,447,978.	295,074. 549,787.		
10 11	Payroll taxes	10,236,172.	9,034,529.	865,028.	336,615.		
b	Management Legal	0.	1,116,543.	190,618.			
c	Accounting Lobbying	348,600. 6,290.	6,290.	348,600.			
1	Professional fundraising services. See Part IV, line 17 Investment management fees	3,758,168.		3,758,168.			
	Other. (If line 11g amount exceeds 10% of line 25, column (A) amount, list line 11g expenses on Schedule O.) Advertising and promotion	5,434,593. 1,322,350.	3,163,888. 1,132,712.	2,245,205. 178,993.	25,500. 10,645.		
	Office expenses	11,657,202. 5,906,432.	9,067,886. 4,123,452.	2,167,359. 1,712,298.	421,957. 70,682.		
15 16	Royalties	0. 21,017,117. 6,705,868.	18,691,160. 6,268,910.	2,054,627. 286,504.	271,330. 150,454.		
17 18	Payments of travel or entertainment expenses for any federal, state, or local public officials	0.	2,222,223	2337333			
19 20	Conferences, conventions, and meetings Interest	1,756,872. 9,808,015.	1,662,754. 9,040,420.	70,213. 631,772.	23,905. 135,823.		
21 22 23	Payments to affiliates Depreciation, depletion, and amortization Insurance	0. 26,689,179. 1,667,719.	24,575,891. 19,332.	1,739,351. 1,648,387.	373,937.		
24	Other expenses. Itemize expenses not covered above (List miscellaneous expenses in line 24e. If line 24e amount exceeds 10% of line 25, column (A) amount, list line 24e expenses on Schedule O.)						
b	DINING SERVICES STIPENDS AND FELLOWSHIPS	18,349,743. 11,411,630.	17,376,038. 11,403,694.	870,302. 7,936.	103,403.		
c	STUDY ABROAD PAYMENTS LIBRARY	4,754,422. 3,827,128. 15,090,350.	4,742,452. 3,825,383. 8,637,752.	11,970. 1,745. 4,450,553.	2,002,045.		
25	Total functional expenses. Add lines 1 through 24e Joint costs. Complete this line only if the organization reported in column (B) joint costs from a combined educational campaign and fundraising solicitation. Check here if following SOP 98-2 (ASC 958-720)	15,090,350.	8,637,752. 394,548,650.	40,585,348.	10,144,932.		
JSA		0.			F 000 (0040)		

JSA 6E1052 1.000

Form **990** (2016)

7673LT 1592 2150887 PAGE 13

04-2103552

Form 990 (2016) Part X Ba Balance Sheet

	ווא	Dalance Sheet						
		Check if Schedule O contains a response o	r note	e to any line in this P	art X			
					(A)		(B)	
					Beginning of year		End of year	
	1	Cash - non-interest-bearing			5,676,339.	1	13,345,591.	
	2	Savings and temporary cash investments	24,398,913.	2	16,813,122.			
	3	Pledges and grants receivable, net			22,422,877.	3	14,394,956.	
	4	Accounts receivable, net			10,498,440.	4	11,181,044.	
	5	Loans and other receivables from current and t						
		trustees, key employees, and highest co	omper	nsated employees.				
	_	Complete Part II of Schedule L Loans and other receivables from other disqualified personal control of the cont			0.	5	0.	
	6	4958(f)(1)), persons described in section 4958(c)(3)(B),						
		and sponsoring organizations of section 501(c)(9) volu	intary	employees' beneficiary				
Ś		organizations (see instructions). Complete Part II of Sche	dule L		0.		0.	
Assets	7	Notes and loans receivable, net			13,069,760.	7	11,979,758.	
As	8	Inventories for sale or use			354,952.	8	329,802.	
	9	Prepaid expenses and deferred charges			5,880,958.	9	7,211,214.	
	10 a	Land, buildings, and equipment: cost or		E44 000 000				
	_		10a		246 050 405		240 706 401	
		Less: accumulated depreciation			346,858,405.	_	340,786,481.	
	11				890,239,945.	11		
	12	Investments - other securities. See Part IV, line 11			0.		0.	
	13							
	14	Intangible assets	0. 10,026,667.	17	0. 56,881,372.			
	15	Other assets. See Part IV, line 11			1,329,427,256.	15 16	1,474,125,833.	
_	16 17	Total assets. Add lines 1 through 15 (must equal			24,376,414.	17	28,906,571.	
	18	Accounts payable and accrued expenses	0.	_	0.			
	19	Grants payable	23,634,574.	19	23,491,200.			
	20	Deferred revenue Tax-exempt bond liabilities			240,663,053.	20	251,721,495.	
	21	Escrow or custodial account liability. Complete Pa	art IV d	of Schedule D	0.	21	0.	
S	22	Loans and other payables to current and for						
Liabilities		trustees, key employees, highest compens						
Ιġ		disqualified persons. Complete Part II of Schedule			0.	22	0.	
Ë	23	Secured mortgages and notes payable to unrelate			2,922,964.	23	31,416,269.	
	24	Unsecured notes and loans payable to unrelated to			0.	24	0.	
	25	Other liabilities (including federal income tax,						
		parties, and other liabilities not included on lines	17-2	4). Complete Part X				
		of Schedule D			25,335,677.	25	24,245,349.	
	26	Total liabilities. Add lines 17 through 25			316,932,682.	26	359,780,884.	
s		Organizations that follow SFAS 117 (ASC 958),	check	there $ ightharpoonup X$ and				
Fund Balances	27	complete lines 27 through 29, and lines 33 and Unrestricted net assets			177,796,409.	27	184,152,053.	
ala	28	Unrestricted net assets Temporarily restricted net assets			235,674,213.	28	273,864,262.	
g B	29	Permanently restricted net assets			599,023,952.	29	656,328,634.	
ڃ		Organizations that do not follow SFAS 117 (ASC 958)					000,000,000	
٥		complete lines 30 through 34.	, 01100	K Here P und				
its	30	Capital stock or trust principal, or current funds				30		
sse	31	Paid-in or capital surplus, or land, building, or equ	iipmer	nt fund		31		
Net Assets	32	Retained earnings, endowment, accumulated inco				32		
Ne	33	Total net assets or fund balances			1,012,494,574.	33	1,114,344,949.	
_	34	Total liabilities and net assets/fund balances	<u> </u>	<u> </u>	1,329,427,256.	34	1,474,125,833.	
							Eorm 990 (2016)	

Form **990** (2016)

Page **11**

6E1053 1.000 7673LT 1592 2150887 BRANDEIS UNIVERSITY

Page **12** Form 990 (2016)

	20 (2010)					90
Part						77
	Check if Schedule O contains a response or note to any line in this Part XI		· · · · <u>·</u>	00 5	40 4	X
1	Total revenue (must equal Part VIII, column (A), line 12)	1		20,5		
2	Total expenses (must equal Part IX, column (A), line 25)	2		45,2		
3	Revenue less expenses. Subtract line 2 from line 1	3		75,2		
4	Net assets or fund balances at beginning of year (must equal Part X, line 33, column (A))	4		12,4		
5	Net unrealized gains (losses) on investments	5		27,5	33,9	
6	Donated services and use of facilities	6				0.
7	Investment expenses	7				0.
8	Prior period adjustments	8				0.
9	Other changes in net assets or fund balances (explain in Schedule O)	9		-9	47,1	21.
10	Net assets or fund balances at end of year. Combine lines 3 through 9 (must equal Part X, line					
	33, column (B))	10	1,1	14,3	44,9	49.
Part	XII Financial Statements and Reporting					
	Check if Schedule O contains a response or note to any line in this Part XII					
					Yes	No
1	Accounting method used to prepare the Form 990: Cash X Accrual Other					
	If the organization changed its method of accounting from a prior year or checked "Other," e	xplair	ı in			
	Schedule O.					
2a	Were the organization's financial statements compiled or reviewed by an independent accountant?.			2a		X
	If "Yes," check a box below to indicate whether the financial statements for the year were con	piled	or			
	reviewed on a separate basis, consolidated basis, or both:					
	Separate basis Consolidated basis Both consolidated and separate basis					
b	Were the organization's financial statements audited by an independent accountant?			2b	X	
	If "Yes," check a box below to indicate whether the financial statements for the year were audi					
	separate basis, consolidated basis, or both:					
	Separate basis					
С	If "Yes" to line 2a or 2b, does the organization have a committee that assumes responsibility for	overs	ight			
	of the audit, review, or compilation of its financial statements and selection of an independent acc		-	2c	X	
	If the organization changed either its oversight process or selection process during the tax year, e					
	Schedule O.	•				
3a	As a result of a federal award, was the organization required to undergo an audit or audits as se	t forth	n in			
	the Single Audit Act and OMB Circular A-133?			3a	Х	
b	If "Yes," did the organization undergo the required audit or audits? If the organization did not und	ergo	the			
	required audit or audits, explain why in Schedule O and describe any steps taken to undergo such au			3b	Х	

6E1054 1.000 7673LT 1592 2150887 PAGE 15

SCHEDULE A (Form 990 or 990-EZ)

Public Charity Status and Public Support

Complete if the organization is a section 501(c)(3) organization or a section 4947(a)(1) nonexempt charitable trust.

Attach to Form 990 or Form 990-EZ.

▶Information about Schedule A (Form 990 or 990-EZ) and its instructions is at www.irs.gov/form990.

OMB No. 1545-0047

2016

Open to Public Inspection

Name of the organization

Department of the Treasury

Employer identification number 04-2103552

BRA	NDE	EIS	UNIVERSITY					04-21035	52
Pai	τl	R	eason for Public Cha	rity Status (All o	rganizations must o	omplete	e this pa	art.) See instructions	S.
The	orga	aniza	ation is not a private fou	ndation because it	is: (For lines 1 through	gh 12, ch	eck only	one box.)	
1		Ас	hurch, convention of chu	urches, or associat	tion of churches desc	ribed in s	ection 1	70(b)(1)(A)(i).	
2	X	Αs	chool described in secti	on 170(b)(1)(A)(ii)	. (Attach Schedule E	(Form 99	00 or 990)-EZ).)	
3		Αh	ospital or a cooperative	hospital service of	rganization described	in sectio	n 170(b)	(1)(A)(iii).	
4		Αn	nedical research organiz	zation operated in	conjunction with a hos	spital des	scribed in	n section 170(b)(1)(A)(iii). Enter the
		hos	spital's name, city, and st	tate:					
5		An	organization operated t	for the benefit of	a college or universit	y owned	d or ope	erated by a governme	ental unit described in
		sec	tion 170(b)(1)(A)(iv). (C	Complete Part II.)					
6		A fe	ederal, state, or local go	vernment or gover	rnmental unit describe	d in sect	ion 170(b)(1)(A)(v).	
7		An	organization that norma	ally receives a sub	stantial part of its su	ipport fro	om a go	vernmental unit or fr	om the general public
		des	cribed in section 170(b)	(1)(A)(vi). (Comple	ete Part II.)				
8		Ас	ommunity trust describe	ed in section 170(b)(1)(A)(vi). (Complete	Part II.)			
9		An	agricultural research org	ganization describe	ed in section 170(b)(1)(A)(ix)	perated	I in conjunction with a	land-grant college
		or u	university or a non-land-	grant college of ag	riculture (see instruct	ions). Ei	nter the	name, city, and state o	of the college or
		univ	versity:						
10		rec sup acq	organization that norma eipts from activities rela port from gross investm juired by the organizatio	ted to its exempt for the ent income and ur In after June 30, 19	unctions - subject to on nrelated business tax 1975. See section 509 0	certain e able incc (a)(2). (C	xception me (les: complete	s, and (2) no more that s section 511 tax) from e Part III.)	an 331/3 %of its
11	Щ		organization organized	•	•	•			
12			organization organized	•	,	, ,		,	, , ,
			one or more publicly su						
		Che	eck the box in lines 12a t	hrough 12d that de	escribes the type of s	upporting	g organiz	zation and complete li	nes 12e, 12f, and 12g
а		_ T;	ype I . A supporting orga	anization operated,	, supervised, or contr	olled by	its supp	orted organization(s),	typically by giving
		th	ne supported organization	on(s) the power to	regularly appoint or e	lect a ma	ajority of	the directors or truste	ees of the
			upporting organization.	=					
b			ype II . A supporting org	-					
			ontrol or management o			the sam	e persor	ns that control or mar	nage the supported
			rganization(s). You must						
С			ype III functionally integ	- : :					Ily integrated with,
			s supported organization		-				
d			ype III non-functionally						= ::
			nat is not functionally inte	-	-	-		<u>-</u>	d an attentiveness
		_	equirement (see instruct	•	•				U. T
е			heck this box if the orga						II, Type III
f	Ent		inctionally integrated, or he number of supported			porting c	organizai	ion.	
,			e the following information						
9			of supported organization	(ii) EIN	(iii) Type of organization	(iv) Is the	organization	(v) Amount of monetary	(vi) Amount of
	(.,	u1110 ·	or supported organization	(,	(described on lines 1-10	listed in you	ur governing	support (see	other support (see
					above (see instructions))	Yes	nent?	instructions)	instructions)
						163	NO		
(A)									
(B)									
(C)									
(D)									
(D)									
/ C \									
(E)									
Tata									

For Paperwork Reduction Act Notice, see the Instructions for Form 990 or 990-EZ.

Schedule A (Form 990 or 990-EZ) 2016

Page 2 Schedule A (Form 990 or 990-EZ) 2016

Support Schedule for Organizations Described in Sections 170(b)(1)(A)(iv) and 170(b)(1)(A)(vi) Part II (Complete only if you checked the box on line 5, 7, or 8 of Part I or if the organization failed to qualify under Part III. If the organization fails to qualify under the tests listed below, please complete Part III.)

Sec	tion A. Public Support						
Cale	ndar year (or fiscal year beginning in)	(a) 2012	(b) 2013	(c) 2014	(d) 2015	(e) 2016	(f) Total
1	Gifts, grants, contributions, and membership fees received. (Do not include any "unusual grants.")	33,638,176.	41,087,158.	48,364,246.	36,329,038.	79,939,479.	239,358,097.
2	Tax revenues levied for the organization's benefit and either paid to or expended on its behalf						0.
3	The value of services or facilities furnished by a governmental unit to the organization without charge						0.
4	Total. Add lines 1 through 3	33,638,176.	41,087,158.	48,364,246.	36,329,038.	79,939,479.	239,358,097.
5	The portion of total contributions by each person (other than a governmental unit or publicly supported organization) included on line 1 that exceeds 2% of the amount						40 750 160
6	shown on line 11, column (f) Public support. Subtract line 5 from line 4.						49,750,160.
_	tion B. Total Support						189,607,937.
	ndar year (or fiscal year beginning in)	(a) 2012	(b) 2013	(c) 2014	(d) 2015	(e) 2016	(f) Total
7	Amounts from line 4	33,638,176.	41,087,158.	48,364,246.	36,329,038.	79,939,479.	239,358,097.
8	Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources	6,898,746.	7,579,307.	10,970,543.	8,162,116.	12,655,902.	46,266,614.
9	Net income from unrelated business activities, whether or not the business is regularly carried on						0.
10	Other income. Do not include gain or loss from the sale of capital assets (Explain in Part VI.) ATCH. 1	66,112.	77,992.	99,743.	88,305.	130,592.	462,744.
11	Total support. Add lines 7 through 10 [_	286,087,455.
12	Gross receipts from related activities, etc. (s	ee instructions)				12	1,718,199,598.
13	First five years. If the Form 990 is for organization, check this box and stop here	or the organizati	ion's first, second	d, third, fourth,	or fifth tax yea	ar as a section	
Sec	tion C. Computation of Public Supp	oort Percentag	ge				
14	Public support percentage for 2016 (lin	ne 6, column (f)	divided by line	11, column (f))		14	66.28%
15	Public support percentage from 2015	Schedule A, Pa	rt II, line 14			15	76.14%
16a	331/3% support test - 2016. If the o	rganization did	not check the b	oox on line 13,	and line 14 is	331/3 % or mor	
	this box and stop here. The organization						
b	331/3% support test - 2015. If the o	rganization did	not check a bo	ox on line 13 o	r 16a, and line	15 is 331/3%	or more,
	check this box and stop here. The orga	•					
17a	10%-facts-and-circumstances test - 2	•					
	10% or more, and if the organization					-	•
	Part VI how the organization meets the			•	•		upported
	organization						▶ □
b	10%-facts-and-circumstances test - 2	_					
	15 is 10% or more, and if the orga						-
	Explain in Part VI how the organization				-	-	
	supported organization						
18	Private foundation. If the organization						
	instructions						<u>▶ </u>

6E1220 1.000 7673LT 1592 2150887 Schedule A (Form 990 or 990-EZ) 2016 Page 3

Part III Support Schedule for Organizations Described in Section 509(a)(2)

(Complete only if you checked the box on line 10 of Part I or if the organization failed to qualify under Part II. If the organization fails to qualify under the tests listed below, please complete Part II.)

				· · ·			
Sec	tion A. Public Support			I	T		
Caler	ndar year (or fiscal year beginning in) 🕨	(a) 2012	(b) 2013	(c) 2014	(d) 2015	(e) 2016	(f) Total
1	Gifts, grants, contributions, and membership fees						
	received. (Do not include any "unusual grants.")						
2	Gross receipts from admissions, merchandise						
	sold or services performed, or facilities						
	furnished in any activity that is related to the						
	organization's tax-exempt purpose						
3	Gross receipts from activities that are not an						
	unrelated trade or business under section 513						
4	Tax revenues levied for the						
	organization's benefit and either paid						
	to or expended on its behalf						
5	The value of services or facilities						
	furnished by a governmental unit to the						
	organization without charge						
6	Total. Add lines 1 through 5						
7 a	Amounts included on lines 1, 2, and 3						
	received from disqualified persons						
b	Amounts included on lines 2 and 3						
	received from other than disqualified persons that exceed the greater of \$5,000						
	or 1% of the amount on line 13 for the year						
С	Add lines 7a and 7b						
8	Public support. (Subtract line 7c from						
	line 6.)						
Sec	tion B. Total Support						
Caler	ndar year (or fiscal year beginning in) 🕨	(a) 2012	(b) 2013	(c) 2014	(d) 2015	(e) 2016	(f) Total
9	Amounts from line 6						
10 a	Gross income from interest, dividends, payments received on securities loans,						
	rents, royalties and income from similar						
	sources						
b	Unrelated business taxable income (less						
	section 511 taxes) from businesses						
	acquired after June 30, 1975						
С	Add lines 10a and 10b						
11	Net income from unrelated business						
	activities not included in line 10b, whether or not the business is regularly						
	carried on						
12	Other income. Do not include gain or						
	loss from the sale of capital assets						
	(Explain in Part VI.)						
13	Total support. (Add lines 9, 10c, 11,						
	and 12.)						
14	First five years. If the Form 990 is for	•	·				` ` ` ` _
	organization, check this box and stop here.						▶ 🔼
	tion C. Computation of Public Sup	•					
15	Public support percentage for 2016 (line 8,					15	%
16	Public support percentage from 2015 Sche					16	%
	tion D. Computation of Investmen						
17	Investment income percentage for 2016 (lin					17	%
18	Investment income percentage from 2015					18	%
19 a	331/3% support tests - 2016. If the org						. \square
	17 is not more than 331/3 %, check thi		-				
b	331/3% support tests - 2015. If the orga						
	line 18 is not more than 331/3 %, check			-			
20	Private foundation. If the organization of	did not check	a box on line	14, 19a, or 19b	o, check this be	ox and see instr	uctions >

Schedule A (Form 990 or 990-EZ) 2016 Page **4**

Part IV Supporting Organizations

(Complete only if you checked a box in line 12 on Part I. If you checked 12a of Part I, complete Sections A and B. If you checked 12b of Part I, complete Sections A and C. If you checked 12c of Part I, complete Sections A, D, and E. If you checked 12d of Part I, complete Sections A and D, and complete Part V.)

Section A. All Supporting Organizations

			Yes	No
1	Are all of the organization's supported organizations listed by name in the organization's governing documents? If "No," describe in Part VI how the supported organizations are designated. If designated by class or purpose, describe the designation. If historic and continuing relationship, explain.	1		
2	Did the organization have any supported organization that does not have an IRS determination of status under section 509(a)(1) or (2)? If "Yes," explain in Part VI how the organization determined that the supported organization was described in section 509(a)(1) or (2).	2		
3a	Did the organization have a supported organization described in section 501(c)(4), (5), or (6)? If "Yes," answer (b) and (c) below.	3a		
b	Did the organization confirm that each supported organization qualified under section 501(c)(4), (5), or (6) and satisfied the public support tests under section 509(a)(2)? If "Yes," describe in Part VI when and how the organization made the determination.	3b		
С	Did the organization ensure that all support to such organizations was used exclusively for section 170(c)(2)(B) purposes? If "Yes," explain in Part VI what controls the organization put in place to ensure such use.	3с		
4a	Was any supported organization not organized in the United States ("foreign supported organization")? If "Yes," and if you checked 12a or 12b in Part I, answer (b) and (c) below.	4a		
b	Did the organization have ultimate control and discretion in deciding whether to make grants to the foreign supported organization? If "Yes," describe in Part VI how the organization had such control and discretion despite being controlled or supervised by or in connection with its supported organizations.	4b		
С	Did the organization support any foreign supported organization that does not have an IRS determination under sections 501(c)(3) and 509(a)(1) or (2)? If "Yes," explain in Part VI what controls the organization used to ensure that all support to the foreign supported organization was used exclusively for section 170(c)(2)(B) purposes.	4c		
5a	Did the organization add, substitute, or remove any supported organizations during the tax year? If "Yes," answer (b) and (c) below (if applicable). Also, provide detail in Part VI , including (i) the names and EIN numbers of the supported organizations added, substituted, or removed; (ii) the reasons for each such action; (iii) the authority under the organization's organizing document authorizing such action; and (iv) how the action was accomplished (such as by amendment to the organizing document).	5a		
b	Type I or Type II only. Was any added or substituted supported organization part of a class already designated in the organization's organizing document?	5b		
С	Substitutions only. Was the substitution the result of an event beyond the organization's control?	5c		
6	Did the organization provide support (whether in the form of grants or the provision of services or facilities) to anyone other than (i) its supported organizations, (ii) individuals that are part of the charitable class benefited by one or more of its supported organizations, or (iii) other supporting organizations that also support or			
	benefit one or more of the filing organization's supported organizations? If "Yes," provide detail in Part VI.	6		
7	Did the organization provide a grant, loan, compensation, or other similar payment to a substantial contributor (defined in section 4958(c)(3)(C)), a family member of a substantial contributor, or a 35% controlled entity with regard to a substantial contributor? If "Yes," complete Part I of Schedule L (Form 990 or 990-EZ).	7		
8	Did the organization make a loan to a disqualified person (as defined in section 4958) not described in line 7? If "Yes," complete Part I of Schedule L (Form 990 or 990-EZ).	8		
9a	Was the organization controlled directly or indirectly at any time during the tax year by one or more disqualified persons as defined in section 4946 (other than foundation managers and organizations described in section 509(a)(1) or (2))? If "Yes," provide detail in Part VI .	9a		
b	Did one or more disqualified persons (as defined in line 9a) hold a controlling interest in any entity in which the supporting organization had an interest? If "Yes," provide detail in Part VI .	9b		

c Did a disqualified person (as defined in line 9a) have an ownership interest in, or derive any personal benefit

b Did the organization have any excess business holdings in the tax year? (Use Schedule C, Form 4720, to

from, assets in which the supporting organization also had an interest? If "Yes," provide detail in **Part VI.**10 a Was the organization subject to the excess business holdings rules of section 4943 because of section 4943(f) (regarding certain Type II supporting organizations, and all Type III non-functionally integrated

Schedule A (Form 990 or 990-EZ) 2016

9c

10a

10b

supporting organizations)? If "Yes," answer 10b below.

determine whether the organization had excess business holdings.)

Schedule A (Form 990 or 990-EZ) 2016 Page **5**

Has the organization accepted a gift or contribution from any of the following persons? a A person who directly or indirectly controls, either alone or together with persons described in (b) and (c) below, the governing body of a supported organization? b A family member of a person described in (a) above? c A 35% controlled entity or a person described in (a) above? c A 35% controlled entity or a person described in (a) above? 1 Did the directors, trustees, or membership of one or more supported organizations have the power to regularly appoint or elect at least a majority of the organizations directors or trustees at all times during the tax year? If "No," describe har Part N how the supported organizations of controlled the organization's activities. If the organization had more than one supported organization describe how the powers to appoint and/or remove directors or trustees were allocated among the supported organizations and what conditions or restrictions, if any, applied to such powers during the tax year. 2 Did the organization of particle camed out the purposes of the supported organization(s) that operated, supervised, or controlled the supporting organizations. 1 Were a majority of the organization's directors or trustees the supported organization of the supported organization's any supervised, or controlled the supporting organization. 1 Were a majority of the organization's directors or trustees during the tax year also a majority of the directors or trustees of each of the organization's supported organizations or supported organization's activation or management of the supporting organization is supported organizations or supported organization's activation or management of the supporting organization's supported organization's activation or trustees of each of the organization's activation or the properties of the organization's activation or the properties of the organization's activates and continuous working relationship the use of the organization's activated organization's act	Part	Supporting Organizations (continued)			
a A person who directly or indirectly controls, either alone or together with persons described in (b) and (c) bollow. The governing body of a supported organization? b A family member of a person described in (a) above? c A 35% controlled entity of a person described in (a) above? c A 35% controlled entity of a person described in (a) above? 1 Did the directors, trustees, or membership of one or more supported organizations have the power to regularly appoint or elect at least a majority of the organization's directors or trustees at all times during the tax year? If 'No,' describe in Part V how the powers to appoint and/or entower entower described your and any appoint or granization's activities. If the organization and more than one supported organization, describe how the powers to appoint and/or for any supported organization from than the supported organization or sufficiency. If any, applied to such powers during the tax year. 1 Did the directors or trustees and elicaced among the supported organization what conditions or restrictions, if any, applied to such powers during the tax year. 2 Did the organization organization or restrictions, if any, applied to such powers during the tax year. 1 Did the organization organization or supported organization(s) that operated, supervised, or controlled the supporting organization organization in the purposes of the supported organization(s) that operated. 2 Section C. Type II Supporting Organizations 1 Were a majority of the organization's directors or trustees during the tax year also a majority of the directors or trustees of each of the organization's supported organization, in the apportance of the supporting organization and the supported organization or trustees of each of the organization's supported organization, and (iii) copies of the supporting Organization and the supported organization or the organization organization and the supported organization and the supported organization and the supported organization in Part V Inova the organizatio				Yes	No
below, the governing body of a supported organization? A family member of a person described in (a) above? A 15% controlled entity of a person described in (a) or (b) above? If "Yes" to a, b, or c, provide detail in Part VI. Section B. Type I Supporting Organizations Yes No 1 Did the directors, trustees, or membership of one or more supported organizations have the power to regularly appoint or elect at least a majority of the organization is directors or trustees at all times during the tax year? If "No." describe in Part VI how the supported organizations of effectively operated, supervised, or controlled the organizations activities. If the organization and what conditions or restrictions, If any, applied to such powers during the tax year. 2 Did the organization operate for the benefit of any supported organization other than the supported organization organization only in the organization of controlled the supporting organization in the supported organization organization organization and what conditions or restrictions, If any, applied to such powers during the tax year. 2 Did the organization operate for the benefit of any supported organization of the than the supported organization of the supported organization in the supported organization in the supported organization of the supported organization was vested in the same persons that controlled or management of the supporting organization was vested in the same persons that controlled or management of the supporting organization was vested in the same persons that controlled or management of the supporting organization was vested in the same persons that controlled or management of the supported organization in was vested in the same persons that controlled or management of the supported organization in was vested in the supported organization and (iii) copied in the form 380 that was most recentl	11	Has the organization accepted a gift or contribution from any of the following persons?			
below, the governing body of a supported organization? A family member of a person described in (a) above? A 15% controlled entity of a person described in (a) or (b) above? If "Yes" to a, b, or c, provide detail in Part VI. Section B. Type I Supporting Organizations Yes No 1 Did the directors, trustees, or membership of one or more supported organizations have the power to regularly appoint or elect at least a majority of the organization is directors or trustees at all times during the tax year? If "No." describe in Part VI how the supported organizations of effectively operated, supervised, or controlled the organizations activities. If the organization and what conditions or restrictions, If any, applied to such powers during the tax year. 2 Did the organization operate for the benefit of any supported organization other than the supported organization organization only in the organization of controlled the supporting organization in the supported organization organization organization and what conditions or restrictions, If any, applied to such powers during the tax year. 2 Did the organization operate for the benefit of any supported organization of the than the supported organization of the supported organization in the supported organization in the supported organization of the supported organization was vested in the same persons that controlled or management of the supporting organization was vested in the same persons that controlled or management of the supporting organization was vested in the same persons that controlled or management of the supporting organization was vested in the same persons that controlled or management of the supported organization in was vested in the same persons that controlled or management of the supported organization in was vested in the supported organization and (iii) copied in the form 380 that was most recentl	а	A person who directly or indirectly controls, either alone or together with persons described in (b) and (c)			
c. A 5% controlled entity of a person described in (a) or (b) above? If "Yes" to a, b, or c, provide detail in Part VI. Section B. Type I Supporting Organizations 1. Did the directors, trustees, or membership of one or more supported organizations have the power to regularly appoint or elect at least a majority of the organization's directors or trustees at all times during the tax year? If "No," describe in Part VI how the supported organization's directors or trustees at all times during the tax year? If "No," describe in Part VI how the supported organization's expension, and what conditions or restrictions, if any, applied to such powers during the tax year. 2. Did the organization operate for the benefit of any supported organization other than the supported organization(s) that operated, supervised, or controlled the supporting organization of the supported organization(s) that operated, supervised, or controlled the supporting organization. 2. Did the organization operate for the benefit of any supported organization of the supported organization was vested in the supported organization(s) that operated, supervised, or controlled the supporting organization. 3. Exection C. Type II Supporting Organizations 1. Were a majority of the organization's directors or trustees during the tax year also a majority of the directors or trustees of each of the organization's supported organization's in Part VI how control or managed the supported organization's in the same persons that controlled or managed the supported organization's organization's organization's organization's organization's organization's supported organization's or the organization's organization's organization's or the organization's organization's organization's organization's organization's organization's organization's organization's organiz			11a		
1 Did the directors, trustees, or membership of one or more supported organizations have the power to regularly appoint or elect at least a majority of the organization's directors or trustees at all times during the tax year; (1 1 1 1 1 1 2 2 2 2 2 2 2 2 2 2 2 2 2	b	A family member of a person described in (a) above?	11b		
1 Did the directors, trustees, or membership of one or more supported organizations have the power to regularly appoint or elect at least a majority of the organization's directors or trustees at all times during the tax year? If "No," describe in Part VI how the supported organization's directors or trustees, supervised, or controlled the organization's activities. If the organization had more than one supported organization, describe how the powers to appoint and/or remove directors or trustees were allocated among the supported organizations and what conditions or restrictions, if any, applied to such powers during the tax year. 2 Did the organization operate for the benefit of any supported organization other than the supported organization's that operated, supervised, or controlled the supporting organization, and the providing such benefit carner out the purposes of the supported organization; in Part Vihove providing such benefit carner out the purposes of the supported organization; in Part Vihove providing such benefit carner out the purposes of the supported organization; in Part Vihove providing of the directors or trustees of each of the organization's directors or trustees during the tax year also a majority of the directors or trustees of each of the organization's directors or trustees during the tax year also a majority of the directors or trustees of each of the organization's supported organization was vested in the same persons that controlled or managed the supported organization's provided. If "No," describe in Part Vihove control or managed the supported organization's activation was vested in the same persons that controlled or managed the organization's supported organization's activation was vested in the same persons that day of the lifth month of the organization's activation was vested in the same persons that controlled or managed the organization's governing documents in effect on the date of notification, and (in copies of the organization's power and continuous working relations	С	A 35% controlled entity of a person described in (a) or (b) above? If "Yes" to a, b, or c, provide detail in Part VI.	11c		
1 Did the directors, trustees, or membership of one or more supported organizations have the power to regularly appoint or elect at least a majority of the organization's directors or trustees at all times during the tax year? If 'No,' describe in Part VI how the supported organization's directors or trustees at all times during the tax year and the providing such benefit carried out the organization and what conditions or restrictions, if any, applied to such powers during the tax year. 2 Did the organization sand what conditions or restrictions, if any, applied to such powers during the tax year. 3 Did the organization organization is appointed organization of their than the supported organization of the supported organization or trustees of each of the organization is supported organization or trustees of each of the organization was vested in the same persons that controlled or managed the supported organization tax year, (ii) a copy of the Form 990 that was most recently filed as of the date of notification, and (iii) copies of the organization provide to each of its supported organizations, by the last day of the fifth month of the organization is apported organizations of the organization is apported organizations or support provided during the prior tax year, (ii) a copy of the Form 990 that was most recently filed as of the date of notification, and (iii) copies of the organization's softiand a close and continuous working relationship with the supported organization's supported organization's organization's continuous working relationship with the supported organization's sinceme or assets at all times during the tax year? (i') serving election by which the organization is the parent of each of its supported organization's supporte	Sect	ion B. Type I Supporting Organizations			
regularly appoint or elect at least a majority of the organization's directors or trustees at all times during the tax year? If "No," describe in Part VI how the supported organization of providing and organization's activities. If the organization had more than one supported organization, describe how the powers to appoint and/or remove directors or trustees were allocated among the supported organizations and what conditions or restrictions, if any, applied to such powers during the tax year. 2 Did the organization operate for the benefit of any supported organization other than the supported organization space of the supporting organization. The very experiment of the organization of the supporting organization. Section C. Type II Supporting Organizations 1 Were a majority of the organization's directors or trustees during the tax year also a majority of the directors or trustees of each of the organization's supported organization's supported organization's supported organization's the supported organization's tax year, (i) a written notice describing the type and amount of support provided dying the prior tax year, (i) a cony of the Form 990 that was most recently filed as of the date of notification, and (iii) copies of the organization's to governing documents in effect on the date of notification, and (iii) copies of the organization's poverning documents in effect on the date of notification, and (iii) copies of the organization's poverning documents in effect on the date of notification, and (iii) copies of the organization's poverning documents in effect on the date of notification, and (iii) copies of the organization's poverning documents in effect on the date of notification, and (iii) copies of the organization's poverning documents in effect on the date of notifica				Yes	No
regularly appoint or elect at least a majority of the organization's directors or trustees at all times during the tax year? If "No," describe in Part VI how the supported organization of providing and organization's activities. If the organization had more than one supported organization, describe how the powers to appoint and/or remove directors or trustees were allocated among the supported organizations and what conditions or restrictions, if any, applied to such powers during the tax year. 2 Did the organization operate for the benefit of any supported organization other than the supported organization space of the supporting organization. The very experiment of the organization of the supporting organization. Section C. Type II Supporting Organizations 1 Were a majority of the organization's directors or trustees during the tax year also a majority of the directors or trustees of each of the organization's supported organization's supported organization's supported organization's the supported organization's tax year, (i) a written notice describing the type and amount of support provided dying the prior tax year, (i) a cony of the Form 990 that was most recently filed as of the date of notification, and (iii) copies of the organization's to governing documents in effect on the date of notification, and (iii) copies of the organization's poverning documents in effect on the date of notification, and (iii) copies of the organization's poverning documents in effect on the date of notification, and (iii) copies of the organization's poverning documents in effect on the date of notification, and (iii) copies of the organization's poverning documents in effect on the date of notification, and (iii) copies of the organization's poverning documents in effect on the date of notifica	1	Did the directors, trustees, or membership of one or more supported organizations have the power to			
tax year? If "No." describe in Part VI how the supported organization (s) effectively operated, supervised, or controlled the organization's activities. If the organization and more than one supported organization, describe how the powers to appoint and/or remove directors or trustees were allocated among the supported organizations and what conditions or restrictions, if any, applied to such powers during the tax year. 2 Did the organization operate for the benefit of any supported organization of the than the supported organization (s) that operated, supervised, or controlled the supporting organization? If "Yes," explain in Part VI how providing such benefit carried out the purposes of the supported organization(s) that operated, supervised, or controlled the supporting organization. Section C. Type II Supporting Organizations 1 Were a majority of the organization's directors or trustees during the tax year also a majority of the directors or trustees of each of the organization's supported organization's tax year, (ii) a copy of the Form 990 that was most recently filed as of the date of notification, and (iii) copies of the organization's overally and the supported organization's provided organization's powering documents in effect on the date of notification, to the extent not previously provided? 2 Were any of the organization's officers, directors, or trustees either (i) appointed or elected by the supported organization's provided organization's provided organization's provided organization's played in this regard. 3 By reason of the relationship described in (2), did the organization's provided organization's and provided organization's played in this regard. 4 Check the box next to the method that the organization used to satisfy the Integral Part Tast during the year (see	•				
describe how the powers to appoint and/or remove directors or trustees were allocated among the supported organizations and what conditions or restrictions, if any, applied to such powers during the tax year. 2 Did the organization operate for the benefit of any supported organization of the than the supported organization(s) that operated, supervised, or controlled the supporting organization? If "Yes," explain in Part Whow providing such benefit carried out the purposes of the supported organization(s) that operated, supervised, or controlled the supporting organization. 2 Section C. Type II Supporting Organizations 1 Were a majority of the organization's directors or trustees during the tax year also a majority of the directors or trustees of each of the organization's supported organization(s)? If "No," describe in Part VI how control or management of the supporting organization was vested in the same persons that controlled or managed the supported organization is as year, (ii) a copy of the Form 990 that was most recently filed as of the date of notification, and (iii) copies of the organization's supported organization's supported organization's supported organization, to the extent not previously provided? 2 Were amy of the organization's officers, directors, or trustees either (i) appointed or elected by the supported organization(s) or (ii) serving on the governing body of a supported organization? If "No," explain in Part VI how the organization shape and in (i.e.), did the organization's provided organization shape a significant voice in the organization's investment policies and in directing the use of the organization's supported organization's provided organization's supported organization's supported organization's investment policies and in directing the use of the organization's and explain in Part VI how the organization shape a significant voice in the organization's investment policies and in directing the use of the organization's and explain in (2), did the organization supported orga					
2 Did the organization and what conditions or restrictions, if any, applied to such powers during the tax year. 2 Did the organization operate for the benefit of any supported organization other than the supported organization of the supported organization. 3 Section C. Type II Supporting Organizations 4 Were a majority of the organization's directors or trustees during the tax year also a majority of the directors or trustees of each of the organization's supported organization(s)? If "No," describe in Part VI how control or management of the supporting Organizations was vested in the same persons that controlled or managed the supported organization special organization is tax year, (i) a written notice describing the type and amount of support provided during the prior tax year, (ii) a copy of the Form 990 that was most recently filed as of the date of notification, and (ii) copies of the organization's or (ii) serving on the governing body of a supported organization? If "No," explain in Part VI how but the organization is officers, directors, or trustees either (i) appointed or elected by the supported organization(s) or (ii) serving on the governing body of a supported organization? If "No," explain in Part VI how but the organization is an advantage of the organization is income or assets at all times during the tax year? If "Yes," describe in Part VI the role the organization's supported organization's supported organization's supported organization is the parent of each of its supported organizations. Complete line 3 below. 1 Check the box next to the method that the organization used to satisfy the Integral Part Test during the year (see instructions). 2 Activities Test. Answer (a) and (b) below. 2 Did the organization was responsive to those supported organization's involvement, one or more of the organization was respon		controlled the organization's activities. If the organization had more than one supported organization,			
2 Did the organization operate for the benefit of any supported organization other than the supported organization(s) that operated, supervised, or controlled the supporting organization(s) that operated, supervised, or controlled the supporting organization. Section C. Type II Supporting Organizations 1 Were a majority of the organization's directors or trustees during the tax year also a majority of the directors or trustees of each of the organization's supported organization(s)? If "No," describe in Part VI how control or management of the supporting organization was vested in the same persons that controlled or managed the supported organization was vested in the same persons that controlled or managed the supported organization was vested in the same persons that controlled or managed the supported organization was vested in the same persons that controlled or managed the supported organization or trustees of the supported organization or the form spot that was most recently filed as of the date of notification, and (iii) copies of the organization's governing documents in effect on the date of notification, to the extent not previously provided? 2 Were any of the organization's officers, directors, or trustees either (i) appointed or elected by the supported organization(s) or (ii) serving on the governing body of a supported organization in Part VI how the organization's income or assets at all times during the tax year? If "Yes," describe in Part VI the role the organization's supported organization's played in this regard. 3 By reason of the relationship described in (2), did the organizations. 1 Check the box next to the method that the organization used to satisfy the Integral Part Test during the year (see instructions). 2 Activities Test. Answer (a) and (b) below. 2 Did the activities dorsanization is the parent of each of its supported organization determined that these activities constituted substantially all of its activities. 3 Parent of Supported Organizations. Answer (a) and (b) belo		· · · · · · · · · · · · · · · · · · ·			
organization(s) that operated, supervised, or controlled the supporting organization? If "Yes," explain in Part VI how providing such benefit carried out the purposes of the supported organization(s) that operated, supervised, or controlled the supporting organizations 1 Were a majority of the organization's directors or trustees during the tax year also a majority of the directors or trustees of each of the organization's supported organization(s)? If "No," describe in Part VI how control or management of the supporting Organization was vested in the same persons that controlled or managed the supported organization(s). 1 Did the organization provide to each of its supported organizations, by the last day of the fifth month of the organization's tax year, (i) a written notice describing the type and amount of support provided during the prior tax year, (ii) a copy of the Form 990 that was most recently filed as of the date of notification, and (iii) copies of the organization's governing documents in effect on the date of notification, to the extent not previously provided? 2 Were any of the organization's officers, directors, or trustees either (i) appointed or elected by the supported organization(s) or (ii) serving on the governing body of a supported organization? If "No," explain in Part VI now the organization maintained a close and continuous working relationship with the supported organizations played in this regard. 3 By reason of the relationship described in (2), did the organization's supported organization's income or assets at all times during the tax year? If "Yes," describe in Part VI the role the organization's income or assets at all times during the tax year? If "Yes," describe in Part VI the role the organization's supported organization satisfied the Activities Text. Answer (a) and (b) below. 1 Check the box next to the method that the organization used to satisfy the Integral Part Test during the year (see instructions). 2 Activities Test. Answer (a) and (b) below. 2 Did the activi		organizations and what conditions or restrictions, if any, applied to such powers during the tax year.	1		
Vinow providing such benefit carried out the purposes of the supported organization(s) that operated, supervised, or controlled the supporting organizations 1 Were a majority of the organization's directors or trustees during the tax year also a majority of the directors or trustees of each of the organization's supported organization's provided organization's supported organization's provided organization was vested in the same persons that controlled or managed the supported organization(s)? If "No," describe in Part VI how control or management of the supporting organization was vested in the same persons that controlled or managed the supported organization's tax year, (i) a written notice describing the type and amount of support provided during the prior tax year, (ii) a copy of the Form 990 that was most recently filed as of the date of notification, and (iii) copies of the organization's governing documents in effect on the date of notification, to the extent not previously provided? 2 Were any of the organization's officers, directors, or trustees either (i) appointed or elected by the supported organization(s) or (ii) serving on the governing body of a supported organization's income or assets at all times during the tax year? If "Yes," describe in Part VI the role the organization's income or assets at all times during the tax year? If "Yes," describe in Part VI the role the organization's income or assets at all times during the tax year? If "Yes," describe in Part VI the role the organization's supported organization's played in this regard. 1 Check the box next to the method that the organization used to satisty the Integral Part Test during the year (see instructions). 2 Activities Test. Answer (a) and (b) below. 3 Did substantially all of the organization's activities during the tax year directly further the exempt purposes of the organization was responsive to those supported organization's provide during that its supported priore progranization determined that these activities organizat	2				
Section C. Type II Supporting Organizations Yes No Were a majority of the organization's directors or trustees during the tax year also a majority of the directors or trustees of each of the organization's directors or trustees of each of the organization's directors or trustees of each of the organization organization was vested in the supported organization(s)? If "No," describe in Part VI how control or managed the supported organization(s). Section D. All Type III Supporting Organizations Did the organization provide to each of its supported organizations, by the last day of the fifth month of the organization's tax year, (i) a written notice describing the type and amount of support provided during the prior tax year, (ii) a copy of the Form 990 that was most recently filed as of the date of notification, and (iii) copies of the organization's provided? Were any of the organization's officers, directors, or trustees either (i) appointed or elected by the supported organization(s) or (ii) serving on the governing body of a supported organization? If "No," explain in Part VI how the organization's or (ii) serving on the governing body of a supported organization? If "No," explain in Part VI how the organization's investment policies and in directing the use of the organization(s). By reason of the relationship described in (2), did the organization's supported organization's supported organization's played in this regard. Check the box next to the method that the organization or sacets at all times during the tax year? If "Yes," describe in Part VI the role the organization's supported organization supported a government entity (see instructions). Check the box next to the method that the organization used to satisfy the Integral Part Test during the year (see instructions). The organization supported a governmental entity, Describe in Part VI they you supported a government entity (see instructions). Activities Test. Answer (a) and (b) below. Did the activities described in (a) constitute activit					
Yes No					
Were a majority of the organization's directors or trustees during the tax year also a majority of the directors or trustees of each of the organization's supported organization(s)? If "No," describe in Part VI how control or management of the supporting organization was vested in the same persons that controlled or managed the supported organization(s). Section D. All Type III Supporting Organizations 1 Did the organization provide to each of its supported organizations, by the last day of the fifth month of the organization's tax year, (i) a written notice describing the type and amount of support provided during the prior tax year, (ii) a copy of the Form 990 that was most recently filled as of the date of notification, and (iii) copies of the organization's operating documents in effect on the date of notification, and (iii) copies of the organization's overling documents in effect on the date of notification, and (iii) copies of the organization's officers, directors, or trustees either (i) appointed or elected by the supported organization's or (ii) serving on the governing body of a supported organization's II' No," explain in Part VI how the organization (s) or (ii) serving on the governing body of a supported organization's have a significant voice in the organization's investment policies and in directing the use of the organization's supported organizations played in this regard. Section E. Type III Functionally Integrated Supporting Organizations 1 Check the box next to the method that the organization used to satisfy the Integral Part Test during the year (see instructions). 2 Activities Test. Answer (a) and (b) below. 2 Did the organization is the parent of each of its supported organizations. Complete line 3 below. 2 Activities Test. Answer (a) and (b) below. 3 Did the activities described in (a) constitute activities during the tax year directly further the exempt purposes of the supported organization's and explain how these activities directly further the integral purposes, how the org		, , , , , , , , , , , , , , , , , , ,	2		
1 Were a majority of the organization's directors or trustees during the tax year also a majority of the directors or trustees of each of the organization's supported organization(s)? If "No," describe in Part VI how control or management of the supporting organization was vested in the same persons that controlled or managed the supported organization(s). 1 Did the organization provide to each of its supported organizations, by the last day of the fifth month of the organization's tax year, (i) a written notice describing the type and amount of support provided during the prior tax year, (ii) a copy of the Form 990 that was most recently filed as of the date of notification, and (iii) copies of the organization's governing documents in effect on the date of notification, to the extent not previously provided? 2 Were any of the organization's officers, directors, or trustees either (i) appointed or elected by the supported organization(s) or (ii) serving on the governing body of a supported organization? If "No," explain in Part VI how the organization maintained a close and continuous working relationship with the supported organization(s). 3 By reason of the relationship described in (2), did the organization's supported organizations played in this regard. 3 Preson of the relationship lategrated Supporting Organizations 1 Check the box next to the method that the organization used to satisfy the Integral Part Test during the year (see instructions). 3 The organization is the parent of each of its supported organizations. Complete line 3 below. 4 Chieck the box next to the method that the organization used to satisfy the Integral Part Test during the year (see instructions). 5 Check the box next to the method that the organizations. 6 Check the box next to the method that the organizations. 7 The organization satisfied the Activities Test. Complete line 2 below. 9 Did substantially all of the organization's activities during the tax year directly further the exempt purposes of the supported organiz	sect	ion C. Type II Supporting Organizations		14	
or trustees of each of the organization's supported organization(s)? If "No," describe in Part VI how control or management of the supporting organization was vested in the same persons that controlled or managed the supported organization(s). 1 Did the organization provide to each of its supported organizations, by the last day of the fifth month of the organization's tax year. (i) a written notice describing the type and amount of support provided during the prior tax year, (ii) a copy of the Form 990 that was most recently filed as of the date of notification, and (ii) copies of the organization's governing documents in effect on the date of notification, to the extent not previously provided? 2 Were any of the organization's officers, directors, or trustees either (i) appointed or elected by the supported organization maintained a close and continuous working relationship with the supported organization(s). 3 By reason of the relationship described in (2), did the organization's supported organizations have a significant voice in the organization's investment policies and in directing the use of the organization's supported organizations satisfied the Activities Test. Complete line 2 below. 1 Check the box next to the method that the organization used to satisfy the Integral Part Test during the year (see instructions). 2 Activities Test. Answer (a) and (b) below. 2 Did the activities described in (a) constitute activities that, but for the organization of elemental there are supported organization's supported organization's supported organization's supported organization's supported organization's position that its supported organization(s) would have engaged in these activities of each of the supported Organizations. Answer (a) and (b) below. 3 Parent of Supported Organizations. Answer (a) and (b) below. 4 Did the organization have the power to regularly appoint or elect a majority of the officers, directors, or trustees of each of the supported organizations? Provide details in Part VI.				Yes	NO
or management of the supporting organization was vested in the same persons that controlled or managed the supported organization(s). Section D. All Type III Supporting Organizations 1 Did the organization provide to each of its supported organizations, by the last day of the fifth month of the organization's tax year, (i) a written notice describing the type and amount of support provided during the prior tax year, (i) a copy of the Form 990 that was most recently filed as of the date of notification, of (ii) copies of the organization's governing documents in effect on the date of notification, to the extent not previously provided? 2 Were any of the organization's officers, directors, or trustees either (i) appointed or elected by the supported organization(s) or (ii) serving on the governing body of a supported organization? if "No," explain in Part VI how the organization maintained a close and continuous working relationship with the supported organization(s). 3 By reason of the relationship described in (2), did the organization's supported organization's newstant policies and in directing the use of the organization's supported organization's played in this regard. 3 Cection E. Type III Functionally Integrated Supporting Organizations 1 Check the box next to the method that the organization used to satisfy the Integral Part Test during the year (see instructions). 1 The organization is the parent of each of its supported organizations. Complete line 3 below. 2 Activities Test. Answer (a) and (b) below. 3 Did substantially all of the organization's activities during the tax year directly further the exempt purposes of the supported organization's position that its supported organization's involvement, one or more of the organization's supported organization's world have been engaged in? If "Yes," explain in Part VI the reasons for the organization's position that its supported organization(s) would have engaged in these activities described in (a) constitute activities that, but for the organiza	1				
Section D. All Type III Supporting Organizations 1 Did the organization provide to each of its supported organizations, by the last day of the fifth month of the organization's tax year, (ii) a worther notice describing the type and amount of support provided during the prior tax year, (ii) a copy of the Form 990 that was most recently filed as of the date of notification, and (iii) copies of the organization's governing documents in effect on the date of notification, to the extent not previously provided? 2 Were any of the organization's officers, directors, or trustees either (i) appointed or elected by the supported organization or (ii) serving on the governing body of a supported organization? If 'No," explain in Part VI how the organization maintained a close and continuous working relationship with the supported organization's income or assets at all times during the tax year? If "Yes," describe in Part VI the role the organization's supported organization's income or assets at all times during the tax year? If "Yes," describe in Part VI the role the organization's supported organizations played in this regard. 3 Section E. Type III Functionally Integrated Supporting Organizations 4 The organization statisfied the Activities Test. Complete line 2 below. 5 The organization is the parent of each of its supported organizations. Complete line 3 below. 6 The organization is the parent of each of its supported organizations. Complete line 3 below. 7 The organization and (b) below. 8 Did substantially all of the organization's activities during the tax year directly further the exempt purposes of the supported organization's activities during the tax year in Part VI how you supported a government entity (see instructions). 9 Ves No 1 Did the activities described in (a) constitute activities during the tax year directly further the exempt purposes of the supported organization's notowement. 9 Did the organization was responsive to those supported organization(s) would have engaged in these activities					
Did the organization provide to each of its supported organizations, by the last day of the fifth month of the organization's tax year. (i) a written notice describing the type and amount of support provided during the prior tax year, (ii) a copy of the Form 990 that was most recently filed as of the date of notification, and (iii) copies of the organization's governing documents in effect on the date of notification, to the extent not previously provided? 2 Were any of the organization's officers, directors, or trustees either (i) appointed or elected by the supported organization(s) or (ii) serving on the governing body of a supported organization? If "No," explain in Part VI how the organization maintained a close and continuous working relationship with the supported organization(s). 3 By reason of the relationship described in (2), did the organization's supported organizations have a significant voice in the organization's investment policies and in directing the use of the organization's income or assets at all times during the tax year? If "Yes," describe in Part VI the role the organization's supported organizations played in this regard. Section E. Type III Functionally Integrated Supporting Organizations 1 Check the box next to the method that the organization used to satisfy the Integral Part Test during the year (see instructions). 2 Activities Test. Answer (a) and (b) below. a Did substantially all of the organization's activities during the tax year directly further the exempt purposes of the supported organization was responsive to those supported organization determined that these activities constituted substantially all of its activities during the tax year directly further the exempt purposes, how the organization was responsive to those supported organization of the organization of the organization's position that its supported organizations, and how the organization determined that these activities described in (a) constitute activities during the tax year directly further the exempt			_		
1 Did the organization provide to each of its supported organizations, by the last day of the fifth month of the organization's tax year, (i) a written notice describing the type and amount of support provided during the prior tax year, (ii) a copy of the Form '990 that was most recently filed as of the date of notification, and (iii) copies of the organization's governing documents in effect on the date of notification, to the extent not previously provided? 2 Were any of the organization's officers, directors, or trustees either (i) appointed or elected by the supported organization(s) or (ii) serving on the governing body of a supported organization? If "No," explain in Part VI how the organization maintained a close and continuous working relationship with the supported organization(s). 3 By reason of the relationship described in (2), did the organization's supported organizations have a significant voice in the organization's investment policies and in directing the use of the organization's income or assets at all times during the tax year? If "Yes," describe in Part VI the role the organization's supported organizations played in this regard. Section E. Type III Functionally Integrated Supporting Organizations 1 Check the box next to the method that the organization used to satisfy the Integral Part Test during the year (see instructions). a The organization is satisfied the Activities Test. Complete line 2 below. The organization supported a governmental entity. Describe in Part VI how you supported a government entity (see instructions). 2 Activities Test. Answer (a) and (b) below. a Did substantially all of the organization's activities during the tax year directly further the exempt purposes of the supported organization was responsive to those supported organization determined that these activities described in (a) constitute activities during the tax year directly further the exempt purposes, how the organization was responsive to those supported organizations in Part VI the reasons for th	Soot		1		
1 Did the organization provide to each of its supported organizations, by the last day of the fifth month of the organization's tax year, (i) a copy of the Form 990 that was most recently filed as of the date of notification, and (iii) copies of the organization's governing documents in effect on the date of notification, to the extent not previously provided? 2 Were any of the organization's officers, directors, or trustees either (i) appointed or elected by the supported organization(s) or (ii) serving on the governing body of a supported organization? If "No," explain in Part VI how the organization maintained a close and continuous working relationship with the supported organization(s). 3 By reason of the relationship described in (2), did the organization's supported organizations have a significant voice in the organization's investment policies and in directing the use of the organization's supported organizations played in this regard. 3 Section E. Type III Functionally Integrated Supporting Organizations 1 Check the box next to the method that the organization used to satisfy the Integral Part Test during the year (see instructions). 2 Activities Test. Answer (a) and (b) below. 3 Did substantially all of the organization's activities during the tax year directly further the exempt purposes of the supported organization was responsive to those supported organization determined that these activities described in (a) constitute activities during the tax year directly further their exempt purposes, how the organization's supported organization(s) would have been engaged in? If "Yes," explain in Part VI the reasons for the organization's position that its supported organizations, would have engaged in these activities but for the organization's position that its supported organization(s) would have engaged in these activities but for the organization's position that its supported organization (s) to the organization have the power to regularly appoint or elect a majority of the officers, directors, o	Jeci	ion b. All Type in Supporting Organizations		Voc	No
organization's tax year, (i) a written notice describing the type and amount of support provided during the prior tax year, (ii) a copy of the Form 990 that was most recently filed as of the date of notification, and (iii) copies of the organization's governing documents in effect on the date of notification, to the extent not previously provided? 2 Were any of the organization's officers, directors, or trustees either (i) appointed or elected by the supported organization(s) or (ii) serving on the governing body of a supported organization? If "No," explain in Part VI how the organization maintained a close and continuous working relationship with the supported organization(s). 3 By reason of the relationship described in (2), did the organization's supported organizations have a significant voice in the organization's investment policies and in directing the use of the organization's income or assets at all times during the tax year? If "Yes," describe in Part VI the role the organization's supported organizations played in this regard. 3 Section E. Type III Functionally Integrated Supporting Organizations 1 Check the box next to the method that the organization used to satisfy the Integral Part Test during the year (see instructions). 3 The organization satisfied the Activities Test. Complete line 2 below. 4 Did substantially all of the activities activities during the tax year directly further the exempt purposes of the supported organizations and explain how these activities directly furthered their exempt purposes, how the organizations and explain how these activities directly furthered their exempt purposes, how the organization's supported organization's involvement. 4 Did the activities described in (a) constitute activities that, but for the organization's involvement. 5 Parent of Supported Organization's notion that its supported organization(s) would have been engaged in? If "Yes," explain in Part VI the reasons for the organization's involvement. 5 Parent of Supported Organizations involv	1	Did the organization provide to each of its supported organizations, by the last day of the fifth month of the		169	NO
the organization's governing documents in effect on the date of notification, to the extent not previously provided? Were any of the organization's officers, directors, or trustees either (i) appointed or elected by the supported organization(s) or (ii) serving on the governing body of a supported organization? If "No," explain in Part VI how the organization maintained a close and continuous working relationship with the supported organization(s). By reason of the relationship described in (2), did the organization's upported organizations have a significant voice in the organization's investment policies and in directing the use of the organization's income or assets at all times during the tax year? If "Yes," describe in Part VI the role the organization's supported organizations played in this regard. Bection E. Type III Functionally Integrated Supporting Organizations 1 Check the box next to the method that the organization used to satisfy the Integral Part Test during the year (see instructions). 2 The organization satisfied the Activities Test. Complete line 2 below. b The organization is the parent of each of its supported organizations. Complete line 3 below. c The organization supported a governmental entity. Describe in Part VI how you supported a government entity (see instructions). 2 Activities Test. Answer (a) and (b) below. a Did substantially all of the organization's activities during the tax year directly further the exempt purposes of the supported organization's activities during the tax year directly furthered their exempt purposes of the organization and explain how these activities directly furthered their exempt purposes, how the organization was responsive to those supported organizations, and how the organization determined that these activities constituted substantially all of its activities. b Did the activities described in (a) constitute activities that, but for the organization have the power to regularly appoint or elect a majority of the officers, directors, or truste					
Were any of the organization's officers, directors, or trustees either (i) appointed or elected by the supported organization(s) or (ii) serving on the governing body of a supported organization? If "No," explain in Part VI how the organization maintained a close and continuous working relationship with the supported organization(s). 3 By reason of the relationship described in (2), did the organization's supported organizations have a significant voice in the organization's investment policies and in directing the use of the organization's income or assets at all times during the tax year? If "Yes," describe in Part VI the role the organization's supported organizations played in this regard. 3 Section E. Type III Functionally Integrated Supporting Organizations 1 Check the box next to the method that the organization used to satisfy the Integral Part Test during the year (see instructions). 2 The organization satisfied the Activities Test. Complete line 2 below. 3 The organization is the parent of each of its supported organizations. Complete line 3 below. 4 Comparization supported a governmental entity. Describe in Part VI how you supported a government entity (see instructions). 5 Activities Test. Answer (a) and (b) below. 5 Did substantially all of the organization's activities during the tax year directly further the exempt purposes of the supported organization(s) to which the organization was responsive? If "Yes," then in Part VI identify those supported organizations and explain how these activities directly furthered their exempt purposes, how the organization was responsive to those supported organizations, and how the organization determined that these activities described in (a) constitute activities that, but for the organization in Part VI the reasons for the organization's position that its supported organization's involvement, one or more of the organization's position that its supported organization(s) would have engaged in these activities but for the organization's involvement. 3 Pa					
Were any of the organization's officers, directors, or trustees either (i) appointed or elected by the supported organization(s) or (ii) serving on the governing body of a supported organization? If "No," explain in Part VI how the organization maintained a close and continuous working relationship with the supported organization(s). 3 By reason of the relationship described in (2), did the organization's supported organizations have a significant voice in the organization's investment policies and in directing the use of the organization's income or assets at all times during the tax year? If "Yes," describe in Part VI the role the organization's supported organizations played in this regard. Section E. Type III Functionally Integrated Supporting Organizations 1 Check the box next to the method that the organization used to satisfy the Integral Part Test during the year (see instructions). 2 Activities Test. Answer (a) and (b) below. 2 Activities Test. Answer (a) and (b) below. 3 Did substantially all of the organization's activities during the tax year directly further the exempt purposes of the supported organization's activities during the tax year directly further the exempt purposes of the supported organization's activities during the tax year directly further the exempt purposes of the supported organization's one supported organizations, and how the organization determined that these activities described in (a) constitute activities that, but for the organization determined that these activities described in (a) constitute activities that, but for the organization's involvement, one or more of the organization's supported organization(s) would have been engaged in? If "Yes," explain in Part VI the reasons for the organization's position that its supported organization's involvement, one or more of the organization's position that its supported organization's involvement, one or more of the organization's position that its supported organization's involvement, or elect a majority of the officers,			1		
organization(s) or (ii) serving on the governing body of a supported organization? If "No," explain in Part VI how the organization maintained a close and continuous working relationship with the supported organization(s). 3 By reason of the relationship described in (2), did the organization's supported organizations have a significant voice in the organization's investment policies and in directing the use of the organization's income or assets at all times during the tax year? If "Yes," describe in Part VI the role the organization's supported organizations played in this regard. 3 Section E. Type III Functionally Integrated Supporting Organizations 1 Check the box next to the method that the organization used to satisfy the Integral Part Test during the year (see instructions). a The organization satisfied the Activities Test. Complete line 2 below. b The organization supported a governmental entity. Describe in Part VI how you supported a government entity (see instructions). 2 Activities Test. Answer (a) and (b) below. a Did substantially all of the organization's activities during the tax year directly further the exempt purposes of the supported organization(s) to which the organization was responsive? If "Yes," then in Part VI identify those supported organizations and explain how these activities directly furthered their exempt purposes, how the organization was responsive to those supported organization's involvement, one or more of the organization's position that its supported organization's involvement, one or more of the organization's position that its supported organization's involvement, one or more of the organization's position that its supported organization's involvement, one or more of the organization's position that its supported organization's involvement, one or more of the organization's position that its supported organization's involvement, one or more of the organization have the power to regularly appoint or elect a majority of the officers, directors, or trustees of each	2		-		
the organization maintained a close and continuous working relationship with the supported organization(s). By reason of the relationship described in (2), did the organization's supported organizations have a significant voice in the organization's investment policies and in directing the use of the organization's supported organization's supported organizations played in this regard. Section E. Type III Functionally Integrated Supporting Organizations Check the box next to the method that the organization used to satisfy the Integral Part Test during the year (see instructions). The organization satisfied the Activities Test. Complete line 2 below. The organization is the parent of each of its supported organizations. Complete line 3 below. The organization supported a governmental entity. Describe in Part VI how you supported a government entity (see instructions). Activities Test. Answer (a) and (b) below. Did substantially all of the organization's activities during the tax year directly further the exempt purposes of the supported organizations and explain how these activities directly furthered their exempt purposes, how the organization was responsive to those supported organizations, and how the organization determined that these activities constituted substantially all of its activities. Did the activities described in (a) constitute activities that, but for the organization's involvement, one or more of the organization's supported organization(s) would have engaged in these activities but for the organization's involvement. Parent of Supported Organization's involvement. Did the organization have the power to regularly appoint or elect a majority of the officers, directors, or trustees of each of the supported organizations? Provide details in Part VI. Did the organization have the power to regu	-				
By reason of the relationship described in (2), did the organization's supported organization's have a significant voice in the organization's investment policies and in directing the use of the organization's income or assets at all times during the tax year? If "Yes," describe in Part VI the role the organization's supported organizations played in this regard. Section E. Type III Functionally Integrated Supporting Organizations 1			2		
significant voice in the organization's investment policies and in directing the use of the organization's income or assets at all times during the tax year? If "Yes," describe in Part VI the role the organization's supported organizations played in this regard. Section E. Type III Functionally Integrated Supporting Organizations 1 Check the box next to the method that the organization used to satisfy the Integral Part Test during the year (see instructions). a The organization satisfied the Activities Test. Complete line 2 below. b The organization is the parent of each of its supported organizations. Complete line 3 below. c The organization supported a governmental entity. Describe in Part VI how you supported a government entity (see instructions). 2 Activities Test. Answer (a) and (b) below. a Did substantially all of the organization's activities during the tax year directly further the exempt purposes of the supported organizations and explain how these activities directly furthered their exempt purposes, how the organization was responsive to those supported organizations, and how the organization determined that these activities constituted substantially all of its activities. b Did the activities described in (a) constitute activities that, but for the organization's involvement, one or more of the organization's position that its supported organization(s) would have engaged in these activities but for the organization's involvement. 2 Parent of Supported Organizations. Answer (a) and (b) below. a Did the organization have the power to regularly appoint or elect a majority of the officers, directors, or trustees of each of the supported organizations? Provide details in Part VI. b Did the organization exercise a substantial degree of direction over the policies, programs, and activities of each	3	By reason of the relationship described in (2), did the organization's supported organizations have a			
Section E. Type III Functionally Integrated Supporting Organizations 1	_				
Section E. Type III Functionally Integrated Supporting Organizations 1					
1 Check the box next to the method that the organization used to satisfy the Integral Part Test during the year (see instructions). a The organization satisfied the Activities Test. Complete line 2 below. The organization is the parent of each of its supported organizations. Complete line 3 below. The organization supported a governmental entity. Describe in Part VI how you supported a government entity (see instructions). 2 Activities Test. Answer (a) and (b) below. a Did substantially all of the organization's activities during the tax year directly further the exempt purposes of the supported organizations and explain how these activities directly furthered their exempt purposes, how the organization was responsive to those supported organizations, and how the organization determined that these activities constituted substantially all of its activities. b Did the activities described in (a) constitute activities that, but for the organization's involvement, one or more of the organization's supported organization(s) would have been engaged in? If "Yes," explain in Part VI the reasons for the organization's position that its supported organization(s) would have engaged in these activities but for the organization's involvement. 3 Parent of Supported Organizations. Answer (a) and (b) below. a Did the organization have the power to regularly appoint or elect a majority of the officers, directors, or trustees of each of the supported organizations? Provide details in Part VI. b Did the organization exercise a substantial degree of direction over the policies, programs, and activities of each		supported organizations played in this regard.	3		
The organization satisfied the Activities Test. Complete line 2 below. The organization is the parent of each of its supported organizations. Complete line 3 below. The organization supported a governmental entity. Describe in Part VI how you supported a government entity (see instructions). Activities Test. Answer (a) and (b) below. Did substantially all of the organization's activities during the tax year directly further the exempt purposes of the supported organizations and explain how these activities directly furthered their exempt purposes, how the organization was responsive to those supported organizations, and how the organization determined that these activities constituted substantially all of its activities. Did the activities described in (a) constitute activities that, but for the organization's involvement, one or more of the organization's supported organization(s) would have been engaged in? If "Yes," explain in Part VI the reasons for the organization's involvement. Parent of Supported Organizations. Answer (a) and (b) below. Did the organization have the power to regularly appoint or elect a majority of the officers, directors, or trustees of each of the supported organizations? Provide details in Part VI. Did the organization exercise a substantial degree of direction over the policies, programs, and activities of each	Sect	ion E. Type III Functionally Integrated Supporting Organizations			
The organization is the parent of each of its supported organizations. Complete line 3 below. The organization supported a governmental entity. Describe in Part VI how you supported a government entity (see instructions). 2 Activities Test. Answer (a) and (b) below. a Did substantially all of the organization's activities during the tax year directly further the exempt purposes of the supported organizations and explain how these activities directly furthered their exempt purposes, how the organization was responsive to those supported organizations, and how the organization determined that these activities constituted substantially all of its activities. b Did the activities described in (a) constitute activities that, but for the organization's involvement, one or more of the organization's supported organization(s) would have been engaged in? If "Yes," explain in Part VI the reasons for the organization's position that its supported organization(s) would have engaged in these activities but for the organization's involvement. 3 Parent of Supported Organizations. Answer (a) and (b) below. a Did the organization have the power to regularly appoint or elect a majority of the officers, directors, or trustees of each of the supported organizations? Provide details in Part VI. b Did the organization exercise a substantial degree of direction over the policies, programs, and activities of each	1		structi	ons).	
The organization supported a governmental entity. Describe in Part VI how you supported a government entity (see instructions). Activities Test. Answer (a) and (b) below. Did substantially all of the organization's activities during the tax year directly further the exempt purposes of the supported organizations, to which the organization was responsive? If "Yes," then in Part VI identify those supported organizations and explain how these activities directly furthered their exempt purposes, how the organization was responsive to those supported organizations, and how the organization determined that these activities constituted substantially all of its activities. Did the activities described in (a) constitute activities that, but for the organization's involvement, one or more of the organization's supported organization(s) would have engaged in these activities but for the organization's position that its supported organization(s) would have engaged in these activities but for the organization's involvement. Parent of Supported Organizations. Answer (a) and (b) below. Did the organization have the power to regularly appoint or elect a majority of the officers, directors, or trustees of each of the supported organizations? Provide details in Part VI. Did the organization exercise a substantial degree of direction over the policies, programs, and activities of each	а				
Activities Test. Answer (a) and (b) below. a Did substantially all of the organization's activities during the tax year directly further the exempt purposes of the supported organization(s) to which the organization was responsive? If "Yes," then in Part VI identify those supported organizations and explain how these activities directly furthered their exempt purposes, how the organization was responsive to those supported organizations, and how the organization determined that these activities constituted substantially all of its activities. b Did the activities described in (a) constitute activities that, but for the organization's involvement, one or more of the organization's supported organization(s) would have been engaged in? If "Yes," explain in Part VI the reasons for the organization's position that its supported organization(s) would have engaged in these activities but for the organizations. Answer (a) and (b) below. 3 Parent of Supported Organizations. Answer (a) and (b) below. a Did the organization have the power to regularly appoint or elect a majority of the officers, directors, or trustees of each of the supported organizations? Provide details in Part VI. b Did the organization exercise a substantial degree of direction over the policies, programs, and activities of each	b				
Activities Test. Answer (a) and (b) below. a Did substantially all of the organization's activities during the tax year directly further the exempt purposes of the supported organization(s) to which the organization was responsive? If "Yes," then in Part VI identify those supported organizations and explain how these activities directly furthered their exempt purposes, how the organization was responsive to those supported organizations, and how the organization determined that these activities constituted substantially all of its activities. b Did the activities described in (a) constitute activities that, but for the organization's involvement, one or more of the organization's supported organization(s) would have been engaged in? If "Yes," explain in Part VI the reasons for the organization's position that its supported organization(s) would have engaged in these activities but for the organization's involvement. 2b 3 Parent of Supported Organizations. Answer (a) and (b) below. a Did the organization have the power to regularly appoint or elect a majority of the officers, directors, or trustees of each of the supported organizations? Provide details in Part VI. b Did the organization exercise a substantial degree of direction over the policies, programs, and activities of each	С	The organization supported a governmental entity. Describe in Part VI how you supported a government entity (see	instru		
 a Did substantially all of the organization's activities during the tax year directly further the exempt purposes of the supported organization(s) to which the organization was responsive? If "Yes," then in Part VI identify those supported organizations and explain how these activities directly furthered their exempt purposes, how the organization was responsive to those supported organizations, and how the organization determined that these activities constituted substantially all of its activities. b Did the activities described in (a) constitute activities that, but for the organization's involvement, one or more of the organization's supported organization(s) would have been engaged in? If "Yes," explain in Part VI the reasons for the organization's involvement. 2a b Parent of Supported Organizations. Answer (a) and (b) below. a Did the organization have the power to regularly appoint or elect a majority of the officers, directors, or trustees of each of the supported organizations? Provide details in Part VI. b Did the organization exercise a substantial degree of direction over the policies, programs, and activities of each 	2	Activities Test. Answer (a) and (b) below.		Yes	No
the supported organization(s) to which the organization was responsive? If "Yes," then in Part VI identify those supported organizations and explain how these activities directly furthered their exempt purposes, how the organization was responsive to those supported organizations, and how the organization determined that these activities constituted substantially all of its activities. 2a b Did the activities described in (a) constitute activities that, but for the organization's involvement, one or more of the organization's supported organization(s) would have been engaged in? If "Yes," explain in Part VI the reasons for the organization's position that its supported organization(s) would have engaged in these activities but for the organization's involvement. 2b Parent of Supported Organizations. Answer (a) and (b) below. a Did the organization have the power to regularly appoint or elect a majority of the officers, directors, or trustees of each of the supported organizations? Provide details in Part VI. b Did the organization exercise a substantial degree of direction over the policies, programs, and activities of each					
those supported organizations and explain how these activities directly furthered their exempt purposes, how the organization was responsive to those supported organizations, and how the organization determined that these activities constituted substantially all of its activities. b Did the activities described in (a) constitute activities that, but for the organization's involvement, one or more of the organization's supported organization(s) would have been engaged in? If "Yes," explain in Part VI the reasons for the organization's position that its supported organization(s) would have engaged in these activities but for the organization's involvement. 2b Parent of Supported Organizations. Answer (a) and (b) below. a Did the organization have the power to regularly appoint or elect a majority of the officers, directors, or trustees of each of the supported organizations? Provide details in Part VI. b Did the organization exercise a substantial degree of direction over the policies, programs, and activities of each					
that these activities constituted substantially all of its activities. b Did the activities described in (a) constitute activities that, but for the organization's involvement, one or more of the organization's supported organization(s) would have been engaged in? If "Yes," explain in Part VI the reasons for the organization's position that its supported organization(s) would have engaged in these activities but for the organization's involvement. 2b Parent of Supported Organizations. Answer (a) and (b) below. a Did the organization have the power to regularly appoint or elect a majority of the officers, directors, or trustees of each of the supported organizations? Provide details in Part VI. b Did the organization exercise a substantial degree of direction over the policies, programs, and activities of each		those supported organizations and explain how these activities directly furthered their exempt purposes,			
 b Did the activities described in (a) constitute activities that, but for the organization's involvement, one or more of the organization's supported organization(s) would have been engaged in? If "Yes," explain in Part VI the reasons for the organization's position that its supported organization(s) would have engaged in these activities but for the organization's involvement. 2b Parent of Supported Organizations. Answer (a) and (b) below. a Did the organization have the power to regularly appoint or elect a majority of the officers, directors, or trustees of each of the supported organizations? Provide details in Part VI. b Did the organization exercise a substantial degree of direction over the policies, programs, and activities of each 					
of the organization's supported organization(s) would have been engaged in? If "Yes," explain in Part VI the reasons for the organization's position that its supported organization(s) would have engaged in these activities but for the organization's involvement. 2b 3 Parent of Supported Organizations. Answer (a) and (b) below. a Did the organization have the power to regularly appoint or elect a majority of the officers, directors, or trustees of each of the supported organizations? Provide details in Part VI. 3 Did the organization exercise a substantial degree of direction over the policies, programs, and activities of each		that these activities constituted substantially all of its activities.	2a		
reasons for the organization's position that its supported organization(s) would have engaged in these activities but for the organization's involvement. 2b 3 Parent of Supported Organizations. Answer (a) and (b) below. a Did the organization have the power to regularly appoint or elect a majority of the officers, directors, or trustees of each of the supported organizations? Provide details in Part VI. 3 Did the organization exercise a substantial degree of direction over the policies, programs, and activities of each	b				
activities but for the organization's involvement. 2b 3 Parent of Supported Organizations. Answer (a) and (b) below. a Did the organization have the power to regularly appoint or elect a majority of the officers, directors, or trustees of each of the supported organizations? Provide details in Part VI. 3 Did the organization exercise a substantial degree of direction over the policies, programs, and activities of each					
 Parent of Supported Organizations. Answer (a) and (b) below. Did the organization have the power to regularly appoint or elect a majority of the officers, directors, or trustees of each of the supported organizations? Provide details in Part VI. Did the organization exercise a substantial degree of direction over the policies, programs, and activities of each 			ol-		
 a Did the organization have the power to regularly appoint or elect a majority of the officers, directors, or trustees of each of the supported organizations? <i>Provide details in Part VI.</i> b Did the organization exercise a substantial degree of direction over the policies, programs, and activities of each 	_		20		
trustees of each of the supported organizations? <i>Provide details in Part VI.</i> b Did the organization exercise a substantial degree of direction over the policies, programs, and activities of each					
b Did the organization exercise a substantial degree of direction over the policies, programs, and activities of each	а		20		
	L		Jd		
	Ŋ		3b		

Schedule A (Form 990 or 990-EZ) 2016

Page 6 Schedule A (Form 990 or 990-EZ) 2016

Part V Type III Non-Functionally Integrated 509(a)(3) Supporting Organ	nization	S	
1 Check here if the organization satisfied the Integral Part Test as a qualifying instructions. All other Type III non-functionally integrated supporting organization.	-	, , ,	,
Section A - Adjusted Net Income		(A) Prior Year	(B) Current Year (optional)
1 Net short-term capital gain	1		
2 Recoveries of prior-year distributions	2		
3 Other gross income (see instructions)	3		
4 Add lines 1 through 3.	4		
5 Depreciation and depletion	5		
6 Portion of operating expenses paid or incurred for production or			
collection of gross income or for management, conservation, or			
maintenance of property held for production of income (see instructions)	6		
7 Other expenses (see instructions)	7		
8 Adjusted Net Income (subtract lines 5, 6, and 7 from line 4).	8		
Section B - Minimum Asset Amount		(A) Prior Year	(B) Current Year (optional)
1 Aggregate fair market value of all non-exempt-use assets (see			
instructions for short tax year or assets held for part of year):			
a Average monthly value of securities	1a		
b Average monthly cash balances	1b		
c Fair market value of other non-exempt-use assets	1c		
d Total (add lines 1a, 1b, and 1c)	1d		
e Discount claimed for blockage or other			
factors (explain in detail in Part VI):			
2 Acquisition indebtedness applicable to non-exempt-use assets	2		
3 Subtract line 2 from line 1d.	3		
4 Cash deemed held for exempt use. Enter 1-1/2% of line 3 (for greater amount,			
see instructions).	4		
5 Net value of non-exempt-use assets (subtract line 4 from line 3)	5		
6 Multiply line 5 by .035.	6		
7 Recoveries of prior-year distributions	7		
8 Minimum Asset Amount (add line 7 to line 6)	8		
Section C - Distributable Amount			Current Year
1 Adjusted net income for prior year (from Section A, line 8, Column A)	1		
2 Enter 85% of line 1.	2		
3 Minimum asset amount for prior year (from Section B, line 8, Column A)	3		
4 Enter greater of line 2 or line 3.	4		
5 Income tax imposed in prior year	5		
6 Distributable Amount. Subtract line 5 from line 4, unless subject to			
emergency temporary reduction (see instructions).	6		
7 Check here if the current year is the organization's first as a non-functional	y integra	ted Type III supporting	g organization (see
instructions).			

Schedule A (Form 990 or 990-EZ) 2016

6E1231 1.000 7673LT 1592 2150887 PAGE 21 Schedule A (Form 990 or 990-EZ) 2016 Page 7 Type III Non-Functionally Integrated 509(a)(3) Supporting Organizations (continued)

Sect	on D - Distributions	Current Year		
1	Amounts paid to supported organizations to accomplish ex	kempt purposes		
2	Amounts paid to perform activity that directly furthers exer	npt purposes of support	ed	
	organizations, in excess of income from activity			
3	Administrative expenses paid to accomplish exempt purpo	ses of supported organia	zations	
4	Amounts paid to acquire exempt-use assets			
5	Qualified set-aside amounts (prior IRS approval required)			
6	Other distributions (describe in Part VI). See instructions.			
7	Total annual distributions. Add lines 1 through 6.			
8	Distributions to attentive supported organizations to which	the organization is resp	onsive	
	(provide details in Part VI). See instructions.			
9	Distributable amount for 2016 from Section C, line 6			
10	Line 8 amount divided by Line 9 amount			
:	Section E - Distribution Allocations (see instructions)	(i) Excess Distributions	(ii) Underdistributions Pre-2016	(iii) Distributable Amount for 2016
1	Distributable amount for 2016 from Section C, line 6			
	Underdistributions, if any, for years prior to 2016			
2	(reasonable cause required-explain in Part VI). See			
	instructions.			
3	Excess distributions carryover, if any, to 2016:			
а				
b				
С	From 2013			
d	From 2014			
е	From 2015			
f	Total of lines 3a through e			
g	Applied to underdistributions of prior years			
h	Applied to 2016 distributable amount			
i	Carryover from 2011 not applied (see instructions)			
j	Remainder. Subtract lines 3g, 3h, and 3i from 3f.			
4	Distributions for 2016 from			
	Section D, line 7: \$			
a	Applied to underdistributions of prior years			
b	Applied to 2016 distributable amount			
	Remainder. Subtract lines 4a and 4b from 4.			
5	Remaining underdistributions for years prior to 2016, if			
	any. Subtract lines 3g and 4a from line 2. For result			
	greater than zero, explain in Part VI. See instructions.			
6	Remaining underdistributions for 2016. Subtract lines 3h			
	and 4b from line 1. For result greater than zero, explain in			
_	Part VI. See instructions.			
7	Excess distributions carryover to 2017. Add lines 3j			
	and 4c.			
8	Breakdown of line 7:			
a	Excess from 2013			
b	Excess from 2013			
c d	Excess from 2015			
u	LAGGGG HOIH ZOTO			

Schedule A (Form 990 or 990-EZ) 2016

Excess from 2016

6E1232 1.000 7673LT 1592 2150887 Schedule A (Form 990 or 990-EZ) 2016 Page **8**

Part VI Supplemental Information. Provide the explanations required by Part II, line 10; Part II, line 17a or 17b; Part III, line 12; Part IV, Section A, lines 1, 2, 3b, 3c, 4b, 4c, 5a, 6, 9a, 9b, 9c, 11a, 11b, and 11c; Part IV, Section B, lines 1 and 2; Part IV, Section C, line 1; Part IV, Section D, lines 2 and 3; Part IV, Section E, lines 1c, 2a, 2b, 3a and 3b; Part V, line 1; Part V, Section B, line 1e; Part V, Section D, lines 5, 6, and 8; and Part V, Section E, lines 2, 5, and 6. Also complete this part for any additional information. (See instructions.)

					ATTACHMENT 1	
SCHEDULE A, PART II -	- OTHER INCOM	E				
DESCRIPTION	2012	2013	2014	2015	2016	TOTAL
OTHER INCOME	66,112.	77,992.	99,743.	88,305.	130,592.	462,744.
	·	•		·	•	·
TOTALS	66,112.	77,992.	99,743.	88,305.	130,592.	462,744.

Schedule A (Form 990 or 990-EZ) 2016

SCHEDULE C (Form 990 or 990-EZ)

Political Campaign and Lobbying Activities

For Organizations Exempt From Income Tax Under section 501(c) and section 527

OMB No. 1545-0047

Open to Public Inspection

Department of the Treasury Internal Revenue Service

► Complete if the organization is described below. ► Attach to Form 990 or Form 990-EZ. ▶ Information about Schedule C (Form 990 or 990-EZ) and its instructions is at www.irs.gov/form990.

If the organization answered "Yes," on Form 990, Part IV, line 3, or Form 990-EZ, Part V, line 46 (Political Campaign Activities), then

- Section 501(c)(3) organizations: Complete Parts I-A and B. Do not complete Part I-C.
- Section 501(c) (other than section 501(c)(3)) organizations: Complete Parts I-A and C below. Do not complete Part I-B.
- Section 527 organizations: Complete Part I-A only.

If the organization answered "Yes," on Form 990, Part IV, line 4, or Form 990-EZ, Part VI, line 47 (Lobbying Activities), then

- Section 501(c)(3) organizations that have filed Form 5768 (election under section 501(h)): Complete Part II-A. Do not complete Part II-B.

		that have NOT filed Form 5766 (election			
	e organization answered "Yes," (see separate instructions), the	on Form 990, Part IV, line 5 (Proxy	Tax) (see separate in	nstructions) or Form 990-E	EZ, Part V, line 35c (Proxy
• ;	Section 501(c)(4), (5), or (6) org	anizations: Complete Part III.			
Name	e of organization			Employer ide	ntification number
BRA	NDEIS UNIVERSITY			04-2103	3552
Par	t I-A Complete if the c	organization is exempt under	section 501(c) or	is a section 527 organ	nization.
1	Provide a description of the	organization's direct and indirect p	oolitical campaign a	ctivities in Part IV. (see i	nstructions for definition
	of "political campaign activit				
2	Political campaign activity e	xpenditures (see instructions)		▶ \$	
3	Volunteer hours for political	campaign activities (see instruction	ns)		
Part	t I-B Complete if the o	organization is exempt under s	section 501(c)(3).		
1	Enter the amount of any exc	cise tax incurred by the organizatio	n under section 495	5▶\$	
2	Enter the amount of any exc	cise tax incurred by organization m	anagers under secti	on 4955 ▶ \$	
		a section 4955 tax, did it file Form			
					Yes No
	If "Yes," describe in Part IV.				
	•	organization is exempt under			<u>). </u>
1		expended by the filing organization			
2		ng organization's funds contributed			
		es			
3		enditures. Add lines 1 and 2. En			
	Did the filing organization fil Enter the names, addresses organization made payment the amount of political con-	e Form 1120-POL for this year? and employer identification numb ts. For each organization listed, en tributions received that were promed and or a political action committee (per (EIN) of all section ter the amount pain aptly and directly de	on 527 political organized from the filing organized livered to a separate po	ations to which the filing ation's funds. Also enter ditical organization, such
	(a) Name	(b) Address	(c) EIN	(d) Amount paid from filing organization's funds. If none, enter -0	(e) Amount of political contributions received and promptly and directly delivered to a separate political organization. If none, enter -0
(1)					
(2)					
(3)					
(4)					
(5)					

For Paperwork Reduction Act Notice, see the Instructions for Form 990 or 990-EZ.

Schedule C (Form 990 or 990-EZ) 2016

(6)

7673LT 1592 2150887 PAGE 28

Sch	edule C (Form 990 or 990-EZ) 2016 BF	RANDEIS UNIV	ERSIII		04-2	103552 Page 2
Pa	Complete if the orga section 501(h)).	nization is exe	empt under sectio	n 501(c)(3) and	filed Form 5768 (elec	ction under
Α			to an affiliated ground share of excess		rt IV each affiliated gi itures).	oup member's
В	Check ▶ if the filing organi	zation checked	I box A and "limited	control" provision	ons apply.	
	Limits or	n Lobbying Expe	nditures	·	(a) Filing	(b) Affiliated
	(The term "expenditur	es" means amo	unts paid or incurred	.)	organization's totals	group totals
1 a	Total lobbying expenditures to infl	luence public opi	nion (grass roots lob	bying)		
	Total lobbying expenditures to infl					
c	Total lobbying expenditures (add	lines 1a and 1b)				
c	I Other exempt purpose expenditur	es				
e	Total exempt purpose expenditure	es (add lines 1c a	and 1d)			
f	Lobbying nontaxable amount. En	nter the amount	from the following	table in both		
	columns.					
	If the amount on line 1e, column (a) of	or (b) is: The lobby	ing nontaxable amount	is:		
	Not over \$500,000	20% of the	e amount on line 1e.			
	Over \$500,000 but not over \$1,000,0	\$100,000	plus 15% of the excess	over \$500,000.		
	Over \$1,000,000 but not over \$1,500	,000 \$175,000	plus 10% of the excess	over \$1,000,000.		
	Over \$1,500,000 but not over \$17,00	0,000 \$225,000	plus 5% of the excess	over \$1,500,000.		
	Over \$17,000,000	\$1,000,00				
	Grassroots nontaxable amount (e		•	_		
ŀ	Subtract line 1g from line 1a. If ze			_		
	Subtract line 1f from line 1c. If ze	ro or less, enter -0	O-			
•						
j	If there is an amount other than	n zero on either	line 1h or line 1i,	did the organizat		
, j 		n zero on either s year?	line 1h or line 1i,	did the organizat		Yes No
, j	If there is an amount other than reporting section 4911 tax for this	n zero on either s year? 4-Year Av	line 1h or line 1i, eraging Period Unde	did the organizater section 501(h)		
, j	If there is an amount other than	n zero on either s year? 4-Year Av made a section \$	line 1h or line 1i, eraging Period Unde	did the organizater section 501(h) ot have to comple	ete all of the five colum	
_ 	If there is an amount other than reporting section 4911 tax for this	n zero on either s year? 4-Year Av made a section s See the separ	line 1h or line 1i,eraging Period Unde 501(h) election do no	did the organizater section 501(h) of have to completines 2a through	ete all of the five colum 2f.)	
	If there is an amount other than reporting section 4911 tax for this	n zero on either s year? 4-Year Av made a section s See the separ	line 1h or line 1i, eraging Period Unde 501(h) election do no ate instructions for	did the organizater section 501(h) of have to completines 2a through	ete all of the five colum 2f.)	
	If there is an amount other than reporting section 4911 tax for this (Some organizations that recommendations) Calendar year (or fiscal year)	a zero on either s year? 4-Year Aven made a section s See the separ	line 1h or line 1i, eraging Period Unde 501(h) election do no ate instructions for enditures During 4-Y	did the organizate	ete all of the five colum 2f.)	ns below.
	If there is an amount other than reporting section 4911 tax for this (Some organizations that reporting section 4911 tax for this calculations that reporting section 4911 tax for this calculation (Some organizations that reporting the section 4911 tax for this calculation (Some organizations that reporting the section 4911 tax for this calculation (Some organizations that reporting the section 4911 tax for this calculation (Some organizations that reporting the section 4911 tax for this calculation (Some organizations that reporting the section 4911 tax for this calculation (Some organizations that reporting the section 4911 tax for this calculation (Some organizations that reporting the section 4911 tax for this calculation (Some organizations that reporting the section 4911 tax for this calculation (Some organizations that reporting the section 4911 tax for this calculation (Some organizations that reporting the section 4911 tax for this calculation (Some organization)	a zero on either s year? 4-Year Aven made a section s See the separ	line 1h or line 1i, eraging Period Unde 501(h) election do no ate instructions for enditures During 4-Y	did the organizate	ete all of the five colum 2f.)	ns below.
k	If there is an amount other than reporting section 4911 tax for this (Some organizations that reporting section 4911 tax for this (Some organizations that reporting the company of the co	a zero on either s year? 4-Year Aven made a section s See the separ	line 1h or line 1i, eraging Period Unde 501(h) election do no ate instructions for enditures During 4-Y	did the organizate	ete all of the five colum 2f.)	ns below.
k	If there is an amount other than reporting section 4911 tax for this (Some organizations that reporting section 4911 tax for this (Some organizations that reporting that reporting the Calendar year (or fiscal year beginning in) Lobbying nontaxable amount Lobbying ceiling amount (150% of line 2a, column (e))	a zero on either s year? 4-Year Aven made a section s See the separ	line 1h or line 1i, eraging Period Unde 501(h) election do no ate instructions for enditures During 4-Y	did the organizate	ete all of the five colum 2f.)	ns below.
	If there is an amount other than reporting section 4911 tax for this (Some organizations that reporting section 4911 tax for this (Some organizations that reporting that reporting the control of the co	a zero on either s year? 4-Year Aven made a section s See the separ	line 1h or line 1i, eraging Period Unde 501(h) election do no ate instructions for enditures During 4-Y	did the organizate	ete all of the five colum 2f.)	ns below.

Schedule C (Form 990 or 990-EZ) 2016

JSA

6E1265 1.000 7673LT 1592 2150887 PAGE 29

	dule C (Form 990 or 990-EZ) 2016 **T II-B Complete if the organization is exempt under section 501(c)(3) and has NO	T file	d For	m 576	88	F	Page 3
	(election under section 501(h)).	(8			(b)		
	each "Yes," response on lines 1a through 1i below, provide in Part IV a detailed cription of the lobbying activity.	Yes	No		Amou		
1	During the year, did the filing organization attempt to influence foreign, national, state or local						
	legislation, including any attempt to influence public opinion on a legislative matter or						
	referendum, through the use of:						
а	Volunteers?		X				
b	Paid staff or management (include compensation in expenses reported on lines 1c through 1i)?.		X				
С	Media advertisements?		X				
d	Mailings to members, legislators, or the public?		X				
е	Publications, or published or broadcast statements?		X				
f	Grants to other organizations for lobbying purposes?		X				
g	Direct contact with legislators, their staffs, government officials, or a legislative body?		X				
h	Rallies, demonstrations, seminars, conventions, speeches, lectures, or any similar means?	X	21			6	,290
i	Other activities?	- 21					,290
J	Total. Add lines 1c through 1i		х				, 200
2a	Did the activities in line 1 cause the organization to be not described in section 501(c)(3)?						
b C	If "Yes," enter the amount of any tax incurred under section 4912						
d	If the filing organization incurred a section 4912 tax, did it file Form 4720 for this year?						
	rt III-A Complete if the organization is exempt under section 501(c)(4), section 501	(c)(5)	, or s	ection	า		
	501(c)(6).						ı
						Yes	No
1	Were substantially all (90% or more) dues received nondeductible by members?				1		
2	Did the organization make only in-house lobbying expenditures of \$2,000 or less?				2		
3	Did the organization agree to carry over lobbying and political campaign activity expenditures from				3		
Pa	rt III-B Complete if the organization is exempt under section 501(c)(4), section 501					2 io	
	501(c)(6) and if either (a) BOTH Part III-A, lines 1 and 2, are answered "No," answered "Yes."	OK (o) Pa	rt III-A	, iine	3, 15	
1	Dues, assessments and similar amounts from members			1			
2	Section 162(e) nondeductible lobbying and political expenditures (do not include amou		of				
	political expenses for which the section 527(f) tax was paid).						
а	Current year			2a			
b	Carryover from last year			2b			
С	Total			2c			
3	Aggregate amount reported in section 6033(e)(1)(A) notices of nondeductible section 162(e) du			3			
4	If notices were sent and the amount on line 2c exceeds the amount on line 3, what portion	of th	ie				
	excess does the organization agree to carryover to the reasonable estimate of nondeductible le	obbyir	ıg				
_	and political expenditure next year?			4			
5	Taxable amount of lobbying and political expenditures (see instructions)			5			
	rt IV Supplemental Information vide the descriptions required for Part I-A, line 1; Part I-B, line 4; Part I-C, line 5; Part II-A (affiliate	d arou	ın liet	\· Dort	II A lis	200 1	and
	ee instructions); and Part II-B, line 1. Also, complete this part for any additional information.	a grot	ıp iist), Pan	II-A, III	ies i	and
_ (0	55 mon donoto,, and rath 2, mo 117 mos, 56 mptote mile part to any additional mileniane.						
SEI	E PAGE 4						

Schedule C (Form 990 or 990-EZ) 2016

JSA 6E1266 1.000

7673LT 1592 2150887 PAGE 30

Schedule C (Form 990 or 990-EZ) 2016 Page 4

Part IV Supplemental Information (continued)

LOBBYING ACTIVITY

PART II-B, LINE 1I

THE UNIVERSITY IS A MEMBER OF VARIOUS NATIONAL AND STATE ORGANIZATIONS, SUCH AS ASSOCIATION OF AMERICAN UNIVERSITIES, ASSOCIATION OF INDEPENDENT COLLEGES AND UNIVERSITIES IN MASSACHUSETTS, NATIONAL ASSOCIATION OF COLLEGE AND UNIVERSITY BUSINESS OFFICERS, NATIONAL ASSOCIATION OF INDEPENDENT COLLEGES AND UNIVERSITIES AND SOCIETY FOR HUMAN RESOURCE MANAGEMENT. MEMBERSHIP FEES TO THESE ORGANIZATIONS ALLOCABLE TO LOBBYING ACTIVITIES AMOUNTED TO \$6,290.

Schedule C (Form 990 or 990-EZ) 2016

JSA

6E1500 1.000 7673LT 1592 2150887 PAGE 31

SCHEDULE D (Form 990)

Supplemental Financial Statements ▶ Complete if the organization answered "Yes" on Form 990,

Part IV, line 6, 7, 8, 9, 10, 11a, 11b, 11c, 11d, 11e, 11f, 12a, or 12b.

► Attach to Form 990.

▶ Information about Schedule D (Form 990) and its instructions is at www.irs.gov/form990.

OMB No. 1545-0047 Open to Public Inspection

Department of the Treasury Internal Revenue Service

Name of the organization

INAIIII	of the organization		Employer identification number
BRA	NDEIS UNIVERSITY		04-2103552
Pa	rt I Organizations Maintaining Donor Adv	vised Funds or Other Similar Funds or	Accounts.
	Complete if the organization answered	d "Yes" on Form 990, Part IV, line 6.	
		(a) Donor advised funds	(b) Funds and other accounts
1	Total number at end of year	11.	
2	Aggregate value of contributions to (during year)	212,990.	
3	Aggregate value of grants from (during year)	496,907.	
4	Aggregate value at end of year	000 000	
5	Did the organization inform all donors and dono		n depar advised
3	funds are the organization's property, subject to the		1 1
c	Did the organization inform all grantees, donors,	<u> </u>	
6			
	only for charitable purposes and not for the bend		1 1 1 1
D	conferring impermissible private benefit?		······································
Pa	Conservation Easements. Complete if the organization answered	d "Voc" on Form 000 Part IV line 7	
	· · · · · · · · · · · · · · · · · · ·		
1	Purpose(s) of conservation easements held by th		
	Preservation of land for public use (e.g., re		of a historically important land area
	Protection of natural habitat	Preservation o	of a certified historic structure
	Preservation of open space		
2	Complete lines 2a through 2d if the organization h	neld a qualified conservation contribution in t	
	easement on the last day of the tax year.		Held at the End of the Tax Year
а	Total number of conservation easements		2a
b	Total acreage restricted by conservation easemen	ts	2b
С	Number of conservation easements on a certified	I historic structure included in (a)	2c
d	Number of conservation easements included in (c) acquired after 8/17/06, and not on a	
	historic structure listed in the National Register.		2d
3	Number of conservation easements modified, tra	insferred, released, extinguished, or termina	ated by the organization during the
	tax year >		
4	Number of states where property subject to cons	ervation easement is located ▶	
5	Does the organization have a written policy re	egarding the periodic monitoring, inspection	on, handling of
	violations, and enforcement of the conservation ea	asements it holds?	Yes No
6	Staff and volunteer hours devoted to monitoring, inspe		
	•		,
7	Amount of expenses incurred in monitoring, inspec	cting, handling of violations, and enforcing co	nservation easements during the year
	> \$		ű ,
8	Does each conservation easement reported on line	2(d) above satisfy the requirements of section	on 170(h)(4)(B)(i)
	and section 170(h)(4)(B)(ii)?		
9	In Part XIII, describe how the organization reports		
•	balance sheet, and include, if applicable, the text		
	organization's accounting for conservation easem	-	
Pa		s of Art, Historical Treasures, or Other	Similar Assets.
	Complete if the organization answered		
1a			evenue statement and halance sheet
·u	If the organization elected, as permitted under S works of art, historical treasures, or other simi	lar assets held for public exhibition, educ	ation, or research in furtherance of
	public service, provide, in Part XIII, the text of the	footnote to its financial statements that desc	cribes these items.
b	If the organization elected, as permitted under		
	works of art, historical treasures, or other simi		cation, or research in furtherance of
	public service, provide the following amounts rela	=	> ¢
	(i) Revenue included in Form 990, Part VIII, line 1		
•	(ii) Assets included in Form 990, Part X		
2	If the organization received or held works of a		
_	following amounts required to be reported under		
a	Revenue included in Form 990, Part VIII, line 1		
<u>b</u>	Assets included in Form 990, Part X		▶ \$

For Paperwork Reduction Act Notice, see the Instructions for Form 990.

Schedule D (Form 990) 2016

Schedule D (Form 990) 2016 Page **2**

3 Using the organization's accusistion, accession, and other records, check any of the following that are a significant use of its collection times (check all that apply): a	Par	t Organizations Maintainir	g Collections of	Art, Historical T	reasures	or Oth	ner Similar Ass	ets (co		ed)
a										
Provide a description of the organization's collections and explain how they further the organization's exempt purpose in Part XIII.		collection items (check all that appl	y):							
Preservation for future generations Provide a description of the organization's collections and explain how they further the organization's exempt purpose in Part XIII. During the year, did the organization solicit or receive donations of art, historical treasures, or other similar assets to be sold to raise funds rather than to be maintained as part of the organization's collection? Ves X No Part XI Escrow and Custodial Arrangements. Complete if the organization answered "Yes" on Form 990, Part IV, line 9, or reported an amount on Form 990, Part X, line 21. Is Is the organization an agent, trustee, custodian or other intermediary for contributions or other assets not included on Form 990, Part X, line 21. Is Is the organization an agent, trustee, custodian or other intermediary for contributions or other assets not included on Form 990, Part X, line 21. Is Beginning balance In Intermediate the following table: Beginning balance Additions during the year Distributions during the year Distributions during the year Distributions during the year Complete if the organization answered "Yes" on Form 990, Part IV, line 10. Complete if the organization answered "Yes" on Form 990, Part IV, line 10. Beginning of year balance Beginning of year balance Beginning of year balance Complete if the organization answered "Yes" on Form 990, Part IV, line 10. Contributions Complete if the organization answered "Yes" on Form 990, Part IV, line 10. Beginning of year balance Contributions Contri	а	X Public exhibition		d X Loan	or exchang	e prograr	ns			
4. Provide a description of the organization's collections and explain how they further the organization's exempt purpose in Part XIII. 5. During the year, did the organization solicit or receive donations of art, historical treasures, or other similar assists to be sold to raise funds rather than to be minitatined as part of the organization's collection?	b	<u> </u>		e Other						
Suring the year, did the organization solicit or receive donations of art, historical treasures, or other similar assets to be sold to raise funds rather than to be maintained as part of the organization's collection?	С									
5 During the year, did the organization solicit or receive donations of art, historical treasures, or other similar assets to be sold to raise funds rather than to be maintained as part of the organization's collection?	4		nization's collections	and explain how	they furthe	r the org	ganization's exem _l	ot purpo	se in	Part
Section According to the sold to raise funds rather than to be maintained as part of the organization's collection?	_									
Secrow and Custodial Arrangements.	5									٦
Complete if the organization answered "Yes" on Form 990, Part IV, line 9, or reported an amount on Form 990, Part X, line 21. 1a Is the organization an agent, trustee, custodian or other intermediary for contributions or other assets not included on Form 990, Part X?	_			ained as part of the	organizatio	n's collec	ction?	Yes	X	No
included on Form 990, Part X? b If "Yes," explain the arrangement in Part XIII and complete the following table: C Beginning balance		Complete if the organizat 990, Part X, line 21.	ion answered "Ye					nt on Fo	rm	
b If "Yes," explain the arrangement in Part XIII and complete the following table: C Beginning balance d Additions during the year f Ending balance 1	1 a								_	_
C Beginning balance 16 C C C C C C C C C								Yes		No
to Beginning balance d Additions during the year 1d	b	If "Yes," explain the arrangement in	n Part XIII and comp	olete the following tal	ole:					
d Additions during the year 16 16 17 18 19 19 19 19 19 19 19							Amount			
Example of the component of the pear of the current year of the component of the current year of the current year of the current year and balance (line 1g, column (a)) held as: Bostributions during the year of the current year of the current year of the organization that are held and administered for the organization of the organization of the organization that are held and administered for the organization of the organization seed of the organization or seed of the organization seed of the organization of the organization seed of the organization of the o	С									
f Ending balance										
2a Did the organization include an amount on Form 990, Part X, line 21, for escrow or custodial account liability? Yes No b if "Yes," explain the arrangement in Part XIII. Check here if the explanation has been provided on Part XIII. Yes No Endowment Funds. Complete if the organization answered "Yes" on Form 990, Part IV, line 10. (a) Current year (b) Prior year (c) Two years back (d) Three years back (e) Four years back Beginning of year balance 266,777,766 915,087,079 861,152,435 766,204,932 674,521,865 bc. Contributions 62,895,086 27,549,415 50,320,741 9,847,167 19,595,377 C Net investment earnings, gains, and losses 95,868,789 -29,715,218 46,096,107 125,121,860 110,316,495 6 Other expenditures for facilities and programs 32,009,304 31,854,694 27,007,439 26,822,207 25,624,339 7 Administrative expenses 976,887,018 866,777,766 915,087,079 861,152,435 766,204,932 8 Permanent endowment b 64.5300 % 976,887,018 866,777,766 915,087,079 861,152,435 766,204,332 8 Permanent endowment b										
Part V Endowment Funds. Endowment Funds. Complete if the organization answered "Yes" on Form 990, Part IV, line 10.							a a a cumt liability?	Vaa	\neg	T No.
Endowment Funds. Complete if the organization answered "Yes" on Form 990, Part IV, line 10.										NO
Complete if the organization answered "Yes" on Form 990, Part IV, line 10.			TPart Alli. Check in	ere ii trie explanation	rias been	provided	Un Part Alli			
(a) Current year (b) Prior year (c) Two years back (d) Three years back (e) Four years years	Гаі		ion answered "Yes	s" on Form 990 P	art IV line	10				
1a Beginning of year balance 866,777,766. 915,087,079. 861,152,435. 766,204,932. 674,521,865. b Contributions 62,895,086. 27,549,415. 50,320,741. 9,847,167. 19,595,377. c Net investment earnings, gains, and losses 95,868,789. -29,715,218. 46,096,107. 125,121,860. 110,316,495. d Grants or scholarships 16,645,319. 14,288,816. 15,474,765. 13,199,317. 12,604,466. e Other expenditures for facilities and programs 32,009,304. 31,854,694. 27,007,439. 26,822,207. 25,624,339. f Administrative expenses 976,887,018. 866,777,766. 915,087,079. 861,152,435. 766,204,932. g Provide the estimated percentage of the current year end balance (line 1g, column (a)) held as: 15,087,079. 861,152,435. 766,204,932. b Permanent endowment ▶ 64.5300 % 15,087,079. 861,152,435. 766,204,932. c Temporarily restricted endowment ▶ 24.3200 % 15,087,079. 861,152,435. 766,204,932. h Wes in line 3a(ii), real the related organizations is listed as required on Schedule R? 3a(i) X b If "Yes" on line 3a(ii), are the related organizations is endowment funds. 3a(i)		Complete ii the organizat					(d) Three years back	(e) Fou	r vears	back
Contributions Color Col	4.	Designing of year balance								
c Net investment earnings, gains, and losses. c Net investment earnings, gains, and losses. d Grants or scholarships. e Other expenditures for facilities and programs. 32,009,304. 31,854,694. 27,007,439. 26,822,207. 25,624,339. f Administrative expenses. g End of year balance. 976,887,018. 866,777,766. 915,087,079. 861,152,435. 766,204,932. 2 Provide the estimated percentage of the current year end balance (line 1g, column (a)) held as: a Board designated or quasi-endowment ▶ 11.1500 % b Permanent endowment ▶ 64.5300 % c Temporarily restricted endowment ▶ 24.3200 % The percentages on lines 2a, 2b, and 2c should equal 100%. 3a Are there endowment funds not in the possession of the organization that are held and administered for the organization by: (i) unrelated organizations. b If "Yes" on line 3a(ii), are the related organizations listed as required on Schedule R? 4 Describe in Part XIII the intended uses of the organization's endowment funds. Part VI Land, Buildings, and Equipment. Complete if the organization answered "Yes" on Form 990, Part IV, line 11a. See Form 990, Part X, line 10. Description of property (a) Cost or other basis (b) Cost or other basis (c) Accumulated depreciation (d) Book value depreciation (other) Description of property (a) Cost or other basis (b) Cost or other basis (c) Accumulated depreciation (d) Book value depreciation (other) Land, Buildings, and Equipment. Complete if the organization answered "Yes" on Form 990, Part IV, line 11a. See Form 990, Part X, line 10. Description of property (a) Cost or other basis (b) Cost or other basis (c) Accumulated depreciation (d) Book value depreciation (d) Book value (d) Book va										
and losses. 95, 868, 789. −29, 715, 218. 46, 096, 107. 125, 121, 860. 110, 316, 495. d Grants or scholarships 16,645, 319. 14,288,816. 15,474,765. 13,199,317. 12,604,466. e Other expenditures for facilities and programs 32,009,304. 31,854,694. 27,007,439. 26,822,207. 25,624,339. f Administrative expenses 976,887,018. 866,777,766. 915,087,079. 861,152,435. 766,204,932. Provide the estimated percentage of the current year end balance (line 1g, column (a)) held as: a Board designated or quasi-endowment 11.1500 % b Permanent endowment 124.3200 % The percentages on lines 2a, 2b, and 2c should equal 100%. 3a Are there endowment funds not in the possession of the organization that are held and administered for the organization by: (i) unrelated organizations										
d Grants or scholarships	C		95,868,789.	-29,715,218.	46,096	5,107.	125,121,860.	110,	316,	495.
e Other expenditures for facilities and programs	Ы		16,645,319.	14,288,816.	15,47	1,765.	13,199,317.	12,	604,	466.
and programs		-								
f Administrative expenses		-	32,009,304.	31,854,694.	27,00	7,439.	26,822,207.	25,	624,	339.
g End of year balance 976,887,018. 866,777,766. 915,087,079. 861,152,435. 766,204,932. 2 Provide the estimated percentage of the current year end balance (line 1g, column (a)) held as:	f									
Provide the estimated percentage of the current year end balance (line 1g, column (a)) held as: Board designated or quasi-endowment ▶ 11.1500 % to Temporarily restricted endowment ▶ 24.3200 % The percentages on lines 2a, 2b, and 2c should equal 100%. Are there endowment funds not in the possession of the organization that are held and administered for the organization by: (i) unrelated organizations . 3a(ii)		-	976,887,018.	866,777,766.	915,08	7,079.	861,152,435.	766,	204,	932.
a Board designated or quasi-endowment ▶ 11.1500 % b Permanent endowment ▶ 64.5300 % c Temporarily restricted endowment ▶ 24.3200 % The percentages on lines 2a, 2b, and 2c should equal 100%. 3a Are there endowment funds not in the possession of the organization that are held and administered for the organization by: (i) unrelated organizations	_	Provide the estimated percentage	of the current year	end balance (line 1g.	column (a)) held as:	:			
Temporarily restricted endowment ▶ 24.3200 % The percentages on lines 2a, 2b, and 2c should equal 100%. 3a Are there endowment funds not in the possession of the organization that are held and administered for the organization by:		Board designated or quasi-endowm	ent ▶ 11.1500	_%		,				
The percentages on lines 2a, 2b, and 2c should equal 100%. Are there endowment funds not in the possession of the organization that are held and administered for the organization by: (i) unrelated organizations (ii) related organizations (iii) related organizations (iii) related organizations (iii) related organizations (iv) unrelated organizations (iv) related organizations (iv) re										
Are there endowment funds not in the possession of the organization that are held and administered for the organization by: (i) unrelated organizations (ii) related organizations (iii) related organizations (iii) related organizations (iv) related organizations (С									
Ves No Ves No Ves No Ves Ves No Ves V			•							
(i) unrelated organizations (ii) unrelated organizations 3a(i) X (ii) related organizations 3a(ii) X b If "Yes" on line 3a(ii), are the related organizations listed as required on Schedule R? 3b 3b 4 Describe in Part XIII the intended uses of the organization's endowment funds. Part VI Land, Buildings, and Equipment. Complete if the organization answered "Yes" on Form 990, Part IV, line 11a. See Form 990, Part X, line 10. Description of property (a) Cost or other basis (other) (c) Accumulated depreciation (d) Book value 1a Land 44,903,617. 44,903,617. 44,903,617. b Buildings 319,811,214. 152,359,062. 167,452,152. c Leasehold improvements 207,890,603. 155,197,158. 52,693,445. d Equipment 155,614,788. 96,548,192. 59,066,596. e Other 16,670,671. 16,670,671.	3a		the possession of th	ne organization that	are held a	nd admin	istered for the	1	V	NI .
(ii) related organizations 3a(ii) X b If "Yes" on line 3a(ii), are the related organizations listed as required on Schedule R? 3b		= -						0.0	res	
b If "Yes" on line 3a(ii), are the related organizations listed as required on Schedule R? 4 Describe in Part XIII the intended uses of the organization's endowment funds. Part VI Land, Buildings, and Equipment. Complete if the organization answered "Yes" on Form 990, Part IV, line 11a. See Form 990, Part X, line 10. Description of property (a) Cost or other basis (b) Cost or other basis (c) Accumulated depreciation depreciation (investment) (investment) (vinter) (d) Book value										
Describe in Part XIII the intended uses of the organization's endowment funds. Part VI Land, Buildings, and Equipment. Complete if the organization answered "Yes" on Form 990, Part IV, line 11a. See Form 990, Part X, line 10. Description of property (a) Cost or other basis (investment) (b) Cost or other basis (other) (c) Accumulated depreciation (d) Book value 1a Land 44,903,617. 44,903,617. 44,903,617. b Buildings 319,811,214. 152,359,062. 167,452,152. c Leasehold improvements 207,890,603. 155,197,158. 52,693,445. d Equipment 155,614,788. 96,548,192. 59,066,596. e Other 16,670,671. 16,670,671.		.,								
Land, Buildings, and Equipment. Complete if the organization answered "Yes" on Form 990, Part IV, line 11a. See Form 990, Part X, line 10. Description of property (a) Cost or other basis (investment) (b) Cost or other basis (other) (c) Accumulated depreciation (d) Book value 1a Land 44,903,617. 44,903,617. 44,903,617. b Buildings 319,811,214. 152,359,062. 167,452,152. c Leasehold improvements 207,890,603. 155,197,158. 52,693,445. d Equipment 155,614,788. 96,548,192. 59,066,596. e Other 16,670,671. 16,670,671.	_	* * * *	•	•				30		
Complete if the organization answered "Yes" on Form 990, Part IV, line 11a. See Form 990, Part X, line 10. Description of property (a) Cost or other basis (investment) (b) Cost or other basis (other) (c) Accumulated depreciation (d) Book value 1a Land 44,903,617. 44,903,617. 44,903,617. b Buildings 319,811,214. 152,359,062. 167,452,152. c Leasehold improvements 207,890,603. 155,197,158. 52,693,445. d Equipment 155,614,788. 96,548,192. 59,066,596. e Other 16,670,671. 16,670,671.				tion's endowment tu	ius.					
tall Land (investment) (other) depreciation b Buildings 319,811,214. 152,359,062. 167,452,152. c Leasehold improvements 207,890,603. 155,197,158. 52,693,445. d Equipment 155,614,788. 96,548,192. 59,066,596. e Other 16,670,671. 16,670,671.	гаі	Complete if the organiza	tion answered "Ye	s" on Form 990, F	Part IV, line	e 11a. S	ee Form 990, Pa	ırt X, Iin	e 10.	
1a Land 44,903,617. 44,903,617. b Buildings 319,811,214. 152,359,062. 167,452,152. c Leasehold improvements 207,890,603. 155,197,158. 52,693,445. d Equipment 155,614,788. 96,548,192. 59,066,596. e Other 16,670,671. 16,670,671.			· · / //					(d) Book va	alue	
b Buildings 319,811,214. 152,359,062. 167,452,152. c Leasehold improvements 207,890,603. 155,197,158. 52,693,445. d Equipment 155,614,788. 96,548,192. 59,066,596. e Other 16,670,671. 16,670,671.	1a		,			depit	Joiation	44,9	03,6	<u> </u>
c Leasehold improvements 207,890,603. 155,197,158. 52,693,445. d Equipment 155,614,788. 96,548,192. 59,066,596. e Other 16,670,671. 16,670,671.	_					152,3	59,062.			
d Equipment 155,614,788. 96,548,192. 59,066,596. e Other 16,670,671. 16,670,671.	С	Leasehold improvements		207.8						
e Other 16,670,671. 16,670,671.	d			155 6		1				
Total. Add lines 1a through 1e. (Column (d) must equal Form 990, Part X, column (B), line 10c.)	е				570,671.					
	Tota	II. Add lines 1a through 1e. (Column	(d) must equal Forr	n 990, Part X, colum	n (B), line 1	0c.)	▶	340,7	86,4	81.

Schedule D (Form 990) 2016

JSA 6E1269 1.000

7673LT 1592 2150887 PAGE 33

Page 3 Schedule D (Form 990) 2016

Part VII	Investments - Other Securities. Complete if the organization answered	l "Yes" on Form 990	0, Part IV, line 11b. See Form 990, Part X, line 12	2.
	(a) Description of security or category (including name of security)	(b) Book value	(c) Method of valuation: Cost or end-of-year market value	
(1) Financi	al derivatives			
	r-held equity interests			
	the country and control of the contr			
(A)				
(B)				
(C)				
(D)				
(E)				
(F)				
(G)				
(H)				
Total. (Colum	n (b) must equal Form 990, Part X, col. (B) line 12.)			
Part VIII		l "Yes" on Form 990	0, Part IV, line 11c. See Form 990, Part X, line 13	3.
	(a) Description of investment	(b) Book value	(c) Method of valuation: Cost or end-of-year market value	
(1)				
(2)				
(3)				
(4)				
(5)				
(6)				
(7)				
(8)				
(9)				
	n (b) must equal Form 990, Part X, col. (B) line 13.)			
Part IX	Other Assets. Complete if the organization answered	l "Yes" on Form 990	O, Part IV, line 11d. See Form 990, Part X, line 15	
	(a) De	scription	(b) Book valu	ıe
_(1)				
(2)				
(3)				
(4)				
(5)				
(6)				
(7)				
(8)				
(9)	umn (b) must equal Form 990, Part X, col. (B) l	ino 15 \		
Part X	Other Liabilities.	ine 15.)	······	
Fait A		l "Yes" on Form 990	0, Part IV, line 11e or 11f. See Form 990, Part X,	
1.	(a) Description of liability	(b) Book valu	ue	
(1) Fede	ral income taxes			
(2) ESTI	MATED LIABILITY TO ANNUITANTS	11,535,0	058.	
(3) DEFE	RRED COMPENSATION AND SEVERANCE	995,9	918.	
(4) ENVI	RONMENTAL LIABILITY	5,719,0	000.	
(5) REFU	NDABLE STUDENT LOAN ADVANCES	5,995,3	373.	
(6)				
(7)				
(8)				
(9)				
	mn (h) must equal Form 000 Part V col (R) line 25)	24 245 3	349	

2. Liability for uncertain tax positions. In Part XIII, provide the text of the footnote to the organization's financial statements that reports the organization's liability for uncertain tax positions under FIN 48 (ASC 740). Check here if the text of the footnote has been provided in Part XIII

PAGE 34

BRANDEIS UNIVERSITY

Schedule D (Form 990) 2016 Page **4**

Part	Reconciliation of Revenue per Audited Financial Statements With Revenue per Return Complete if the organization answered "Yes" on Form 990, Part IV, line 12a.	1.	
1	Total revenue, gains, and other support per audited financial statements	1	445,301,679.
2	Amounts included on line 1 but not on Form 990, Part VIII, line 12:		
– a	Net unrealized gains (losses) on investments		
b	Donated services and use of facilities		
C	Recoveries of prior year grants		
d	Other (Describe in Part XIII.)		
e	Add lines 2a through 2d	2e	-71,482,617.
3	Subtract line 2e from line 1	3	516,784,296.
4	Amounts included on Form 990, Part VIII, line 12, but not on line 1:		
а	Investment expenses not included on Form 990, Part VIII, line 7b 4a 3,758,168.		
b	Other (Describe in Part XIII.)		
С	Add lines 4a and 4b	4c	3,758,168.
5	Total revenue. Add lines 3 and 4c. (This must equal Form 990, Part I, line 12.)	5	520,542,464.
Part	Reconciliation of Expenses per Audited Financial Statements With Expenses per Retu Complete if the organization answered "Yes" on Form 990, Part IV, line 12a.		
1	Total expenses and losses per audited financial statements	1	343,451,303.
2	Amounts included on line 1 but not on Form 990, Part IX, line 25:		
а	Donated services and use of facilities		
b	Prior year adjustments		
С	Other losses		
d	Other (Describe in Part XIII.)		00 060 450
е	Add lines 2a through 2d	2e	-98,069,459. 441,520,762.
3	Subtract line 2e from line 1	3	441,520,762.
4	Amounts included on Form 990, Part IX, line 25, but not on line 1: Investment expenses not included on Form 990, Part VIII, line 7b. 3,758,168.		
a	investment expenses not included on Form 990, Fart VIII, line Fb 1 1 1 1 1 1 1		
b	Other (Describe in Part XIII.)	4c	3,758,168.
с 5	Add lines 4a and 4b	5	445,278,930.
	XIII Supplemental Information.		-, -,
2; Part	e the descriptions required for Part II, lines 3, 5, and 9; Part III, lines 1a and 4; Part IV, lines 1b and 2b; Part IV, lines 2d and 4b; and Part XII, lines 2d and 4b. Also complete this part to provide any additional inform PAGE 5		

Schedule D (Form 990) 2016

6E1271 1.000

JSA

Part XIII Supplemental Information (continued)

COLLECTIONS OF ART

PART III, LINE 1:

SFAS116, FOOTNOTE 1(L)

COLLECTIONS AT BRANDEIS UNIVERSITY ARE PROTECTED AND PRESERVED FOR PUBLIC EXHIBITION, EDUCATION, RESEARCH AND THE FURTHERANCE OF PUBLIC SERVICE.

COLLECTIONS ARE NOT CAPITALIZED. SALES AND PURCHASES OF COLLECTION ITEMS ARE RECORDED AS NON-OPERATING REVENUE AND EXPENSES IN THE UNIVERSITY'S FINANCIAL STATEMENTS IN THE PERIOD IN WHICH THE ITEMS ARE SOLD OR ACQUIRED, RESPECTIVELY.

PART III, LINE 4:

FOUNDED IN 1961, THE ROSE ART MUSEUM (THE ROSE) IS AN INTEGRAL PART OF BRANDEIS UNIVERSITY. THE ROSE IS AN EDUCATIONAL AND CULTURAL MUSEUM DEDICATED TO COLLECTING, PRESERVING AND EXHIBITING THE FINEST OF MODERN AND CONTEMPORARY ART. THE PROGRAMS OF THE ROSE ADHERE TO THE OVERALL MISSION OF THE UNIVERSITY, EMBRACING ITS VALUES OF ACADEMIC EXCELLENCE, SOCIAL JUSTICE, AND FREEDOM OF EXPRESSION. THE ROSE IS ACTIVE IN THE ACADEMIC, CULTURAL, AND SOCIAL LIFE OF BRANDEIS. THE ROSE SEEKS TO STIMULATE PUBLIC AWARENESS AND DISSEMINATE KNOWLEDGE OF MODERN AND CONTEMPORARY ART TO ENRICH EDUCATIONAL, CULTURAL, AND ARTISTIC COMMUNITIES REGIONALLY, NATIONALLY, AND INTERNATIONALLY. IT PROMOTES LEARNING AND UNDERSTANDING OF THE EVOLVING MEANINGS, IDEAS, AND FORMS OF VISUAL ART RELEVANT TO CONTEMPORARY SOCIETY.

Schedule D (Form 990) 2016

JSA 6E1226 1.000

7673LT 1592 2150887 PAGE 36

Part XIII Supplemental Information (continued)

ENDOWMENT FUNDS

PART V, LINE 4

BRANDEIS UNIVERSITY'S ENDOWMENT AND QUASI-ENDOWMENT CONSISTS OF APPROXIMATELY 1,950 INDIVIDUAL ENDOWMENT ACCOUNTS ESTABLISHED FOR A VARIETY OF PURPOSES IN ACCORDANCE WITH THE APPLICABLE LAW AND DONOR INTENT AND FUNDS DESIGNATED BY THE UNIVERSITY'S BOARD TO OPERATE AS ENDOWMENT (QUASI-ENDOWMENT). A MAJORITY OF THE FUNDS PROVIDE SCHOLARSHIPS AND FINANCIAL AID ASSISTANCE TO UNDERGRADUATE AND GRADUATE STUDENTS. OTHER USES OF THE FUNDS INCLUDE, BUT ARE NOT LIMITED TO, SUPPORT FOR FELLOWSHIPS, THE CREATION AND FUNDING OF ENDOWED CHAIRS AND PROFESSORSHIPS, AND SUPPORT FOR THE UNIVERSITY'S TEACHING AND RESEARCH ACTIVITIES. THE UNIVERSITY'S ENDOWMENT INVESTMENT OBJECTIVES ARE TO MAXIMIZE RISK-ADJUSTED RETURNS FOR A LONG-TERM HORIZON. THE ENDOWMENT INTENDS TO ACHIEVE ITS OBJECTIVES BY INVESTING IN MULTIPLE ASSET CLASSES. IN ORDER TO MEET THE PRIMARY INVESTMENT GOALS FOR ENDOWMENT FUNDS, THE AVERAGE ANNUAL NET TOTAL RETURN OVER AN EXTENDED PERIOD, AFTER ADJUSTING FOR INFLATION, IS DEEMED SUFFICIENT TO SUPPORT THE SPENDING RATE AS DETERMINED BY THE UNIVERSITY'S BOARD OF TRUSTEES. TO HAVE A REASONABLE PROBABILITY OF ACHIEVING THE ENDOWMENT'S PRIMARY INVESTMENT GOAL AT AN ACCEPTABLE RISK LEVEL, THE INVESTMENT COMMITTEE HAS ADOPTED A LONG-TERM ASSET ALLOCATION POLICY.

FIN 48 (ASC 740) FOOTNOTE

PART X, LINE 2

THE UNIVERSITY IS A NOT-FOR-PROFIT ORGANIZATION AS DESCRIBED IN SECTION 501(C)(3) OF THE INTERNAL REVENUE CODE, AS AMENDED (THE CODE), AND IS GENERALLY EXEMPT FROM INCOME TAXES PURSUANT TO SECTION 501(A) OF THE

Schedule D (Form 990) 2016

Schedule D (Form 990) 2016 BRANDEIS UNIVERSITY 04-2103552 Page **5**

Part XIII Supplemental Information (continued)

CODE. THE UNIVERSITY IS REQUIRED TO ASSESS UNCERTAIN TAX POSITIONS AND HAS DETERMINED THAT THERE WERE NO SUCH POSITIONS THAT ARE MATERIAL TO THE FINANCIAL STATEMENTS.

OTHER REVENUE INCLUDED IN FINANCIAL STATEMENTS BUT NOT ON RETURN

PART XI, LINE 2D

UNIVERSITY FUNDED FINANCIAL AID (98,069,459)

CHANGE IN VALUE OF SPLIT INTEREST (947,121)

TOTAL (99,016,580)

OTHER EXPENSES INCLUDED ON RETURN BUT NOT FINANCIAL STATEMENTS

PART XII, LINE 4B

UNIVERSITY FUNDED FINANCIAL AID 98,069,459

TOTAL 98,069,459

Schedule D (Form 990) 2016

JSA 6E1226 1.000

7673LT 1592 2150887 PAGE 38

SCHEDULE E (Form 990 or 990-EZ)

► Complete if the organization answered "Yes" on Form 990, Part IV, line 13, or Form 990-EZ, Part VI, line 48.

OMB No. 1545-0047

Open to Public

Inspection

Department of the Treasury Internal Revenue Service

BRANDEIS UNIVERSITY

► Attach to Form 990 or Form 990-EZ. ▶ Information about Schedule E (Form 990 or 990-EZ) and its instructions is at www.irs.gov/form990.

Name of the organization

Employer identification number 04-2103552

гаі				
			YES	NO
1	Does the organization have a racially nondiscriminatory policy toward students by statement in its charter,		v	
•	bylaws, other governing instrument, or in a resolution of its governing body?	1	X	
2	Does the organization include a statement of its racially nondiscriminatory policy toward students in all its brochures, catalogues, and other written communications with the public dealing with student admissions,			
	programs, and scholarships?	2	Х	
3	Has the organization publicized its racially nondiscriminatory policy through newspaper or broadcast media	_		
-	during the period of solicitation for students, or during the registration period if it has no solicitation program,			
	in a way that makes the policy known to all parts of the general community it serves? If "Yes," please			
	describe. If "No," please explain. If you need more space, use Part II	3	Х	
	SEE SUPPLEMENTAL PAGE			
4	Does the organization maintain the following?			
4 a	Records indicating the racial composition of the student body, faculty, and administrative staff?	4a	Х	
b	Records documenting that scholarships and other financial assistance are awarded on a racially	74		
-	nondiscriminatory basis?	4b	Х	
С	Copies of all catalogues, brochures, announcements, and other written communications to the public dealing			
	with student admissions, programs, and scholarships?	4c	Х	
d	Copies of all material used by the organization or on its behalf to solicit contributions?	4d	Х	
	If you answered "No" to any of the above, please explain. If you need more space, use Part II.			
_	Does the experimental discriminate by your in any year with younget to			
5 а	Does the organization discriminate by race in any way with respect to:	5a		Х
а	Students' rights or privileges?	Ja		
b	Admissions policies?	5b		Х
С	Employment of faculty or administrative staff?	5c		Х
d	Scholarships or other financial assistance?	5d		Х
				3.7
е	Educational policies?	5e		Х
	Lies of facilities?	5f		Х
1	Use of facilities?	31		21
g	Athletic programs?	5g		Х
9	, and the programme.	- J		
h	Other extracurricular activities?	5h		Х
	If you answered "Yes" to any of the above, please explain. If you need more space, use Part II.			
.	Does the consciention people and financial aid an accistance for a second secon		v	
6a	Does the organization receive any financial aid or assistance from a governmental agency?	6a	Х	Х
b	Has the organization's right to such aid ever been revoked or suspended? If you answered "Yes" on either line 6a or line 6b, explain on Part II.	6b		^
7	Does the organization certify that it has complied with the applicable requirements of sections 4.01 through			
•	4.05 of Rev. Proc. 75-50, 1975-2 C.B. 587, covering racial nondiscrimination? If "No," explain on Part II	7	Х	

Schedule E (Form 990 or 990-EZ) (2016) Page 2

Supplemental Information. Provide the explanations required by Part I, lines 3, 4d, 5h, 6b, and 7, as applicable. Also provide any other additional information (see instructions).

NONDISCRIMINATION POLICY

PART I, LINE 3

THE UNIVERSITY'S NON-DISCRIMINATION AND HARASSMENT POLICY IS PUBLICIZED

IN THE STUDENT HANDBOOK AND HUMAN RESOURCE AND EMPLOYEE RELATIONS POLICY

AND PROCEDURES MANUAL. THE POLICY APPLIES TO ALL BRANDEIS STUDENTS,

FACULTY AND STAFF.

BRANDEIS UNIVERSITY IS COMMITTED TO PROVIDING ITS STUDENTS, FACULTY AND STAFF WITH AN ENVIRONMENT CONDUCIVE TO LEARNING AND WORKING WHERE ALL PEOPLE ARE TREATED WITH RESPECT AND DIGNITY. TOWARD THAT END, IT IS ESSENTIAL THAT BRANDEIS BE FREE FROM DISCRIMINATION AND HARASSMENT ON THE BASIS OF RACE, COLOR, ANCESTRY, RELIGIOUS CREED, GENDER IDENTITY AND EXPRESSION, NATIONAL OR ETHNIC ORIGIN, SEX, SEXUAL ORIENTATION, AGE, GENETIC INFORMATION, DISABILITY, VIETNAM ERA VETERAN, QUALIFIED SPECIAL, DISABLED VETERAN OR OTHER ELIGIBLE VETERAN STATUS OR ANY OTHER CATEGORY PROTECTED BY LAW.

IT IS THE UNIVERSITY'S RESPONSIBILITY TO HELP PREVENT HARASSMENT AND DISCRIMINATION FROM OCCURRING, TO PURSUE CONCERNS OF WHICH IT IS AWARE, TO OBJECTIVELY INVESTIGATE CONCERNS, AND TO TAKE IMMEDIATE AND APPROPRIATE ACTION TO REMEDY ISSUES OF HARASSMENT AND DISCRIMINATION.

BRANDEIS TAKES THIS RESPONSIBILITY SERIOUSLY. THEREFORE, VIOLATIONS OF THIS POLICY WILL NOT BE TOLERATED AND MAY RESULT IN CORRECTIVE ACTIONS UP TO AND INCLUDING DISMISSAL FROM SCHOOL OR RELEASE FROM EMPLOYMENT.

Schedule E (Form 990 or 990-EZ) (2016)

Page 2 Schedule E (Form 990 or 990-EZ) (2016)

Part II **Supplemental Information.** Provide the explanations required by Part I, lines 3, 4d, 5h, 6b, and 7, as applicable. Also provide any other additional information (see instructions).

FUNDS FROM GOVERNMENT AGENCIES

PART I, LINE 6A

THE UNIVERSITY RECEIVED FUNDS FROM VARIOUS GOVERNMENTAL AGENCIES FOR THE PURPOSE OF PROVIDING FINANCIAL ASSISTANCE TO QUALIFIED RECIPIENTS, TO SUPPORT SPONSORED RESEARCH AND THE CONSTRUCTION OF EDUCATIONAL FACILIITIES.

Schedule E (Form 990 or 990-EZ) (2016)

SCHEDULE F (Form 990)

Statement of Activities Outside the United States

▶ Complete if the organization answered "Yes" on Form 990, Part IV, line 14b, 15, or 16. ► Attach to Form 990.

2016 **Open to Public**

Department of the Treasury Internal Revenue Service

Form 990, Part IV, line 14b.

▶ Information about Schedule F (Form 990) and its instructions is at www.irs.gov/form990.

Inspection

OMB No. 1545-0047

Name of the organization Employer identification number 04-2103552 BRANDEIS UNIVERSITY General Information on Activities Outside the United States. Complete if the organization answered "Yes" on Part I

1	For grantmakers. Does the organization maintain records to substantiate the amount of its grants and other assistance, the grantees' eligibility for the grants or assistance, and the selection criteria used to award the grants or assistance? X Yes No											
2	For grantmakers. Describe in Part V the organization's procedures for monitoring the use of its grants and other assistance outside the United States.											
3	Activities per Region. (The follow	ing Part I line	3 table can be	duplicated if additional sp	ace is needed)							
	(a) Region	(b) Number of offices in the region	(c) Number of employees, agents, and independent contractors in the region	(d) Activities conducted in the region (by type) (such as, fundraising, program services, investments, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in the region	(f) Total expenditures for and investments in the region						
(1)	CENTRAL AMERICA/CARIBBEAN			PROGRAM SERVICES	STUDY ABROAD	91,531.						
(2)	EAST ASIA AND THE PACIFIC			PROGRAM SERVICES	STUDY ABROAD	623,403.						
(3)	EUROPE			PROGRAM SERVICES	STUDY ABROAD	3,215,247.						
(4)	MIDDLE EAST AND NORTH AFRICA			PROGRAM SERVICES	STUDY ABROAD	66,935.						
(5)	RUSSIA/INDEPENDENT STATES			PROGRAM SERVICES	STUDY ABROAD	14,315.						
(6)	SOUTH AMERICA			PROGRAM SERVICES	STUDY ABROAD	180,065.						
(7)	SOUTH ASIA			PROGRAM SERVICES	STUDY ABROAD	27,848.						
(8)	SUB-SAHARAN AFRICA			PROGRAM SERVICES	STUDY ABROAD	83,976.						
(9)	EUROPE			PROGRAM SERVICES	CERN	216,373.						
10)	CENTRAL AMERICA/CARIBBEAN			INVESTMENTS		261,573,170.						
11)	EUROPE			INVESTMENTS		21,885,941.						
12)												
13)												
14)												
15)												
16)												
17)												
	Sub-total					287,978,804.						
b												
С	Totals (add lines 3a and 3b)					287,978,804.						

For Paperwork Reduction Act Notice, see the Instructions for Form 990.

Schedule F (Form 990) 2016

Schedule F (Form 990) 2016

Part II	Grants and Other Assist Part IV, line 15, for any re							d "Yes" on F	orm 990,
1	(a) Name of organization	(b) IRS code section and EIN (if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of noncash assistance	(h) Description of noncash assistance	(i) Method of valuation (book, FMV, appraisal, other)
(1)									
(2)									
(3)									
(4)									
(5)									
(6)									
(7)									
(8)									
(9)									
(10)									
(11)									
(12)									
(13)									
(14)									
(15)									
(16)									
by t	er total number of recipient orga he IRS, or for which the grantee	or counsel has prov	ided a section 501(c)(3) ed	quivalency lette	er		▶		
3 Ent	er total number of other organiz	ations or entities					::: ▶		

Schedule F (Form 990) 2016

Schedule F (Form 990) 2016

Part III Grants and Other Assistance to Individuals Outside the United States. Complete if the organization answered "Yes" on Form 990, Part IV, line 16. Part III can be duplicated if additional space is needed.

(a) Type of grant or assistance	(b) Region	(c) Number of recipients	(d) Amount of cash grant	(e) Manner of cash disbursement	(f) Amount of noncash assistance	(g) Description of noncash assistance	(h) Method of valuation (book, FMV, appraisal, other)
(1) SCHOLARSHIP AND FINANCIAL AID	CENT. AMERICA/CARIBBEAN	6.	37,411.	SEE PART V			
(2) SCHOLARSHIP AND FINANCIAL AID	EAST ASIA/PACIFIC	39.	278,968.	SEE PART V			
(3) SCHOLARSHIP AND FINANCIAL AID	EUROPE/ICELAND/GREENLAND	178.	1,557,959.	SEE PART V			
(4) SCHOLARSHIP AND FINANCIAL AID	MIDDLE EAST/NORTH AFRICA	6.	48,910.	SEE PART V			
(5) SCHOLARSHIP AND FINANCIAL AID	RUSSIA/NEWLY IND. STATES	1.	9,655.	SEE PART V			
(6) SCHOLARSHIP AND FINANCIAL AID	SOUTH AMERICA	12.	72,386.	SEE PART V			
(7) SCHOLARSHIP AND FINANCIAL AID	SOUTH ASIA	2.	2,130.	SEE PART V			
(8) SCHOLARSHIP AND FINANCIAL AID	SUB-SAHARAN AFRICA	6.	70,005.	SEE PART V			
(9)							
<u>(</u> 10)							
<u>(11)</u>							
(12)							
<u>(13)</u>							
<u>(14)</u>							
<u>(</u> 15)							
<u>(</u> 16)							
<u>(17)</u>							
(18)							

Schedule F (Form 990) 2016

Schedule F (Form 990) 2016

Part IV Foreign Forms Page 4

ган	i oreign i ornis				
1	Was the organization a U.S. transferor of property to a foreign corporation during the tax year? If "Yes," the organization may be required to file Form 926, Return by a U.S. Transferor of Property to a Foreign Corporation (see Instructions for Form 926)	X	Yes		No
2	Did the organization have an interest in a foreign trust during the tax year? If "Yes," the organization may be required to separately file Form 3520, Annual Return To Report Transactions With Foreign Trusts and Receipt of Certain Foreign Gifts, and/or Form 3520-A, Annual Information Return of Foreign Trust With a U.S. Owner (see Instructions for Forms 3520 and 3520-A; do not file with Form 990)		Yes	X	No
3	Did the organization have an ownership interest in a foreign corporation during the tax year? If "Yes," the organization may be required to file Form 5471, Information Return of U.S. Persons With Respect To Certain Foreign Corporations (see Instructions for Form 5471)	X	Yes		No
4	Was the organization a direct or indirect shareholder of a passive foreign investment company or a qualified electing fund during the tax year? If "Yes," the organization may be required to file Form 8621, Information Return by a Shareholder of a Passive Foreign Investment Company or Qualified Electing Fund (see Instructions for Form 8621)	X	Yes		No
5	Did the organization have an ownership interest in a foreign partnership during the tax year? If "Yes," the organization may be required to file Form 8865, Return of U.S. Persons With Respect to Certain Foreign Partnerships (see Instructions for Form 8865)	X	Yes		No
6	Did the organization have any operations in or related to any boycotting countries during the tax year? If "Yes," the organization may be required to separately file Form 5713, International Boycott Report (see Instructions for Form 5713; do not file with Form 990)		Yes	X	No

Schedule F (Form 990) 2016

6E1277 1.000 7673LT 1592 2150887 Schedule F (Form 990) 2016 Page 5

Part V **Supplemental Information**

Provide the information required by Part I, line 2 (monitoring of funds); Part I, line 3, column (f) (accounting method; amounts of investments vs. expenditures per region); Part II, line 1 (accounting method); Part III (accounting method); and Part III, column (c) (estimated number of recipients), as applicable. Also complete this part to provide any additional information (see instructions).

PROCEDURE FOR MONITORING USE OF GRANT FUNDS TO INDIVIDUALS

PART I, LINE 2

BRANDEIS UNIVERSITY PROVIDES GRANTS AND SCHOLARSHIPS TO STUDENTS THAT ARE STUDYING ABROAD. THE UNIVERSITY ENSURES THAT SUCH GRANTS AND OTHER ASSISTANCE ARE USED FOR STUDY ABROAD PURPOSES OR ARE NOT OTHERWISE DIVERTED FROM THE INTENDED USE BY DIRECTLY APPLYING THE GRANTS AND ASSISTANCE TO THE STUDY ABROAD INSTITUTIONS.

MANNER OF CASH DISBURSEMENT

PART III, COLUMN (E)

STUDENT SCHOLARSHIPS AND FINANCIAL AID TO STUDENTS ARE CREDITED TO EACH STUDENT'S ACCOUNT AND PAID DIRECTLY TO THE INSTITUTIONS AT WHICH THE STUDENT IS STUDYING ABROAD.

Schedule F (Form 990) 2016

JSA

SCHEDULE G (Form 990 or 990-EZ)

Supplemental Information Regarding Fundraising or Gaming Activities

Complete if the organization answered "Yes" on Form 990, Part IV, lines 17, 18, or 19, or if the organization entered more than \$15,000 on Form 990-EZ, line 6a.

Attach to Form 990 or Form 990-EZ.

OMB No. 1545-0047 Open to Public

Department of the Treasury Internal Revenue Service Name of the organization

▶ Information about Schedule G (Form 990 or 990-EZ) and its instructions is at www.irs.gov/form990.

Inspection Employer identification number

BRA	NDEIS UNIVERSITY					04-2103552	
Par		nolete if the orga	nization a	answered	I "Yes" on Form		17.
· ai	Form 990-EZ filers are not						
1	Indicate whether the organization rais				activities. Check	all that apply.	
а		e		_	non-government g		
b		f			government grant		
c	<u> </u>	g g			ising events		
d		9	Opc	olai Tariara	ionig overno		
	Did the organization have a written o	r oral agraement w	with any in	dividual (in	oludina officers o	liroctore tructore	
Za	or key employees listed in Form 990						Yes No
h	If "Yes," list the 10 highest paid indi						
_	compensated at least \$5,000 by the		(ranaraioc	io, paroua	ant to agreement	ander winem and	
	•	0					
	(i) Name and address of individual or entity (fundraiser)	(ii) Activity	custody	ndraiser have or control of outions?	(iv) Gross receipts from activity	(v) Amount paid to (or retained by) fundraiser listed in	(vi) Amount paid to (or retained by) organization
			Yes	No		col. (i)	
1							
2							
3							
4							
5							
6							
7							
8							
9							
10							
Tota	1						
Tota 3	List all states in which the organiza				contributions or	has been notified	it is exempt from
3	registration or licensing.	lion is registered t	JI IICEIISE	i to Solicit	CONTINUINOUS OF	nas been notineu	it is exempt from
	regionation or hoonsing.						

Page 2

Schedule G (F	orm 990 or 990-EZ) 2016
Part II	Fundraising Events. Complete if the organization answered "Yes" on Form 990, Part IV, line 18, or reported more
	than \$15,000 of fundraising event contributions and gross income on Form 990-EZ, lines 1 and 6b. List events with
	gross receipts greater than \$5,000.

		than \$15,000 of fundraising ever		ss income on Form 990	-EZ, lines 1 and 6b. l	ist events with
		3	(a) Event #1 LUNCHEON	(b) Event #2 LUNCHEON	(c) Other events	(d) Total events (add col. (a) through
			(event type)	(event type)	(total number)	col. (c))
Revenue	1	Gross receipts	171,682.	61,682.	394,309.	627,673
<u>~</u>	2	Less: Contributions	80,062.	22,892.	142,627.	245,581
		Gross income (line 1 minus line 2).	91,620.	38,790.	251,682.	382,092
	4	Cash prizes			0.	
		Noncash prizes			0.	
uses	6	Rent/facility costs	2,500.	1,800.	97,056.	101,356
Direct Expenses	7	Food and beverages	72,702.	31,072.	106,849.	210,623
Direc	8	Entertainment			0.	
	9	Other direct expenses	16,418.	5,918.	47,777.	70,113
	10 11	Direct expense summary. Add lines 4 Net income summary. Subtract line 1	through 9 in column (d) 0 from line 3. column (d)		382,092
Pa	rt l	Gaming. Complete if the orgathan \$15,000 on Form 990-E	anization answered "Y			orted more
enue			(a) Bingo	(b) Pull tabs/instant bingo/progressive bingo	(c) Other gaming	(d) Total gaming (add col. (a) through col. (c))
Revenue	1	Gross revenue				
ses		Cash prizes				
sesuec	2	Noncash prizes				

Part III	Gaming. Complete if the organization answered "Yes" on Form 990, Part IV, line 19, or reported more
	than \$15,000 on Form 990-EZ, line 6a.

Revenue		(a) Bingo	(b) Pull tabs/instant bingo/progressive bingo	(c) Other gaming	(d) Total gaming (add col. (a) through col. (c))
Rev	1 Gross revenue				
es	2 Cash prizes				
Direct Expenses	3 Noncash prizes				
irect E	4 Rent/facility costs				
	5 Other direct expenses				
	6 Volunteer labor	Yes% No	Yes% No	Yes% No	
	7 Direct expense summary. Add lines 2	2 through 5 in column (d)			
	8 Net gaming income summary. Subtra	act line 7 from line 1, col	umn (d)	>	
9 a b		tion conducts gaming ac gaming activities in each	of these states?		_ Yes No
	Were any of the organization's gaming of the system of the organization of the organiz	licenses revoked, suspe	nded or terminated duri	ng the tax year?	_ Yes No

Sched	dule G (Form 990 or 990-EZ) 2016		Page 3
11	Does the organization conduct gaming activities with nonmembers?	Yes	No
12	Is the organization a grantor, beneficiary or trustee of a trust or a member of a partnership or other entity		
	formed to administer charitable gaming?	Yes	No
13	Indicate the percentage of gaming activity conducted in:		
а	The organization's facility		%
b	An outside facility		%
14	Enter the name and address of the person who prepares the organization's gaming/special events books and records:		
	Name ►		
	Address ►		
15 a	Does the organization have a contract with a third party from whom the organization receives gaming		
	revenue?	Yes	No
b	If "Yes," enter the amount of gaming revenue received by the organization ▶ \$ and the		
	amount of gaming revenue retained by the third party ▶ \$		
С	If "Yes," enter name and address of the third party:		
	Name ►		
	Address ▶		
16	Gaming manager information:		
	Name ▶		
	Gaming manager compensation ▶ \$		
	Description of services provided ▶		
	Director/officer Employee Independent contractor		
17	Mandatory distributions:		
a	Is the organization required under state law to make charitable distributions from the gaming proceeds to		
u	retain the state gaming license?	Yes	No
b		1.03	
D	or spent in the organization's own exempt activities during the tax year > \$		
Par			

Schedule G (Form 990 or 990-EZ) 2016

SCHEDULE I (Form 990)

Department of the Treasury

Internal Revenue Service Name of the organization

Grants and Other Assistance to Organizations, Governments, and Individuals in the United States

Complete if the organization answered "Yes" on Form 990, Part IV, line 21 or 22.

► Attach to Form 990. ▶ Information about Schedule I (Form 990) and its instructions is at www.irs.gov/form990. OMB No. 1545-0047

2016

Open to Public Inspection

Employer identification number

BRANDEIS UNIVERSITY						04-210355	52
Part I General Information on Grants and	d Assistanc	е				•	
 Does the organization maintain records to so the selection criteria used to award the grant Describe in Part IV the organization's proced Part II Grants and Other Assistance to D 990, Part IV, line 21, for any recipion 	ts or assistand dures for mor comestic Or	ce? nitoring the use ganizations ar	of grant funds in the	e United States.	plete if the organization	ation answered "Y	X Yes No
(a) Name and address of organization or government	(b) EIN	(c) IRC section (if applicable)	(d) Amount of cash grant	(e) Amount of non- cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of noncash assistance	(h) Purpose of grant or assistance
(1) B'NAI B'RITH HILLEL FOUNDATIONS, INC							
565 MAYFIELD AVE. STANFORD, CA 94305	94-1156365	501(C)(3)	207,923.				RESEARCH
(2) BRIGHAM & WOMENS HOSPITAL							
75 FRANCIS STREET BOSTON, MA 02115	04-2312909	501(C)(3)	93,448.				RESEARCH
(3) BROWN UNIVERSITY							
P.O. BOX 1839 PROVIDENCE, RI 02912-1839	05-0258809	501(C)(3)	116,485.				RESEARCH
(4) CALIFORNIA INSTITUTE OF TECHNOLOGY							
1200 E. CALIFORNIA BLVD. PASADENA, CA 91125	95-1643307	501(C)(3)	270,266.				RESEARCH
(5) CARNEGIE MELLON UNIVERSITY							
500 FORBES AVE PITTSBURGH, PA 15213-3890	25-0969449	501(C)(3)	56,939.				RESEARCH
(6) COLD SPRING HARBOR LABORATORY							
1 BUNGTOWN RD COLD SPRING HARBOR, NY 11724	11-2013303	501(C)(3)	418,120.				RESEARCH
(7) COMMONWEALTH OF MASSACHUSETTS							
1 ASHBURTON PLACE BOSTON, MA 02108	04-6002284	GOV'T	32,630.				RESEARCH
(8) EMORY UNIVERSITY							
201 DOWMAN DRIVE ATLANTA, GA 30322	58-0566256	501(C)(3)	148,304.				RESEARCH
(9) FLORIDA STATE UNIVERSITY							
874 TRADITIONS WY	59-1961248	GOV'T	34,893.				RESEARCH
(10) HARVARD UNIVERSITY							
HARVARD UNIVERSITY CAMBRIDGE, MA 02138	04-2103580	501(C)(3)	256,192.				RESEARCH
(11) HEBREW SENIOR LIFE							
1200 CENTRE STREET ROSLINDALE, MA 02131	04-2104298	501(C)(3)	40,194.				RESEARCH
(12) IJIS INSTITUTE							
44983 KNOLL SQUARE ASHBURN, VA 20147	31-1783179	501(C)(3)	211,977.				RESEARCH
2 Enter total number of section 501(c)(3) and 3 Enter total number of other organizations lies	•	•	sted in the line 1 tal	ole			

For Paperwork Reduction Act Notice, see the Instructions for Form 990.

Schedule I (Form 990) (2016)

JSA 6E1288 1.000

SCHEDULE I (Form 990)

Grants and Other Assistance to Organizations, Governments, and Individuals in the United States

Complete if the organization answered "Yes" on Form 990, Part IV, line 21 or 22.

► Attach to Form 990.

Open to Public Inspection

OMB No. 1545-0047

2016

Department of the Treasury Internal Revenue Service

▶ Information about Schedule I (Form 990) and its instructions is at www.irs.gov/form990.

Name of the organization						Employer identific	ation number
BRANDEIS UNIVERSITY	04-210355	04-2103552					
Part I General Information on Grants and	d Assistanc	e				'	
 Does the organization maintain records to so the selection criteria used to award the grant Describe in Part IV the organization's proced 	s or assistand	ce?					X Yes No
Part II Grants and Other Assistance to D 990, Part IV, line 21, for any recipi		•			ed if additional space		es" on Form
(a) Name and address of organization or government	(b) EIN	(c) IRC section (if applicable)	(d) Amount of cash grant	(e) Amount of non- cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of noncash assistance	(h) Purpose of grant or assistance
(1) MASSACHUSETTS GENERAL HOSPITAL							
55 FRUIT STREET BOSTON, MA 02114	04-1564655	501(C)(3)	21,091.				RESEARCH
(2) NORTHEASTERN UNIVERSITY							
360 HUNTINGTON AVE BOSTON, MA 02115	04-1679980	501(C)(3)	18,462.				RESEARCH
(3) PALO ALTO INSTITUTE FOR RESEARCH							
3801 MIRANDA AVE.(151P) PALO ALTO, CA 94304	77-0207331	501(C)(3)	71,048.				RESEARCH
(4) PRESIDENT & FELLOWS OF HARVARD COLLEGE							
P.O. BOX 415649 BOSTON, MA 02241-5649	04-2103580	501(C)(3)	772,773.				RESEARCH
(5) REGENTS OF THE UNIVERSITY OF MICHIGAN							
500 S. STATE STREET ANN ARBOR, MI 48109	38-6006309	501(C)(3)	7,274.				RESEARCH
(6) REGENTS OF THE UNIVERSITY OF MINNESOTA							
3 MORRILL HALL, 100 CHURCH ST. S.E.	41-6007513	GOV'T	24,833.				RESEARCH
(7) THE BALTIMORE MUSEUM OF ART							
10 ART MUSEUM DRIVE BALTIMORE, MD 21218	52-6000162	501(C)(3)	127,385.				RESEARCH
(8) THE SCRIPPS RESEARCH INSTITUTE							
10550 N. TORREY PINES RD LA JOLLA, CA 92037	99-0435954	501(C)(3)	70,934.				RESEARCH
(9) TRUSTEES OF BOSTON COLLEGE							
140 COMMONWEALTH CHESTNUT HILL, MA 02467	04-2103545	501(C)(3)	23,508.				RESEARCH
(10) TRUSTEES OF BOSTON UNIVERSITY							
881 COMMONWEALTH AVENUE BOSTON, MA 02215	04-2103547	501(C)(3)	87,245.				RESEARCH
(11) TRUSTEES OF DARTMOUTH COLLEGE							
DARTMOUTH COLLEGE HANOVER, NH 03755	02-0222111	501(C)(3)	27,281.				RESEARCH
(12) TRUSTEES OF TUFTS COLLEGE							
419 BOSTON AVE MEDFORD, MA 02155	04-2103634	501(C)(3)	983,066.				RESEARCH
2 Enter total number of section 501(c)(3) and	government	organizations lis	sted in the line 1 tal	ole			
3 Enter total number of other organizations list	ed in the line	e 1 table					

For Paperwork Reduction Act Notice, see the Instructions for Form 990.

Schedule I (Form 990) (2016)

JSA 6E1288 1.000

SCHEDULE I (Form 990)

Grants and Other Assistance to Organizations, Governments, and Individuals in the United States

Complete if the organization answered "Yes" on Form 990, Part IV, line 21 or 22.

20**16**Open to Public

Inspection

OMB No. 1545-0047

Department of the Treasury Internal Revenue Service ► Attach to Form 990.

► Information about Schedule I (Form 990) and its instructions is at www.irs.gov/form990.

Employer identification number Name of the organization BRANDEIS UNIVERSITY 04-2103552 **General Information on Grants and Assistance** 1 Does the organization maintain records to substantiate the amount of the grants or assistance, the grantees' eligibility for the grants or assistance, and the selection criteria used to award the grants or assistance? 2 Describe in Part IV the organization's procedures for monitoring the use of grant funds in the United States. Part II Grants and Other Assistance to Domestic Organizations and Domestic Governments. Complete if the organization answered "Yes" on Form 990, Part IV, line 21, for any recipient that received more than \$5,000. Part II can be duplicated if additional space is needed. (f) Method of valuation (book, FMV, appraisal, other) (b) EIN (c) IRC section (d) Amount of cash (e) Amount of non-1 (a) Name and address of organization (a) Description of (h) Purpose of grant (if applicable) grant cash assistance noncash assistance or assistance or government (1) UNIVERSITY OF CALIFORNIA SAN DIEGO 9500 GILMAN DRIVE LA JOLLA, CA 92093 95-6006144 GOV'T 10.551. (2) UNIVERSITY OF FLORIDA 123 GRINTER HALL GAINESVILLE, FL 32611-7305 59-6002052 GOV'T 10,403. RESEARCH (3) UNIVERSITY OF HOUSTON 4800 CALHOUN ROAD HOUSTON, TX 77004 74-6001399 69,172. GOVIT RESEARCH (4) UNIVERSITY OF MASSACHUSETTS - AMHERST 04-3167352 42,687. 140 HICKS WAY AMHERST, MA 01003-9272 RESEARCH (5) UNIVERSITY OF MASSACHUSETTS - WORCESTER 55 N. LAKE AVE WORCESTER, MA 01655 04-3167352 GOV'T 38,767. RESEARCH (6) UNIVERSITY OF MISSOURI - COLUMBIA AR GOV'T P.O. BOX 807012 KANSAS CITY, MO 64180-7012 43-6003859 187,536 RESEARCH (7) UNIVERSITY OF SOUTH CAROLINA 57-6001153 GOV'T 1600 HAMPTON ST COLUMBIA, SC 29208 116,926 RESEARCH (8) (9) (10)(11)(12)31.

For Paperwork Reduction Act Notice, see the Instructions for Form 990.

JSA 6E1288 1.000

Schedule I (Form 990) (2016)

Part III Grants and Other Assistance to Domestic Individuals. Complete if the organization answered "Yes" on Form 990, Part IV, line 22. Part III can be duplicated if additional space is needed.

(a) Type of grant or assistance	(b) Number of recipients	(c) Amount of cash grant	(d) Amount of non-cash assistance	(e) Method of valuation (book, FMV, appraisal, other)	(f) Description of non-cash assistance
1 UNDERGRADUATE FINANCIAL AID	2,161.	65,712,074.			
2 MASTERS PROGRAM FINANCIAL AID	853.	15,487,761.			
3 DOCTORAL PROGRAM FINANCIAL AID	531.	12,850,699.			
4 CONTINUING PROGRAM FINANCIAL AID	25.	460,665.			
5 OTHER FINANCIAL AID	91.	319,957.			
6 TRAINEE TUITION AND FEES	202.	942,602.			
7 TUITION DISCOUNT	172.	218,276.			

Part IV Supplemental Information. Provide the information required in Part I, line 2, Part III, column (b); and any other additional information.

PROCEDURE OF MONITORING USE OF GRANTS TO GOVERMENTAL AGENCIES & GOVERMENTS

PART I, LINE 2

THE UNIVERSITY MAINTAINS ITS GRANT FUNDS IN INDIVIDUAL GRANT ACCOUNTS AND

IS REQUIRED TO BE AUDITED ANNUALLY. IN ADDITION, THE UNIVERSITY HAS

ESTABLISHED RESEARCH POLICIES AND PROCEDURES AS GUIDELINES TO PRINCIPAL

INVESTIGATORS IN MANAGING THEIR GRANT AT BRANDEIS UNIVERSITY.

Schedule I (Form 990) (2016)

art III	Grants and Other Assistance to Domestic Individuals. Complete if the organization answered "Yes" on Form 990, Part IV, line 22.
	Part III can be duplicated if additional space is needed.

(a) Type of grant or assistance	(b) Number of recipients	(c) Amount of cash grant	(d) Amount of non-cash assistance	(e) Method of valuation (book, FMV, appraisal, other)	(f) Description of non-cash assistance
_1					
_2					
_3					
_4					
5					
6					
7					

Part IV Supplemental Information. Provide the information required in Part I, line 2, Part III, column (b); and any other additional information.

PROCEDURE OF MONITORING USE OF GRANTS AND ASSISTANCE TO INDIVIDUALS

PART III

THE UNIVERSITY PROVIDES GRANTS AND SCHOLARSHIPS TO STUDENTS AND APPLIES

THE FINANCIAL AID DIRECTLY CREDITING EACH STUDENT'S ACCOUNT.

SCHEDULE J (Form 990)

Department of the Treasury Internal Revenue Service

Compensation InformationFor certain Officers, Directors, Trustees, Key Employees, and Highest **Compensated Employees**

► Complete if the organization answered "Yes" on Form 990, Part IV, line 23.

► Attach to Form 990.

► Information about Schedule J (Form 990) and its instructions is at www.irs.gov/form990.

OMB No. 1545-0047

Open to Public Inspection

Name of the organization Employer identification number 04-2103552 BRANDEIS UNIVERSITY **Questions Regarding Compensation**

			Yes	No
1a	Check the appropriate box(es) if the organization provided any of the following to or for a person listed on Form			
	990, Part VII, Section A, line 1a. Complete Part III to provide any relevant information regarding these items.			
	First-class or charter travel X Housing allowance or residence for personal use			
	Travel for companions Payments for business use of personal residence			
	Tax indemnification and gross-up payments Health or social club dues or initiation fees			
	Discretionary spending account X Personal services (such as, maid, chauffeur, chef)			
b	If any of the boxes on line 1a are checked, did the organization follow a written policy regarding payment or reimbursement or provision of all of the expenses described above? If "No," complete Part III to			
	explain	1b	Х	
2	Did the organization require substantiation prior to reimbursing or allowing expenses incurred by all			
	directors, trustees, and officers, including the CEO/Executive Director, regarding the items checked on line			
	1a?	2	X	
3	Indicate which, if any, of the following the filing organization used to establish the compensation of the organization's CEO/Executive Director. Check all that apply. Do not check any boxes for methods used by a related organization to establish compensation of the CEO/Executive Director, but explain in Part III.			
	X Compensation committee X Written employment contract			
	X Independent compensation consultant X Compensation survey or study			
	X Form 990 of other organizations X Approval by the board or compensation committee			
4	During the year, did any person listed on Form 990, Part VII, Section A, line 1a, with respect to the filing organization or a related organization:			
а	Receive a severance payment or change-of-control payment?	4a	Х	
b	Participate in, or receive payment from, a supplemental nonqualified retirement plan?	4b	X	
С	Participate in, or receive payment from, an equity-based compensation arrangement?	4c		X
	If "Yes" to any of lines 4a-c, list the persons and provide the applicable amounts for each item in Part III.			
	Only section 501(c)(3), 501(c)(4), and 501(c)(29) organizations must complete lines 5-9.			
5	For persons listed on Form 990, Part VII, Section A, line 1a, did the organization pay or accrue any			
	compensation contingent on the revenues of:			
а	The organization?	5a		X
b	Any related organization?	5b		X
_	If "Yes" on line 5a or 5b, describe in Part III.			
6	For persons listed on Form 990, Part VII, Section A, line 1a, did the organization pay or accrue any			
_	compensation contingent on the net earnings of:	C-		Х
a	The organization?	6a 6b		X
b	If "Yes" on line 6a or 6b, describe in Part III.	db		24
7	For persons listed on Form 990, Part VII, Section A, line 1a, did the organization provide any nonfixed			37
•	payments not described on lines 5 and 6? If "Yes," describe in Part III.	7		X
8	Were any amounts reported on Form 990, Part VII, paid or accrued pursuant to a contract that was subject			
	to the initial contract exception described in Regulations section 53.4958-4(a)(3)? If "Yes," describe			Х
9	in Part III	8		21
3	Regulations section 53.4958-6(c)?	9		

For Paperwork Reduction Act Notice, see the Instructions for Form 990.

Schedule J (Form 990) 2016

Schedule J (Form 990) 2016

Part II Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees. Use duplicate copies if additional space is needed.

For each individual whose compensation must be reported on Schedule J, report compensation from the organization on row (i) and from related organizations, described in the instructions, on row (ii). Do not list any individuals that aren't listed on Form 990, Part VII.

Note: The sum of columns (B)(i)-(iii) for each listed individual must equal the total amount of Form 990, Part VII, Section A, line 1a, applicable column (D) and (E) amounts for that individual.

		(B) Breakdown of	W-2 and/or 1099-MIS	SC compensation	(C) Retirement and	(D) Nontaxable	(E) Total of columns	(F) Compensation
(A) Name and Title		(i) Base compensation	(ii) Bonus & incentive compensation	(iii) Other reportable compensation	other deferred compensation	benefits	(B)(i)-(D)	in column (B) reported as deferred on prior Form 990
RONALD LIEBOWITZ	(i)	369,213.	0.	80,495.	26,500.	16,691.	492,899.	0.
1 PRESIDENT	(ii)	0.	0.	0.	0.	0.	0.	0.
LISA LYNCH	(i)	446,017.	0.	25,144.	26,500.	50,362.	548,023.	0.
2 ^{PROVOST}	(ii)	0.	0.	0.	0.	0.	0.	0.
STEWART URETSKY	(i)	138,447.	0.	30,315.	11,076.	425.	180,263.	0.
3EVP FINANCE AND ADMINISTRATION	(ii)	0.	0.	0.	0.	0.	0.	0.
MARIANNE CWALINA	(i)	280,785.	0.	7,937.	26,500.	25,947.	341,169.	0.
4 SVP FOR FINANCE & TREASURER	(ii)	0.	0.	0.	0.	0.	0.	0.
PETER GIUMETTE	(i)	171,700.	0.	6,858.	17,170.	1,067.	196,795.	0.
5 ^{ASSISTANT} SECRETARY	(ii)	0.	0.	0.	0.	0.	0.	0.
STEVEN MANOS	(i)	215,692.	0.	38,041.	21,583.	788.	276,104.	0.
6SVP/CHIEF OPERATING OFFICER	(ii)	0.	0.	0.	0.	0.	0.	0.
ANDREW FLAGEL	(i)	256,403.	0.	1,652.	21,200.	28,904.	308,159.	0.
7 ^{SVP} , STUDENTS & ENROLLMENT	(ii)	0.	0.	0.	0.	0.	0.	0.
NANCY WINSHIP	(i)	413,521.	55,000.	32,908.	26,500.	3,536.	531,465.	0.
8 SVP, INSTITUTIONAL ADVANCEMENT	(ii)	0.	0.	0.	0.	0.	0.	0.
NICHOLAS WARREN	(i)	544,125.	148,838.	23,570.	21,200.	6,698.	744,431.	0.
9 ^{CHIEF} INVESTMENT OFFICER	(ii)	0.	0.	0.	0.	0.	0.	0.
STEVEN LOCKE	(i)	219,476.	0.	1,743.	22,700.	43,687.	287,606.	0.
10 GENERAL COUNSEL	(ii)	0.	0.	0.	0.	0.	0.	0.
JAMES GRAY	(i)	245,959.	0.	3,421.	24,767.	10,079.	284,226.	0.
11 VP FOR OPERATIONS	(ii)	0.	0.	0.	0.	0.	0.	0.
JUDITH GLASSER	(i)	209,785.	0.	8,938.	14,210.	14,541.	247,474.	0.
12 INTERIM SVP, COMMUNICATIONS	(ii)	0.	0.	0.	0.	0.	0.	0.
JAMES LA CRETA	(i)	176,337.	0.	479.	12,736.	25,490.	215,042.	0.
13 INTERIM CHIEF INFORMATION OFF.	(ii)	0.	0.	0.	0.	0.	0.	0.
EDWARD HACKETT	(i)	212,100.	0.	8,938.	21,210.	1,343.	243,591.	0.
14 ^{VP} FOR RESEARCH	(ii)	0.	0.	0.	0.	0.	0.	0.
FREDERICK LAWRENCE	(i)	361,234.	0.	594,100.	26,500.	17,335.	999,169.	150,000.
15 ^{FACULTY}	(ii)	0.	0.	0.	0.	0.	0.	0.
STEVEN GOLDSTEIN	(i)	510,333.	0.	32,885.	26,500.	26,527.	596,245.	0.
16 ^{FACULTY}	(ii)	0.	0.	0.	0.	0.	0.	0.

Schedule J (Form 990) 2016

Schedule J (Form 990) 2016 Page 2

Part II Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees. Use duplicate copies if additional space is needed.

For each individual whose compensation must be reported on Schedule J, report compensation from the organization on row (i) and from related organizations, described in the instructions, on row (ii). Do not list any individuals that aren't listed on Form 990, Part VII.

Note: The sum of columns (B)(i)-(iii) for each listed individual must equal the total amount of Form 990, Part VII, Section A, line 1a, applicable column (D) and (E) amounts for that individual.

		(B) Breakdown o	f W-2 and/or 1099-MI	SC compensation	(C) Retirement and	(D) Nontaxable	(E) Total of columns	(F) Compensation
(A) Name and Title		(i) Base compensation	(ii) Bonus & incentive compensation	(iii) Other reportable compensation	other deferred compensation	benefits	(B)(i)-(D)	in column (B) reported as deferred on prior Form 990
BRUCE MAGID	(i)	228,326.	0.	312,813.	23,722.	20,941.	585,802.	0.
1 DEAN OF IBS	(ii)	0.	0.	0.	0.	0.	0.	0.
IRVING EPSTEIN	(i)	357,693.	0.	40,765.	26,500.	5,480.	430,438.	0.
2 ^{FACULTY}	(ii)	0.	0.	0.	0.	0.	0.	0.
DEBORAH SHUFRIN	(i)	359,666.	0.	8,360.	21,200.	12,854.	402,080.	0.
DIRECTOR OF INVESTMENTS	(ii)	0.	0.	0.	0.	0.	0.	0.
DAVID BUNIS	(i)	0.	0.	348,239.	0.	17,889.	366,128.	0.
4 FORMER CHF LEGAL CNSL/ADVISOR	(ii)	0.	0.	0.	0.	0.	0.	0.
	(i)							
5	(ii)							
	(i)							
6	(ii)							
	(i)							
7	(ii)							
	(i)							
8	(ii)							
	(i)							
9	(ii)							
	(i)							
10	(ii)							
	(i)							
11	(ii)							
	(i)							
12	(ii)							
	(i)							
13	(ii)							
	(i)							
14	(ii)							
	(i)							
15	(ii)							
	(i)							
16	(ii)							

Schedule J (Form 990) 2016

Part | Supplemental Information

Provide the information, explanation, or descriptions required for Part I, lines 1a, 1b, 3, 4a, 4b, 4c, 5a, 5b, 6a, 6b, 7, and 8, and for Part II. Also complete this part for any additional information.

PART III

PART I QUESTION I:

TRAVEL FOR COMPANIONS:

DURING CALENDAR YEAR 2016, THE UNIVERSITY REIMBURSED PRESIDENT LIEBOWITZ

FOR TRAVEL EXPENSES INCURRED BY HIS SPOUSE WHILE CONDUCTING BUSINESS OF

THE UNIVERSITY. SUCH REIMBURSEMENTS WERE MADE IN ACCORDANCE WITH

UNIVERSITY POLICY.

HOUSING ALLOWANCE OR RESIDENCE FOR PERSONAL USE:

THE UNIVERSITY PROVIDED PRESIDENT LIEBOWITZ WITH A HOUSING ALLOWANCE AS A CONDITION OF HIS EMPLOYMENT. THE VALUE OF SUCH HOUSING IS INCLUDABLE AS TAXABLE INCOME UNDER IRS CODE SECTION 119 (D).

PERSONAL SERVICES:

DURING CALENDAR YEAR 2016, THE UNIVERSITY REIMBURSED PRESIDENT LIEBOWITZ

FOR PERSONAL LEGAL AND FINANCIAL SERVICE EXPENSES. THE AMOUNT PAID BY THE

UNIVERSITY WAS INCLUDED IN THE INDIVIDUAL'S FORM W-2 AND REPORTED ON

SCHEDULE J, PART II, COLUMN B(III).

Schedule J (Form 990) 2016

Schedule J (Form 990) 2016 Page 3

Part | Supplemental Information

Provide the information, explanation, or descriptions required for Part I, lines 1a, 1b, 3, 4a, 4b, 4c, 5a, 5b, 6a, 6b, 7, and 8, and for Part II. Also complete this part for any additional information.

OTHER BUSINESS EXPENSES:

THE UNIVERSITY REIMBURSES ITS OFFICERS AND KEY EMPLOYEES FOR ORDINARY AND NECESSARY BUSINESS EXPENSES INCURRED WHILE CONDUCTING BUSINESS OF THE UNIVERSITY. SUCH REIMBURSEMENT IS MADE UNDER AN ACCOUNTABLE PLAN AND REQUIRES SUBSTANTIATION USING THE ORIGINAL EXPENSE DOCUMENTATIONS AND APPROVAL BY APPROPRIATE PARTIES AS AUTHORIZED UNDER UNIVERSITY POLICY.

PART I QUESTION 4A

SEVERANCE OR CHANGE OF CONTROL PAYMENT

THE UNIVERSITY HAS AN EMPLOYMENT AND SEPARATION POLICY THAT COVERS

MEMBERS OF THE SENIOR MANAGEMENT GROUP, WHICH PROVIDES SEVERANCE

PROVISION RANGING FROM 4 WEEKS TO 20 WEEKS. SOME SENIOR MANAGEMENT MAY

HAVE ADDITIONAL SEVERANCE PROVISION AS PART OF THEIR EMPLOYMENT

ARRANGEMENT.

DAVID BUNIS, CHIEF LEGAL COUNSEL AND PRESIDENT'S ADVISOR, LEFT HIS

POSITION AT THE END OF CALENDAR YEAR 2015. AS PART OF HIS SEPARATION

AGREEMENT, MR. BUNIS RECEIVED SEVERANCE OF \$348,249, WHICH WAS INCLUDED

Schedule J (Form 990) 2016

Schedule J (Form 990) 2016

Part | Supplemental Information

Provide the information, explanation, or descriptions required for Part I, lines 1a, 1b, 3, 4a, 4b, 4c, 5a, 5b, 6a, 6b, 7, and 8, and for Part II. Also complete this part for any additional information.

IN HIS W-2 AND REPORTED ON SCHEDULE J PART II COLUMN B(III).

BRUCE MAGID, DEAN OF IBS, LEFT HIS POSITION AT THE BEGINNING OF FISCAL YEAR 2017. AS PART OF HIS SEPARATION AGREEMENT, MR. MAGID RECEIVED SEVERANCE OF \$259,982, WHICH WAS INCLUDED IN HIS W-2 AND REPORTED ON SCHEDULE J PART II COLUMN B(III).

PART I QUESTION 4B

DEFERRED COMPENSATION ARRANGEMENT

FORMER PRESIDENT LAWRENCE PARTICIPATED IN A NONQUALIFIED DEFERRED

COMPENSATION ARRANGEMENT UNDER IRS CODE SECTION 457(F). THE AMOUNT VESTED

DURING 2016 AND IS INCLUDED IN SCHEDULE J, PART II, COLUMN B(III).

SCHEDULE K (Form 990)

Supplemental Information on Tax-Exempt Bonds

► Complete if the organization answered "Yes" on Form 990, Part IV, line 24a. Provide descriptions, explanations, and any additional information in Part VI.

► Attach to Form 990.

▶ Information about Schedule K (Form 990) and its instructions is at www.irs.gov/form990.

OMB No. 1545-0047

2016

Open to Public Inspection

Name of the organization

Department of the Treasury

Internal Revenue Service

BRANDEIS UNIVERSITY

Employer identification number 04-2103552

Part I Bond Issues (a) Issuer name	(b) Issuer EIN	(c) CUSIP #	(d) Date issued	(<u>a)</u>	sue price	(f) De	scription of pu	rnose	(g) De	feased	(h)		(i) Po	
(a) issuel fiame	(b) issuer Eliv	(6) 00011 #	(u) Date 133uet	(6) 13	sue price	(1) De	scription of pu	грозе	(g) De	leaseu	beha issi		finan	in
									Yes	No	Yes	No	Yes	N
A MA DEVELOPMENT FINANCE AGENCY 2008 - SERIES N	04-3431814	57583RZB8	08/06/200	8 48	3,160,410.	CONSTRUCTION	1			Х		Х		Х
D														
B MA DEVELOPMENT FINANCE AGENCY 2010 - SERIES O	04-3431814	57583RW92	03/09/201	0 188	,794,806.	CONSTRUCTION	I, REFUND '	98 BOND		Х		Х		Х
C MA DEVELOPMENT FINANCE AGENCY 2013 - SERIES P	04-3431814		07/18/201	3 36	5,500,000.	CONSTRUCTION	ONSTRUCTION, REFUND '04 BOND			х		Х		Σ
D ma development finance agency 2017 - series Q	04-3431814	NONE	06/15/201	7 20	,000,000.	,000. CONSTRUCTION				х		Х		2
Part II Proceeds														
					Α		В		-		D			_
1 Amount of bonds retired				5,1	90,410.	38,2	99,806.	1,1	10,00	0.				
2 Amount of bonds legally defeased														_
3 Total proceeds of issue				48,1	60,410.	188,7	188,794,806. 36,500,000.			0.	20,000,000			0
4 Gross proceeds in reserve funds														_
5 Capitalized interest from proceeds				6,8	302,005.									_
6 Proceeds in refunding escrows														_
7 Issuance costs from proceeds					559,405.	1,5	01,706.	. 403,050.		0.				_
8 Credit enhancement from proceeds														_
9 Working capital expenditures from proceeds					6,500,000						123,26			_
10 Capital expenditures from proceeds				40,000,000.				14,591,609.					3,26	9
11 Other spent proceeds					799,000.	. 162,793,100.		21,505,341.		1.				_
12 Other unspent proceeds													76,731.	
13 Year of substantial completion				200	1	201	0	201	I			018		
				Yes	No	Yes	No	Yes	No		Yes		No	_
14 Were the bonds issued as part of a current refundi					X	X		X					X	_
15 Were the bonds issued as part of an advance refur					X		X		Х				X	_
16 Has the final allocation of proceeds been made?				X		X		X					X	_
17 Does the organization maintain adequate boo				Х		X		Х						
final allocation of proceeds?				Λ		Λ		Λ						
Part III Private Business Use					Α		В					D		_
A. Was the superbatter a market to		(,		T .				-		V	Ť	M -	_
1 Was the organization a partner in a partnershi which owned property financed by tax-exempt bor				Yes	No X	Yes	No X	Yes	No X		Yes		No X	_
2 Are there any lease arrangements that may					Λ		Λ		^				Λ	_
bond-financed property?					Х		X		Х				Х	
E B I D I d' A (N) d'														

Schedule K (Form 990) 2016

SERIES N, O, P, & Q **Private Business Use** (Continued) Part III Α В C D No Νo Νo 3a Are there any management or service contracts that may result in private Yes Yes Yes Yes No Χ X business use of bond-financed property?..... **b** If "Yes" to line 3a, does the organization routinely engage bond counsel or other outside Χ counsel to review any management or service contracts relating to the financed property? c Are there any research agreements that may result in private business use of Х Χ Χ Χ d If "Yes" to line 3c, does the organization routinely engage bond counsel or other X X outside counsel to review any research agreements relating to the financed property? . . 4 Enter the percentage of financed property used in a private business use by entities 2.0000 % 1.5500 % 1.4700 % .0600 % other than a section 501(c)(3) organization or a state or local government ▶ 5 Enter the percentage of financed property used in a private business use as a result of unrelated trade or business activity carried on by your organization. % another section 501(c)(3) organization, or a state or local government % 2.0000 1.5500 1.4700 .0600 Χ Does the bond issue meet the private security or payment test? 8a Has there been a sale or disposition of any of the bond-financed property to a X X Χ Χ nongovernmental person other than a 501(c)(3) organization since the bonds were issued? **b** If "Yes" to line 8a, enter the percentage of bond-financed property sold or % % c If "Yes" to line 8a, was any remedial action taken pursuant to Regulations 9 Has the organization established written procedures to ensure that all nonqualified bonds of the issue are remediated in accordance with the requirements under Regulations sections 1.141-12 and 1.145-2? X Χ Part IV Arbitrage Α В C D Yes 1 Has the issuer filed Form 8038-T, Arbitrage Rebate, Yield Reduction and No Yes Nο Yes No Yes No X Χ Χ Χ 2 If "No" to line 1, did the following apply?.............. Χ X Χ Χ Χ X Χ X If "Yes" to line 2c. provide in Part VI the date the rebate computation was 3 Is the bond issue a variable rate issue?..... 4a Has the organization or the governmental issuer entered into a qualified X Χ Χ Χ hedge with respect to the bond issue?..... c Term of hedge..... **d** Was the hedge superintegrated?..... e Was the hedge terminated?.....

JSA 6E1296 1.000 Schedule K (Form 990) 2016

Schedule K (Form 990) 2016

Part IV Arbitrage (Continued)							1	
	1	A		В	(C	ı	D
	Yes	No	Yes	No	Yes	No	Yes	No
5a Were gross proceeds invested in a guaranteed investment contract (GIC)?		Х		Х		Х		Х
b Name of provider				•		•		•
c Term of GIC								
d Was the regulatory safe harbor for establishing the fair market value of the GIC satisfied?								
6 Were any gross proceeds invested beyond an available temporary period?		Х		Х		Х		Х
7 Has the organization established written procedures to monitor the								
· · · · · · · · · · · · · · · · · · ·	Х		Х		X		X	
requirements of section 148?	21		71		77		71	
Part V Procedures to Oridertake Corrective Action		Α		В				D
Has the arganization established written precedures to ansure that violations				_		-		-
Has the organization established written procedures to ensure that violations of federal tax requirements are timely identified and corrected through the voluntary closing agreement program if self-remediation isn't available under applicable regulations?	Yes	No	Yes	No	Yes	No	Yes	No
voluntary closing agreement program if self-remediation isn't available under								
	Х		X		Х		X	
Part VI Supplemental Information. Provide additional information for responses to	o questior	ns on Sche	edule K. S	ee instruc	tions			

Schedule K (Form 990) 2016

Part VI Supplemental Information. Provide additional information for responses to questions on Schedule K (see instructions) (Continued)

PART I, COLUMN F

PROCEEDS FROM THE SERIES O BOND WERE USED FOR THE REFUND OF '98 BOND

ISSUED ON 11/15/1998.

PROCEEDS FROM THE SERIES P BOND WERE USED FOR THE REFUND OF '04 BOND

ISSUED ON 01/08/2004.

PART IV, LINE 2C

ARBITRAGE REBATE COMPUTATIONS: THE ARBITRAGE REBATE COMPUTATIONS FOR THE

SERIES N, O, AND P BONDS WERE PERFORMED ON JULY 3, 2017, OCTOBER 9, 2017,

AND SEPTEMBER 5, 2017, RESPECTIVELY.

SCHEDULE L

(Form 990 or 990-EZ)

Department of the Treasury

Internal Revenue Service

Part I

Transactions With Interested Persons

▶ Complete if the organization answered "Yes" on Form 990, Part IV, line 25a, 25b, 26, 27, 28a, 28b, or 28c, or Form 990-EZ, Part V, line 38a or 40b.

►Attach to Form 990 or Form 990-EZ.

Complete if the organization answered "Voc" on Form 000, Part IV, line 255 or 25h, or Form 000 E7, Part IV, line 40h

Information about Schedule L (Form 990 or 990-EZ) and its instructions is at www.irs.gov/form990.

OMB No. 1545-0047

Open To Public Inspection

Name of the organization Employer identification number BRANDEIS UNIVERSITY 04-2103552

Excess Benefit Transactions (section 501(c)(3), section 501(c)(4), and 501(c)(29) organizations only).

	Complete if the organization ar	iswered "Yes" on Form 990, Part IV, line 2	5a or 25b, or Form 990-EZ, Part V, line 40b.		
4	(a) Name of disqualified person	(b) Relationship between disqualified person and	(c) Description of transaction	(d) Co	rrected?
	(a) Name of disqualified person	organization	(c) Description of transaction	Yes	No
(1)					
(2)					
(3)					
(4)					
(5)					
(6)					
2	Enter the amount of tax incurred by	the organization managers or disqualified	persons during the year		
	under section 4958		▶ \$		
3	Enter the amount of tax, if any, on lin	e 2, above, reimbursed by the organization			

Part II Loans to and/or From Interested Persons.

Complete if the organization answered "Yes" on Form 990-EZ, Part V, line 38a or Form 990, Part IV, line 26; or if the organization reported an amount on Form 990, Part X, line 5, 6, or 22.

(a) Name of interested person	(b) Relationship with organization	(c) Purpose of loan	(d) Loan to or from the organization?		(e) Original principal amount	(f) Balance due	(g) In default?		(h) Approved by board or committee?		(i) W agreer	
			То	From			Yes	No	Yes	No	Yes	No
(1)												
(2)												
(3)												
(4)												
(5)												
(6)												
(7)												
(8)												
(9)												
(10)												
Total						\$						

Part III **Grants or Assistance Benefiting Interested Persons.**

Complete if the organization answered "Yes" on Form 990, Part IV, line 27.

(a) Name of interested person	(b) Relationship between interested person and the organization	(c) Amount of assistance	(d) Type of assistance	(e) Purpose of assistance
(1)				
(2)				
(3)				
(4)				
(5)				
(6)				
(7)				
(8)				
(9)				
(10)				

For Paperwork Reduction Act Notice, see the Instructions for Form 990 or 990-EZ.

Schedule L (Form 990 or 990-EZ) 2016

Schedule L (Form 990 or 990-EZ) 2016 Page **2**

Part IV Business Transactions Involving Interested Persons.

Complete if the organization answered "Yes" on Form 990, Part IV, line 28a, 28b, or 28c.

(a) Name of interested person	(b) Relationship between interested person and the organization	(c) Amount of transaction	(d) Description of transaction	(e) Sharing of organization's revenues?	
				Yes	No
(1) JESSICA LIEBOWITZ	R.LIEBOWITZ-PRESIDENT	67,064.	EMPLOYEE COMPENSATION		Х
(2) MARGOT DAVIS	J. DAVIS-TRUSTEE	68,108.	EMPLOYEE COMPENSATION		Х
_(3)					
_(4)					
_ (5)					
_ (6)					
(7)					
(8)					
(9)					
(10)					

Part V Supplemental Information

Provide additional information for responses to questions on Schedule L (see instructions).

PART IV

THE UNIVERSITY ENGAGES FAMILY MEMBERS OF CERTAIN OFFICERS OR TRUSTEES OF THE UNIVERSITY, IN THE ORDINARY COURSE OF BUSINESS, AS FOLLOWS:

JESSICA LIEBOWITZ, SPOUSE OF UNIVERSITY PRESIDENT RONALD LIEBOWITZ, IS EMPLOYED BY THE UNIVERSITY AS A VISITING SCHOLAR WHO STUDIES DOCTORAL EDUCATION. DR. LIEBOWITZ RECEIVED EMPLOYEE COMPENSATION OF \$67,064.

PRESIDENT RONALD LIEBOWITZ DOES NOT PARTICIPATE IN ESTABLISHING THE COMPENSATION OF DR. LIEBOWITZ.

MARGOT T. DAVIS, SPOUSE OF UNIVERSITY TRUSTEE JONATHAN G. DAVIS, IS

EMPLOYED BY THE UNIVERSITY AS A PART-TIME SENIOR RESEARCH ASSOCIATE. DR

DAVIS RECEIVED EMPLOYEE COMPENSATION OF \$68,108. TRUSTEE DAVIS DOES NOT

PARTICIPATE IN ESTABLISHING THE COMPENSATION OF DR. DAVIS.

7673LT 1592

SCHEDULE M (Form 990)

Noncash Contributions

OMB No. 1545-0047

Open To Public Inspection

Department of the Treasury Internal Revenue Service

► Complete if the organizations answered "Yes" on Form 990, Part IV, lines 29 or 30.

Attach to Form 990.

▶ Information about Schedule M (Form 990) and its instructions is at www.irs.gov/form990.

Name of the organization Employer identification number BRANDEIS UNIVERSITY 04-2103552

Par	Types of Property							
		(a) Check if applicable	(b) Number of contributions or items contributed	(c) Noncash contribution amounts reported on Form 990, Part VIII, line 1g	Method of noncash cont			
1	Art - Works of art	X	74.	1,183,770.	APPRAISAL	ı		
2	Art - Historical treasures							
3	Art - Fractional interests							
4	Books and publications							
5	Clothing and household							
	goods							
6	Cars and other vehicles							
7	Boats and planes							
8	Intellectual property							
9	Securities - Publicly traded	X	178.	3,490,725.	AVERAGE O	F HIG	H I	JOW
10	Securities - Closely held stock							
11	Securities - Partnership, LLC,							
	or trust interests							
12	Securities - Miscellaneous							
13	Qualified conservation							
	contribution - Historic							
	structures							
14	Qualified conservation							
	contribution - Other							
15	Real estate - Residential							
16	Real estate - Commercial							
17	Real estate - Other							
18	Collectibles							
19	Food inventory							
20	Drugs and medical supplies							
21	Taxidermy							
22	Historical artifacts							
23	Scientific specimens							
24	Archeological artifacts							
25	Other \blacktriangleright (BOOK COLLECTION)	X			NO APPRAI	SAL		
26	Other ►()							
27	Other ►()							
28	Other ►()							
29	Number of Forms 8283 received	-						72
	which the organization completed I	Form 8283,	Part IV, Donee Acknowledg	jement	29		,	73.
						Y	es	No
30a	During the year, did the organizat				- 1			
	28, that it must hold for at least the	•				20-		X
	to be used for exempt purposes for		olding period?			30a		
	If "Yes," describe the arrangement in		tonge moliey that war '	o the review of according	n o n o to :: -! - ::-!			
31	Does the organization have a	-				24	Х	
20-	contributions?					31	Δ	
32a	Does the organization hire or use	-	-	·		220		Х
	contributions?					32a		
	If "Yes," describe in Part II.	amount in a	valuma (a) for a type of are	norty for which column (a)	vic chooked			
33	If the organization didn't report an describe in Part II.	amount III C	olumni (c) for a type of pro	perty for writeri columni (a,	, is criecked,			

For Paperwork Reduction Act Notice, see the Instructions for Form 990.

Schedule M (Form 990) (2016)

Schedule M (Form 990) (2016) Page 2

Part II

Supplemental Information. Provide the information required by Part I, lines 30b, 32b, and 33, and whether the organization is reporting in Part I, column (b), the number of contributions, the number of items received, or a combination of both. Also complete this part for any additional information.

NUMBER OF CONTRIBUTIONS

LINE 1, COLUMN (B)

THE UNIVERSITY IS REPORTING IN PART 1, COLUMN (B) THE NUMBERS OF ITEMS

RECEIVED.

Schedule M (Form 990) (2016) JSA

6E1508 2.000 7673LT 1592 2150887 PAGE 68

SCHEDULE O (Form 990 or 990-EZ)

Supplemental Information to Form 990 or 990-EZ

Complete to provide information for responses to specific questions on Form 990 or 990-EZ or to provide any additional information.

▶ Attach to Form 990 or 990-EZ.

OMB No. 1545-0047

2016

Open to Public Inspection

Department of the Treasury Internal Revenue Service Name of the organization

BRANDEIS UNIVERSITY

► Information about Schedule O (Form 990 or 990-EZ) and its instructions is at www.irs.gov/form990.

Inspectic

Employer identification number

04-2103552

ORGANIZATION'S MISSION

FORM 990, PART I, LINE 1

BRANDEIS UNIVERSITY IS A COMMUNITY OF SCHOLARS AND STUDENTS UNITED BY
THEIR COMMITMENT TO THE PURSUIT OF KNOWLEDGE AND ITS TRANSMISSION FROM
GENERATION TO GENERATION. AS A RESEARCH UNIVERSITY, BRANDEIS IS
DEDICATED TO THE ADVANCEMENT OF THE HUMANITIES, ARTS AND SOCIAL SCIENCES,
AND NATURAL AND PHYSICAL SCIENCES. AS A LIBERAL ARTS COLLEGE, BRANDEIS
AFFIRMS THE IMPORTANCE OF A BROAD AND CRITICAL EDUCATION IN ENRICHING THE
LIVES OF STUDENTS AND PREPARING THEM FOR FULL PARTICIPATION IN A CHANGING
SOCIETY. IN THIS MANNER, BRANDEIS SEEKS TO ENSURE ITS STUDENTS ARE
CAPABLE OF PROMOTING THEIR OWN WELFARE WHILE REMAINING DEEPLY CONCERNED
ABOUT THE WELFARE OF OTHERS.

IN A WORLD OF CHALLENGING SOCIAL AND TECHNOLOGICAL TRANSFORMATION,
BRANDEIS REMAINS A CENTER OF OPEN INQUIRY AND TEACHING, CHERISHING ITS
INDEPENDENCE FROM ANY DOCTRINE OF GOVERNMENT. IT STRIVES TO REFLECT THE
HETEROGENEITY OF THE UNITED STATES AND OF THE WORLD COMMUNITY WHOSE IDEAS
AND CONCERNS IT SHARES. IN THE BELIEF THAT THE MOST IMPORTANT LEARNING
DERIVES FROM THE PERSONAL ENCOUNTER AND JOINT WORK OF TEACHERS AND
STUDENTS, BRANDEIS ENCOURAGES UNDERGRADUATES AND POSTGRADUATES TO
PARTICIPATE WITH DISTINGUISHED FACULTY IN RESEARCH, SCHOLARSHIP AND
ARTISTIC ACTIVITIES.

Name of the organization

BRANDEIS UNIVERSITY

Employer identification number

04-2103552

REVIEW PROCESS

FORM 990, PART VI, SECTION B, LINE 11B

THE FINANCE OFFICE IS RESPONSIBLE FOR DRAFTING FORM 990. THE COMPLETED DRAFT IS SUBMITTED FOR REVIEW BY THE UNIVERSITY'S TAX ADVISORY FIRM.

RECOMMENDED CHANGES ARE DISCUSSED WITH THE FINANCE OFFICE AND INCORPORATED INTO THE RETURN, AS APPROPRIATE.

FOR FISCAL YEAR 2017, THE BOARD OF TRUSTEES HAS DELEGATED THE REVIEW OF

THE FORM 990 TO THE RISK MANAGEMENT AND AUDIT COMMITTEE. THE COMMITTEE

REVIEWED THE FORM 990 AND DISCUSSED ANY QUESTIONS WITH THE FINANCE OFFICE

AND THE UNIVERSITY'S TAX ADVISORY FIRM.

AFTER THE COMMITTEE'S REVIEW, AND PRIOR TO FILING THE COMPLETED FORM 990, A COPY OF THE FORM 990 WAS SENT TO EACH MEMBER OF THE BOARD OF TRUSTEES.

CONFLICT OF INTEREST POLICY

FORM 990, PART VI, SECTION B, LINE 12C

THE BRANDEIS UNIVERSITY CONFLICT OF INTEREST POLICY FOR TRUSTEES,
OFFICERS AND COMMITTEE MEMBERS ESTABLISHES A PROCESS WHEREBY POTENTIAL,
APPARENT AND ACTUAL CONFLICTS OF INTEREST OF TRUSTEES, OFFICERS AND
NON-TRUSTEE COMMITTEE MEMBERS MAY PROPERLY BE AVOIDED OR MANAGED SO THAT
THE BEST INTERESTS OF THE UNIVERSITY ARE PROTECTED. OFFICERS, TRUSTEES
AND COMMITTEE MEMBERS COMPLETE AN ANNUAL CONFLICT OF INTEREST DISCLOSURE
FORM, WHICH IS CONSISTENT WITH THE POLICY AND THE APPLICABLE REGULATORY
AND AUDITING REQUIREMENTS. THE INVESTMENT COMMITTEE MEMBERS COMPLETE AN
ANNUAL DISCLOSURE FORM WITH ADDITIONAL QUESTIONS RELATED TO UNIVERSITY'S

Name of the organization Employer identification number BRANDEIS UNIVERSITY 04-2103552

INVESTMENTS. CERTAIN SENIOR OFFICERS AND FINANCIAL EMPLOYEES ARE ALSO REQUIRED TO COMPLETE AN ANNUAL DISCLOSURE FORM. THE COORDINATION COMMITTEE OF THE BOARD OF TRUSTEES, WITH THE ASSISTANCE OF THE GENERAL COUNSEL, OVERSEES THE COLLECTION AND REVIEW OF THE DISCLOSURE FORMS. IN THE CASE OF A POTENTIAL, APPARENT, OR ACTUAL RELATED PARTY TRANSACTION OR OTHER SITUATION PRESENTING A POSSIBLE CONFLICT OF INTEREST, THE COORDINATION COMMITTEE OF THE BOARD OF TRUSTEES CHAIR IS RESPONSIBLE FOR DECIDING WHETHER TO SUBMIT THE TRANSACTION OR SITUATION TO THE COORDINATION COMMITTEE OF THE BOARD OF TRUSTEES OR THE BOARD FOR A DETERMINATION. IN ADDITION, THE UNIVERSITY HAS ESTABLISHED A SEPARATE CONFLICT OF INTEREST POLICY FOR ITS EMPLOYEES. UNDER THIS POLICY, DISCLOSURE FORMS ARE COMPLETED ANNUALLY BY ALL SENIOR OFFICERS, THE PRESIDENT'S CABINET, DEPARTMENT CHAIRS, HEADS OF CENTERS AND INSTITUTES, PRINCIPAL INVESTIGATORS AND OTHER DESIGNATED SENIOR OFFICIALS AND FINANCIAL PERSONNEL. PERSONS WITH A CONFLICT ARE RECUSED FROM DISCUSSIONS AND DO NOT VOTE OF RESOLUTIONS THAT PERTAIN DIRECTLY TO THEIR CONFLICT.

COMPENSATION POLICY

FORM 990, PART VI, SECTION B, LINE 15B

THE UNIVERSITY IS COMMITTED TO ATTRACTING, RETAINING AND MOTIVATING

QUALIFIED EMPLOYEES, RELATING COMPENSATION TO PERFORMANCE, PROMOTING AN

EQUITABLE RELATIONSHIP BETWEEN COMPENSATION AND RESPONSIBILITY AND

ENSURING COMPLIANCE WITH LAW AND REGULATION. THIS PROCESS IS THE

FOUNDATION OF ALL HIRING, ANNUAL PERFORMANCE EVALUATIONS AND SALARY

ADJUSTMENTS. THE COORDINATION COMMITTEE OF THE BOARD OF TRUSTEES IS

Name of the organization

BRANDEIS UNIVERSITY

Employer identification number

04-2103552

AUTHORIZED BY THE BOARD OF TRUSTEES AND DIRECTED TO PERFORM A DIRECT REVIEW AND APPROVAL AT REGULAR INTERVALS OF THE PERFORMANCE AND COMPENSATION OF THE OFFICERS AND, WHERE APPROPRIATE, OTHER SENIOR MANAGEMENT OF THE UNIVERSITY. THE COORDINATION COMMITTEE OF THE BOARD OF TRUSTEES UTILIZES INDEPENDENT EXTERNAL CONSULTANTS TO ASSIST WITH BOTH THE REVIEW OF COMPENSATION AGREEMENTS AND THE GATHERING OF COMPARABILITY DATA IN DETERMINING THE REASONABLENESS OF COMPENSATION AND COMPLIANCE WITH PROCEDURES DESCRIBED IN TREASURY REGULATION SECTION 53.4958-6.

PUBLIC DISCLOSURE

FORM 990, PART VI, SECTION C, LINE 19

THE ORGANIZATION'S FINANCIAL STATEMENTS AND FORM 990 ARE AVAILABLE ONLINE AT WWW.BRANDEIS.EDU/FINANCIALAFFAIRS. THE UNIVERSITY'S GOVERNING DOCUMENTS ARE AVAILABLE ONLINE AT WWW.BRANDEIS.EDU. THE ORGANIZATION'S FORM 990 AND AUDITED FINANCIAL STATEMENTS ARE ALSO AVAILABLE AT THE WEBSITE OF MASSACHUSETTS ATTORNEY GENERAL.

OTHER CHANGES IN NET ASSETS

FORM 990, PART XI, LINE 9

CHANGE IN VALUE OF SPLIT INTEREST (947,121)

FOOD SERVICE

TOTAL (947,121)

ATTACHMENT 1

990, PART VII- COMPENSATION OF THE FIVE HIGHEST PAID IND. CONTRACTORS

NAME AND ADDRESS DESCRIPTION OF SERVICES COMPENSATION

SODEXO INC. & AFFILIATES P.O. BOX 360170

PITTSBURGH, PA 15251-6170

JSA 6E1228 1.000 Schedule O (Form 990 or 990-EZ) 2016

15,186,244.

Name of the organization Employer identification number

BRANDEIS UNIVERSITY 04-2103552

ATTACHMENT 1 (CONT'D)

990, PART VII- COMPENSATION OF THE FIVE HIGHEST PAID IND. CONTRACTORS

NAME AND ADDRESS	DESCRIPTION OF SERVICES	COMPENSATION
BOND BROTHERS INC. 145 SPRING STREET EVERETT, MA 02149	CONSTRUCTION MGMT	11,535,976.
CONSIGLI CONSTRUCTION CO., INC. 72 SUMNER STREET MILFORD, MA 01757	CONSTRUCTION	3,482,011.
WILLIAM RAWN ASSOCIATES ARCHITECTS INC. 10 POST OFFICE SQUARE # 1010N BOSTON, MA 02109	ARCHITECTS	1,579,918.
ELSEVIER 230 PARK AVENUE NEW YORK, NY 10169-0005	PUBLISHING/LIBRARY	1,504,100.

SCHEDULE R (Form 990)

Part I

Department of the Treasury

Related Organizations and Unrelated Partnerships

► Complete if the organization answered "Yes" on Form 990, Part IV, line 33, 34, 35b, 36, or 37.

Identification of Disregarded Entities. Complete if the organization answered "Yes" on Form 990, Part IV, line 33.

► Attach to Form 990.

► Information about Schedule R (Form 990) and its instructions is at www.irs.gov/form990.

Internal Revenue Service

Name of the organization

BRANDEIS UNIVERSITY

Inspection
Employer identification number

OMB No. 1545-0047

Open to Public

Employer identification nu 04-2103552

	(a) Name, address, and EIN (if applicable) of disregarded entity		F	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Total income	(e) End-of-year assets	(f Direct co	ntrolling
(1)									
(2)									
(3)									
(4)									
(5)									
(6)									
Part II	Identification of Related Tax-Exempt Organizations. one or more related tax-exempt organizations during t	Complete if th he tax year.	ie org	anization answ	vered "Yes" on Fo	orm 990, Part IV,	line 34 because	it had	
	(a) Name, address, and EIN of related organization	(b) Primary activ	ity	(c) Legal domicile (sta		(e) Public charity status (if section 501(c)(3))	(f) Direct controlling entity	cont	g) 512(b)(13) rolled tity?
(1)								Yes	No
(2)		_							
(3)									
(4)		_							
(5)									
(6)									
(7)									
				1					1

For Paperwork Reduction Act Notice, see the Instructions for Form 990.

Schedule R (Form 990) 2016

JSA

6E1307 1.000

Schedule R (Form 990) 2016

	THE STATE OF THE PARTY OF THE P	
Dart III	Identification of Related Organizations Taxable as a Partnership Complete if the organization answered "Yes" on Form 990, Part IV, line 34	
ent III	because it had one or more related organizations treated as a partnership during the tax year.	
	Decause il nau one di more relateu diganizations treateu as a partnership dufino the tax veal.	

(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Direct controlling entity	(e) Predominant income (related, unrelated, excluded from tax under sections 512-514)	(f) Share of total income	(g) Share of end-of- year assets	Disprop	h) portionate ations?	(i) Code V - UBI amount in box 20 of Schedule K-1 (Form 1065)	0 managing		(k) Percentage ownership
		oounity)		,			Yes	No		Yes	No	
<u>(1)</u>												
(2)												
(3)												
(4)	_											
(5)	_											
(6)	-											
<u>(7)</u>	-											

Part IV Identification of Related Organizations Taxable as a Corporation or Trust. Complete if the organization answered "Yes" on Form 990, Part IV, line 34 because it had one or more related organizations treated as a corporation or trust during the tax year.

(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Direct controlling	(e) Type of entity (C corp, S corp, or trust)	(f) Share of total income	(g) Share of end-of-year assets	(h) Percentage ownership	(i) Section 512(b)(13) controlled entity?
								Yes No
(1) CHARITABLE REMAINDER TRUSTS (16)			N/A					
(2)								
(3)								
(4)								
(5)								
(6)								
(7)								

JSA 6E1308 1.000 Schedule R (Form 990) 2016

Schedule R (Form 990) 2016

Part V Transactions With Related Organizations. Complete if the organization answered "Yes" on Form 990. Part IV. line 34, 35b, or 36.

GI	Transactions With Related Organizations Complete in the organization and world	00 0111 01111 000, 1 0	1111, 1110 0 1, 000, 01 00.				
Not	te: Complete line 1 if any entity is listed in Parts II, III, or IV of this schedule.					Yes	No
1	During the tax year, did the organization engage in any of the following transactions with one or more	related organizations li	sted in Parts II-IV?				
а	Receipt of (i) interest, (ii) annuities, (iii) royalties, or (iv) rent from a controlled entity				1a		Х
b	Gift, grant, or capital contribution to related organization(s)				1b		X
С	Gift, grant, or capital contribution from related organization(s)				1c		Х
d	Loans or loan guarantees to or for related organization(s)				1d		Х
е	Loans or loan guarantees by related organization(s)				1e		Х
f	Dividends from related organization(s).				1f		Х
	Sale of assets to related organization(s)				1g		Х
h	Purchase of assets from related organization(s)				1h		X
i	Exchange of assets with related organization(s)				1i		Х
j	Lease of facilities, equipment, or other assets to related organization(s)				1j		Х
k	Lease of facilities, equipment, or other assets from related organization(s)				1k		Х
1	Performance of services or membership or fundraising solicitations for related organization(s)				11		X
m	Performance of services or membership or fundraising solicitations by related organization(s)				1m		Х
n	Sharing of facilities, equipment, mailing lists, or other assets with related organization(s)				1n		Х
o	Sharing of paid employees with related organization(s)				10		Х
р	Reimbursement paid to related organization(s) for expenses				1p		Х
	Reimbursement paid by related organization(s) for expenses				1q		Х
r	Other transfer of cash or property to related organization(s)				1r		Х
s	Other transfer of cash or property from related organization(s)				1s		X
2	If the answer to any of the above is "Yes," see the instructions for information on who must complete	this line, including cov	ered relationships and transa	action thres	sholds	3.	
	(a)	_ (b)	(c)		(d)		
	Name of related organization	Transaction type (a-s)	Amount involved	Method o	of dete nt invo		j
		3F - (# -)					
1)							
2)							
3)							

(6) JSA 6E1309 1.000

(4)

(5)

Schedule R (Form 990) 2016

Page 3

Schedule R (Form 990) 2016

Unrelated Organizations Taxable as a Partnership. Complete if the organization answered "Yes" on Form 990, Part IV, line 37. Part VI

Provide the following information for each entity taxed as a partnership through which the organization conducted more than five percent of its activities (measured by total assets or gross revenue) that was not a related organization. See instructions regarding exclusion for certain investment partnerships.

	(a) Name, address, and EIN of entity	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Predominant income (related, unrelated, excluded from tax under	Are all sec	partners ction (c)(3) zations?	(f) Share of total income	(g) Share of end-of-year assets	Dispro	(h) portionate ations?	(i) Code V - UBI amount in box 20 of Schedule K-1 (Form 1065)	x 20 manag K-1 partne		(k) Percentage ownership
				sections 512-514)		No			Yes	No		Yes	No	1
(1)														
(2)														
(3)														
(4)														
(5)														
(6)														
(7)														
(0)														
(0)														
(10)														
(11)														
		_												
(12)		_												
(13)		_												
(14)														
(15)														
(16)														

JSA

Schedule R (Form 990) 2016

Page 4

Schedule R (Form 990) 2016 Page 5

Part VII Supplemental Information
Provide additional information for responses to questions on Schedule R. See instructions.

Schedule R (Form 990) 2016